

Sterile neutrino dark matter

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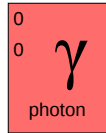
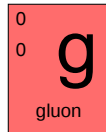
**22nd Lomonosov Conference on
Elementary Particle Physics**

Faculty of Physics, MSU, Moscow, Russia

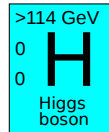
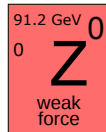
Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left u Right up	Left c Right charm	Left t Right top
Quarks	4.8 MeV $-\frac{1}{3}$ Left d Right down	104 MeV $-\frac{1}{3}$ Left s Right strange	4.2 GeV $-\frac{1}{3}$ Left b Right bottom
	0 eV 0 Left ν_e Right electron neutrino	0 eV 0 Left ν_μ Right muon neutrino	0 eV 0 Left ν_τ Right tau neutrino
	0.511 MeV -1 Left e Right electron	105.7 MeV -1 Left μ Right muon	1.777 GeV -1 Left τ Right tau
	Leptons		

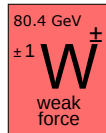
The Matter generations
are indistinguishable by
electric
weak and
strong
forces



distinguishable
by gravity
and Yukawa
forces



Bosons (Forces) spin 1



spin 0

$m_H \approx 125 \text{ GeV}$

Standard Model + GR : Major Problems

Gauge and Higgs fields (interactions): $\gamma, W^\pm, Z, g, G$, and h

Three generations of matter: $L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, e_R; Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, d_R, u_R$

- Describes all experiments dealing with
 - ▶ electroweak and strong interactions (anomalies: $g-2$, B -physics, ...)
- Does not describe

(PHENO)	(THEORY)
▶ Neutrino oscillations (and anomalies...)	▶ Dark energy (Ω_Λ)
▶ Dark matter (Ω_{DM})	▶ Strong CP-problem
▶ Baryon asymmetry (Ω_B)	▶ Gauge hierarchy
▶ Why the Universe is flat and homogeneous?	▶ Quantum gravity
▶ Where did the matter perturbations come from?	▶ Quantization of electric charge
	▶ Why 3 generations?
	▶ Why $Y_e \ll Y_\mu \ll \dots \ll Y_t$

Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

- sterile:** new fermions uncharged under the SM gauge group
neutrino: explain observed oscillations by mixing with SM (active) neutrinos

Attractive features:

- possible to achieve within **renormalizable** theory
- only $N = 2$ **Majorana** neutrinos needed
- **baryon asymmetry** via leptogenesis
- **dark matter** (with $N \geq 3$ at least)

Disappointing feature:

Major part of parameter space is UNTESTABLE

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	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	Left d Right down	Left s Right strange	Left b Right bottom
Leptons	<0.0001 eV ~ 10 keV	~ 0.01 eV $\sim$ GeV	~ 0.04 eV $\sim$ GeV
	Left ν_e Right N_1	Left ν_μ Right N_2	Left ν_τ Right N_3
	electron neutrino sterile neutrino	muon neutrino sterile neutrino	tau neutrino sterile neutrino
	0.511 MeV	105.7 MeV	1.777 GeV
	-1	-1	-1
	Left e Right electron	Left μ Right muon	Left τ Right tau

ν MSM: testable

M.Shaposhnikov, T.Asaka (2006)

Bosons (Forces) spin 1	0 0 g gluon	
	0 0 γ photon	
	91.2 GeV 0 Z^0 weak force	>114 GeV 0 0 H Higgs boson
	80.4 GeV ± 1 $W^\pm$ weak force	spin 0

Seesaw mechanism: $M_N \gg 1 \text{ eV}$ spinor portal

With $m_{\text{active}} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - \textcolor{green}{f} \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v/\sqrt{2}$ and then

$$\mathcal{V}_N = \frac{1}{2} \left(\bar{\nu}_e, \bar{N}^c \right) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} \nu_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $\nu_e = U \nu_1 + \textcolor{red}{\theta} N$ with $U \approx 1$ and

active-sterile mixing: $\textcolor{red}{\theta} = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{\text{active}} = \textcolor{red}{\theta}^2 M_N \lll M_N$$

Violation of L , C and CP symmetries

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

- $f = 0 \quad \longrightarrow$ free fermion, no need to call 'sterile'
- $M_N = 0 \quad \longrightarrow$ N and ν form pure Dirac neutrino,
the most boring case, worse than we have with the Higgs boson
one may refuse to call it 'new physics'
- $f \neq 0, \quad M_N \neq 0 \quad \longrightarrow$ introduces new massive parameter,
violates lepton symmetry L
(and C - and CP -symmetry with several N 's)

Sterile neutrino: a vast region of mass

Within the seesaw paradigm, as far as

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

Any set

(mass scale M_N , Yukawa coupling f)

is viable

And with special tuning or symmetry larger (but not smaller) mixing
3 sterile neutrinos is

viable

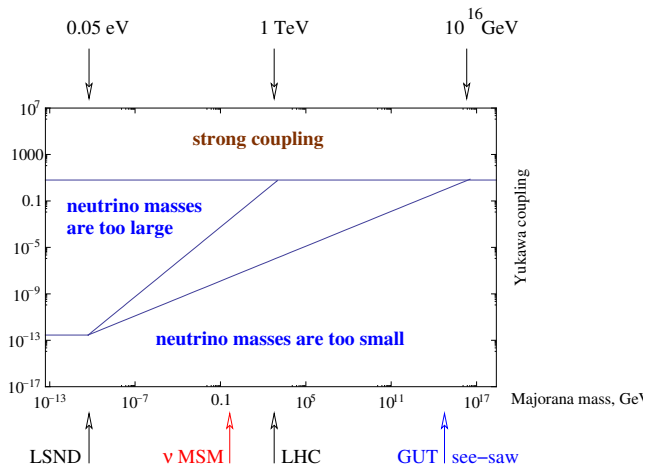
$$\hat{m}_a \sim \hat{f}^T \frac{1}{\hat{M}_N} \hat{f} v^2$$

Sterile neutrino mass scale: $\hat{M}_V = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

NB: With fine tuning in $\hat{M}_N$ and $\hat{f}$ we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos

$L_e - L_\mu - L_\tau$ or discrete symmetries
Froggatt-Nielsen mechanism

Extended seesaw



Seesaw diagram

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	0.511 MeV -1	105.7 MeV -1	1.777 GeV -1

0	g	gluon
0	γ	photon
0	Z^0	weak force
91.2 GeV		
0	$W^\pm$	weak force
80.4 GeV		

Bosons (Forces) spin 1

>114 GeV	H	Higgs boson
0		
0		

spin 0

Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, $N \rightarrow \nu \nu \nu$ is always open
but exceeding the age of the Universe if

(applicable for $M_N < M_W$)

$$\theta^2 < 1.5 \times 10^{-7} \left(\frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left(G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \sim 1 / \left(G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV} / M_N)^4$$

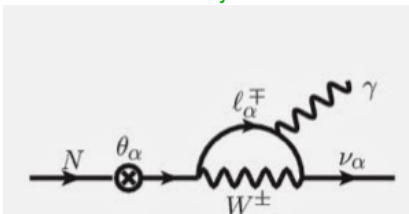
Sterile neutrino: indirect searches

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- **unstable**, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left(\frac{10 \text{ keV}}{M_N} \right)^5$$

- **DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay**
give limits in absence of the feature



a narrow line ($\delta E_\gamma / E_\gamma \sim \nu \sim 10^{-3}$)
at photon frequency $E_\gamma = M_N/2$

$$\frac{\theta^2}{10^{-11}} \lesssim \left(\frac{10 \text{ keV}}{M_N} \right)^4$$

Production in oscillations

$$\frac{\partial}{\partial t} f_s(t, \mathbf{p}) - H \mathbf{p} \frac{\partial}{\partial \mathbf{p}} f_s(t, \mathbf{p}) = \frac{1}{2} \Gamma_\alpha P(\nu_\alpha \rightarrow \nu_s) f_\alpha(t, \mathbf{p}).$$

$\Gamma_\alpha \propto G_F^2 T^4 E$ is the **weak interaction** rate in plasma

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left(\frac{t}{2t_\alpha^{\text{mat}}} \right),$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}},$$

$$\sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

sign of the **effective plasma potential** matters:

$$V_{\alpha\alpha} < 0 \implies \text{mixing gets suppressed}$$

$$V_{\alpha\alpha} > 0 \implies \text{amplification via resonance}$$

DM from oscillations:

(DW & ShF)

$$(\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2$$

non-resonant:

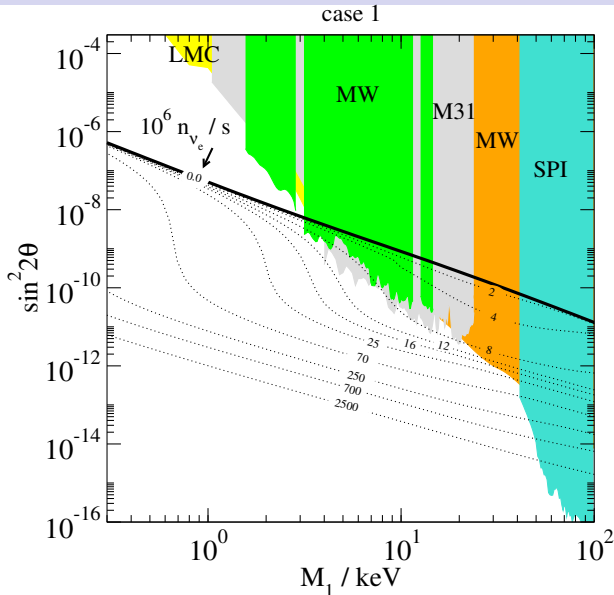
$$V_{\alpha\alpha} \sim -\# G_F^2 T^4 E$$

resonant production in the lepton asymmetric plasma

$$V_{\alpha\alpha} \sim +\# G_F T^2 \mu_{L\alpha}$$

0804.4543

$$n_{\nu_\alpha} - n_{\bar{\nu}_\alpha} = \mu_\alpha T^2 / 6$$



Non-resonant production is excluded now...

- too large mixing to avoid X -ray limits
- light DM $m_{\nu_s} < 2 \text{ keV}$ is forbidden by phase space density 2412.20585
- light DM is disfavored by Ly- α forest
- light DM is closed by MW satellite counts

too warm to be the main component of DM

Primordial Nucleosynthesis: solving kinetic equations

Neutrons freeze out ($p + e \leftrightarrow n + \nu_e$) at $T_n \approx 0.8 \text{ MeV}$, and then



D survive γ -hitting later, at $T < T_{NS} \approx 65 \text{ keV}$

$$n_{\text{He}}(T_{NS}) = \frac{1}{2} n_n(T_{NS}),$$

neutron-to-proton ratio

$\tau_n \approx 880 \text{ s}$

$$\frac{n_n(T_{NS})}{n_p(T_{NS})} = e^{-\frac{m_n - m_p}{T_n}} \cdot e^{-\frac{t_{NS}}{\tau_n}} \cdot e^{-\frac{\mu_{\nu e}}{T_n}} \approx \frac{1}{7},$$

$$Y_p \equiv X_{\text{He}} = \frac{m_{\text{He}} \cdot n_{\text{He}}(T_{NS})}{m_p (n_p(T_{NS}) + n_n(T_{NS}))} = \frac{2}{\frac{n_p(T_{NS})}{n_n(T_{NS})} + 1} \approx 25\%$$

Present limits from BBN:

BBN: PDG-2022 and 2208.03201

$$\frac{|\mu_{\nu_e}|}{T_\nu} \equiv |\xi_e| < 0.03 \quad 95\% \text{ CL}$$

and

$$L_e = \frac{n_{\nu_e} - n_{\bar{\nu}_e}}{s} = \frac{15}{43\pi^2} \times \xi_e$$

Simple case:

after DM production

asymmetry is in one flavor α :

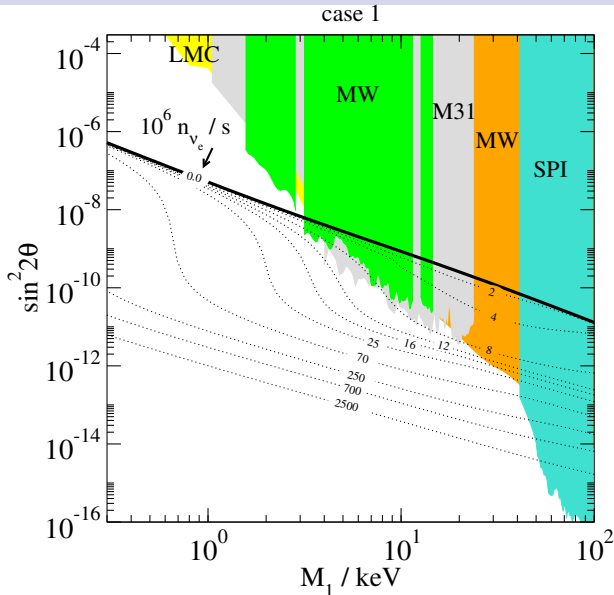
oscillations $\nu_\alpha \leftrightarrow \nu_\beta$ at

$T < 10 \text{ MeV}$

redistribute it, so $\mu_{\nu_e} = \mu_\alpha/3$

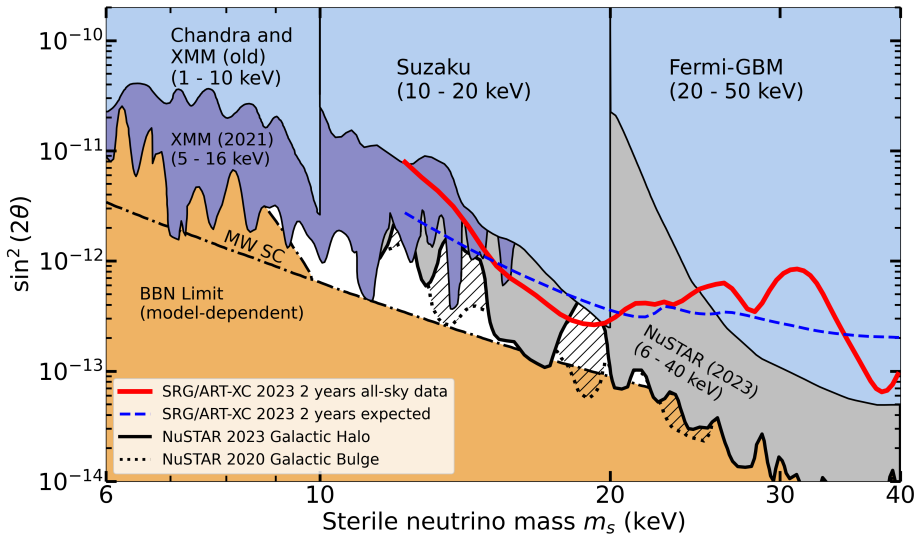
then

$$L_e < 3.2 \times 10^{-3} \rightarrow 3200$$



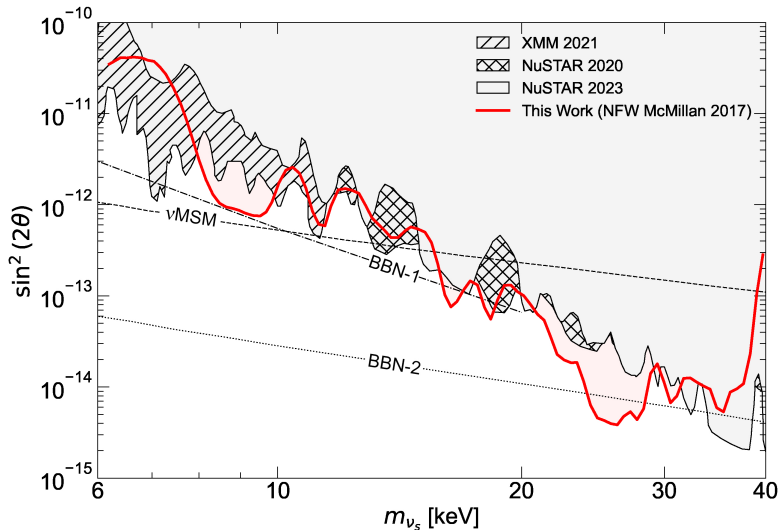
eROSITA (0.2-10 keV), ART-XC (4-30 keV)





2303.12673

NuSTAR: the latest and strongest limits



2405.17861

Production in lepton-asymmetric plasma: Shi–Fuller

$$\langle P(v_\alpha \rightarrow v_s) \rangle = \frac{1}{2} \frac{\frac{m_{v_s}^4}{4T^2 y^2} \sin^2 2\theta_\alpha}{\frac{m_{v_s}^4}{4T^2 y^2} \sin^2 2\theta_\alpha + \frac{r_{v_\alpha}^2}{4} + \left(\frac{m_{v_s}^2}{2yT} \cos 2\theta_\alpha - V_\alpha^L - V_\alpha^T \right)^2}.$$

with neutrino thermal potential (omitting factors)

$$y \equiv p/T$$

$$V_\alpha^T(p) = -G_F^2 T^5 y$$

and lepton asymmetry potential (omitting factors)

$$V_\alpha^L = G_F T^3 \xi_{v_\alpha}$$

the resonance condition

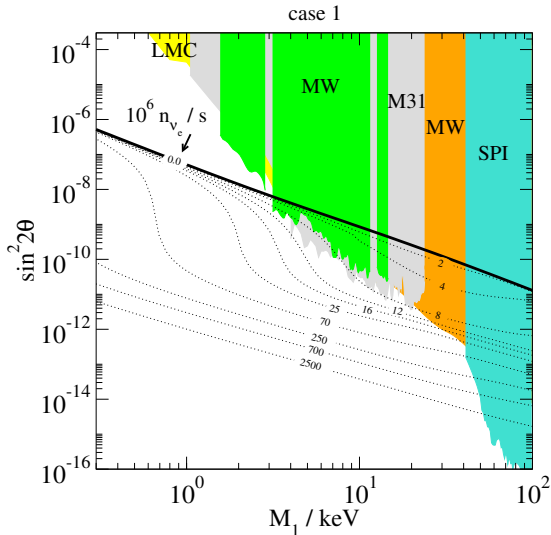
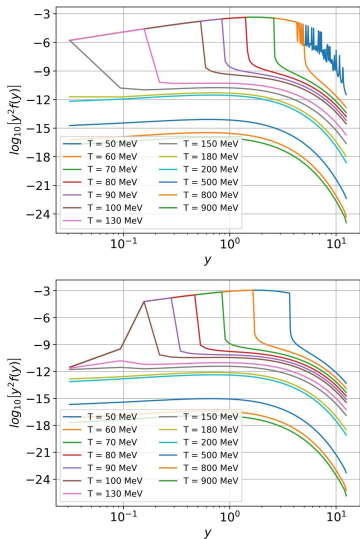
$$\frac{m_{v_s}^2}{2y} - G_F T^4 \xi_{v_\alpha} + G_F^2 T^6 y \approx 0$$

implies high temperature and low temperature solutions

$$y \simeq \frac{\xi_{v_\alpha}}{G_F T^2}, \quad y \simeq \frac{m_{v_s}^2}{2\xi_{v_\alpha} G_F T^4}$$

DM from oscillations:

(DW & ShF)

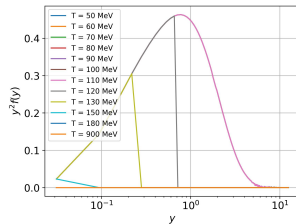
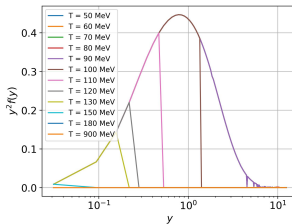
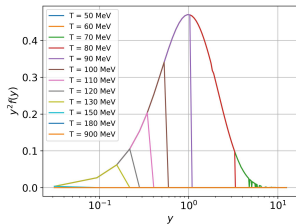


2502.17374 with help of

dmpheno code 1601.07553

Make them colder changing the asymmetry

Reference: thermal spectrum gives $\langle p/T \rangle = \langle y \rangle = 3$

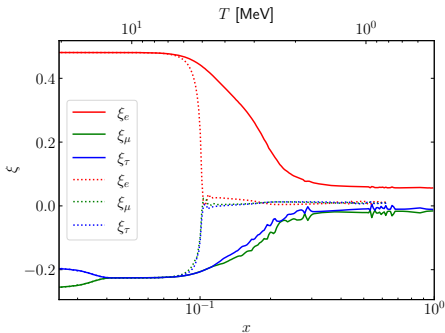
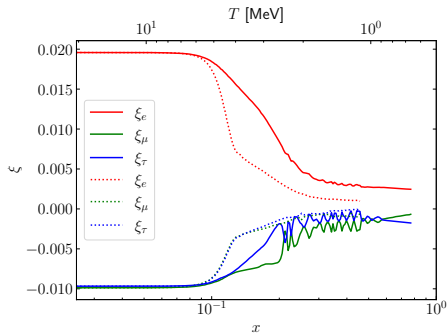


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Reduce the remained lepton asymmetry, if $\Sigma \approx 0$

Via oscillations of active neutrinos which starts at $T \sim 20 - 10 \text{ MeV}$

FortEPiNO



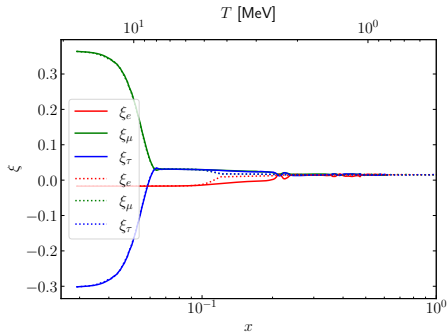
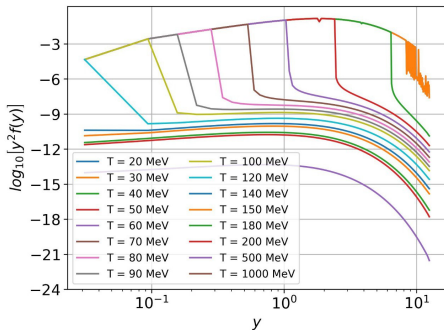
solid lines for normal and dotted lines for inverse hierarchy

2502.17374

Reduce the lepton asymmetry, mixing with ν_μ

Via oscillations of active neutrinos which starts at $T \sim 20 - 10 \text{ MeV}$

FortEPiNO



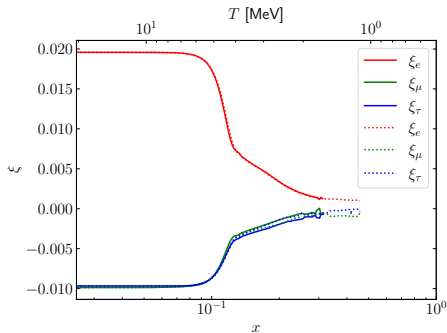
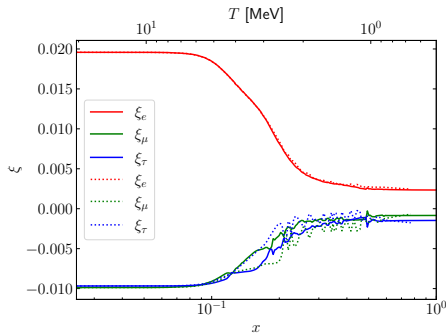
solid lines for normal and dotted lines for inverse hierarchy

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Reduce the remained lepton asymmetry, if $\Sigma \approx 0$

Via oscillations of active neutrinos which starts at $T \sim 20 - 10$ MeV

FortEPiANO

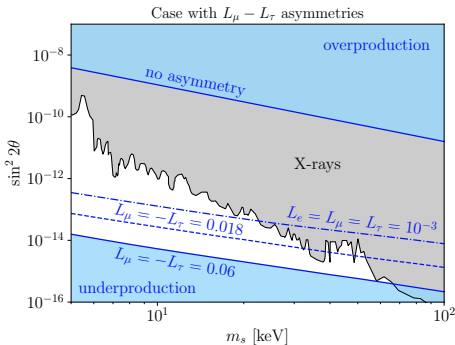
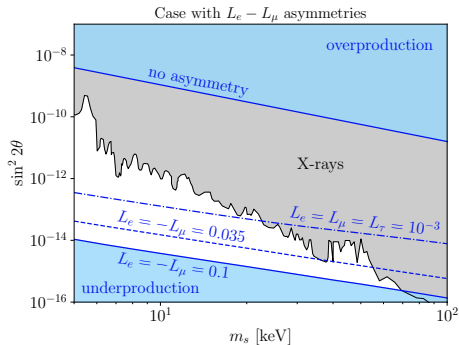


normal (left) and inverse (right) hierarchy

with solid lines for zero CP and dotted for CP phase from PDG

2502.17374

Recent predictions



2507.20659

Summary

- sterile neutrino is a viable DM candidate
- it can be produced in the early Universe via resonant oscillations
- more room with tuned asymmetry in neutrino flavors
- this is a task for next generation X -ray telescopes
- ν MSM is minimalistic SM extension (3 majorana fermions)
capable of explaining
neutrino oscillations
baryon asymmetry of the Universe
dark matter phenomenon

Backup slides

Anomalies with matter structures at small scales

- Core-cusp problem

Dark Matter density profiles in the centers of simulated halos are cusped
while in observed dwarf galaxies are cored

- Lack of dwarf galaxies

Matter perturbations of almost flat spectrum produce flat halo mass spectrum
low abundance of small galaxies

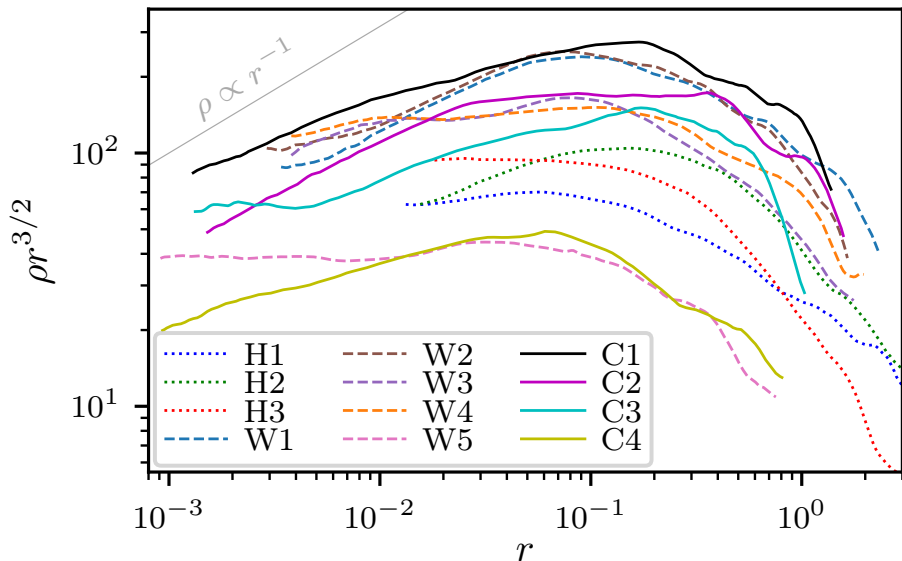
- Too-big-To-fail problem

There must be galaxies heavy enough to keep baryons inside
Milky Way hosts only two such galaxies

WDM, SIDM, Fuzzy DM etc: to suppress structures at small scales

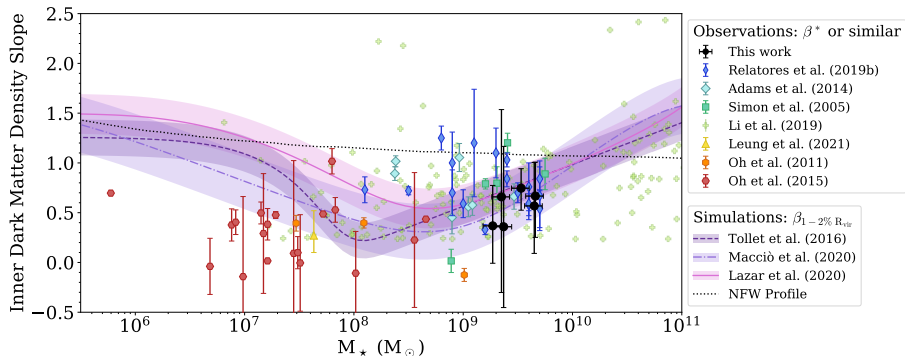
Cusps in simulations

2207.05082

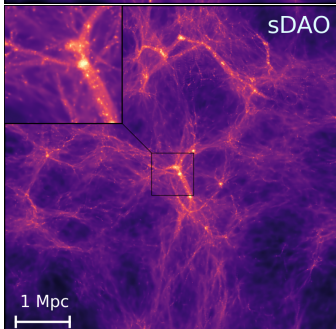
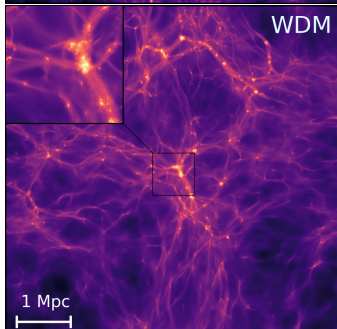
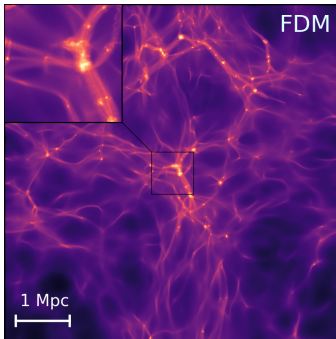
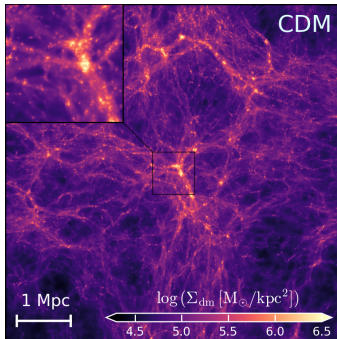


Core vs cusp in a galaxy...

2203.00694



$$\rho(r) \propto \frac{1}{r^{\beta^*}}$$



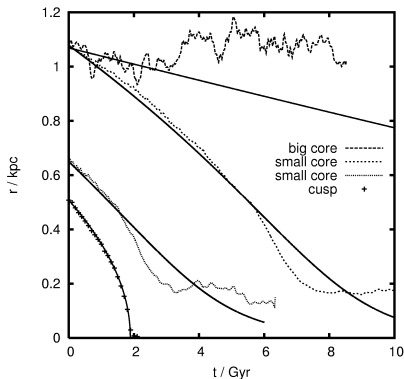
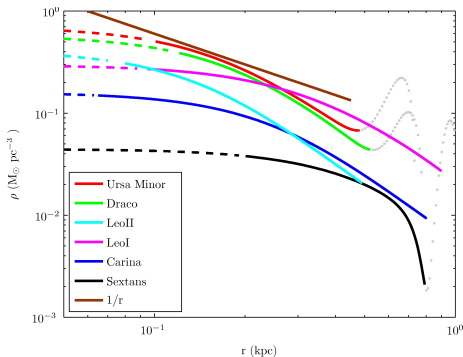
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CDM Problems

- Missing satellites: $\frac{dN_{obj}}{d \ln M} \propto \frac{1}{M}$ no-scale 30 instead of 300
- Galactic density profiles: $\rho_M(r) \propto r^{-(0.5-1.5)}$ cusp

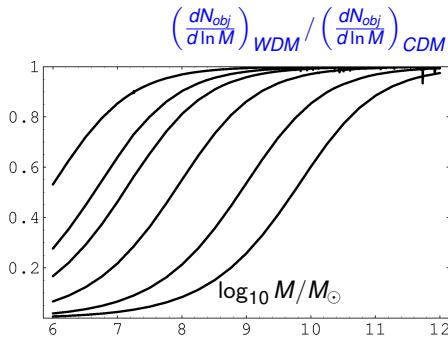
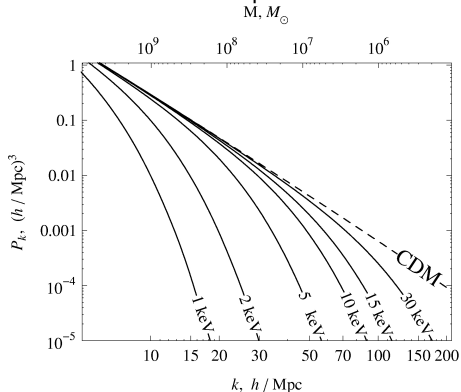
Cores observed (?)

5 Clusters in the Fornax dSph



CDM Problems

- Missing satellites: $\frac{dN_{obj}}{d \ln M} \propto \frac{1}{M}$ no-scale 30 instead of 300
- Galactic density profiles: $\rho_M(r) \propto r^{-(0.5-1.5)}$ cusp
- Might be solved with Warm Dark Matter (sterile neutrino, gravitino)
 - Is non-relativistic ($v \sim 10^{-3}$) at $T \sim 1$ eV free-streaming scale $l \sim vt_H$
 - Nonthermal production is needed



Generation of lepton asymmetry with ν MSM

Sakharov's condition of a successful baryogenesis

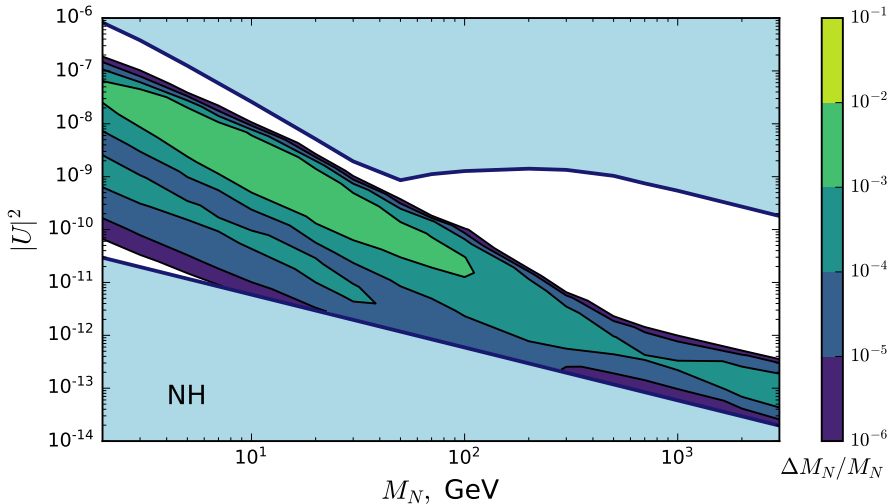
- B , L -violation
- C -, CP -violation
- departure from thermal equilibrium

Oscillations in primordial plasma to get $\sim 10^{-9}$ before EW transition

generation of higher lepton asymmetry (upto 10^{-3} later)

Degeneracy for Leptogenesis

2008.13771



Mixing angle in primordial plasma

$$\langle P(\nu_\alpha \rightarrow \nu_s) \rangle = \frac{1}{2} \frac{\frac{m_{\nu_s}^4}{4p^2} \sin^2 2\theta_\alpha}{\frac{m_{\nu_s}^4}{4p^2} \sin^2 2\theta_\alpha + \frac{\Gamma_{\nu_\alpha}^2}{4} + \left(\frac{m_{\nu_s}^2}{2p} \cos 2\theta_\alpha - V_\alpha^L - V_\alpha^T \right)^2}.$$

with neutrino thermal potential

$$V_\alpha^T(p) = -\frac{8\sqrt{2}}{3} G_F \left(\frac{\rho_{\nu_\alpha}}{M_Z^2} + \frac{\rho_\alpha}{M_W^2} \right) p, \quad \rho_{\nu_\alpha} \propto T^4$$

and lepton asymmetry potential

$$V_\alpha^L = \sqrt{2} G_F \left[\left(1 - 2 \sin^2 \theta_W \right) \Delta n_Q - \frac{1}{2} \Delta n_B + \sum_\beta \left(\left(1 + \delta_{\alpha\beta} \right) \Delta n_{\nu_\beta} \right) + \left(\delta_{\alpha\beta} - \frac{1}{2} + 2 \sin^2 \theta_W \right) \Delta_\beta \right]$$

with lepton asymmetry $\Delta n_{\nu_\beta} \propto \mu_{\nu_\beta} T^2 \propto \xi_{\nu_\beta} T^2$