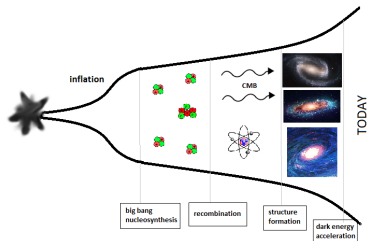


# Non-singular cosmologies in Horndeski theories and their stability

**Victoria Volkova**

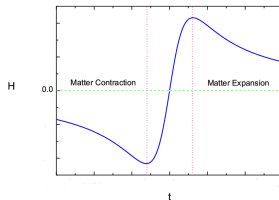
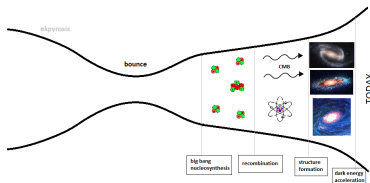
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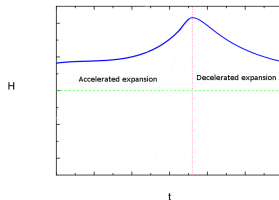
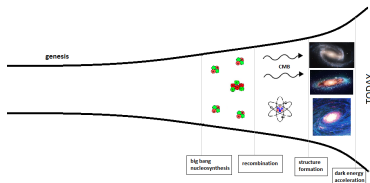


- Standard Big Bang cosmology has its characteristic set of problems at early times including an initial singularity problem.
- Inflation can successfully solve the majority of issues, but it is **geodesically incomplete in the past**.
- Alternative scenarios propose non-singular solutions:
  - Bouncing models (contraction  $\rightarrow$  bounce  $\rightarrow$  expansion)
  - Genesis models (expansion from Minkowski space)

- Both scenarios require the Hubble parameter  $H$  to grow:
  - a Universe with a bounce ( $\dot{H} > 0$  during the bouncing stage)



- a Universe starting off with Genesis ( $\dot{H} > 0$  at the onset of expansion)



# Non-singular cosmologies and NEC violation

- Both scenarios require **violation of the Null Energy Condition** (NEC):

$$T_{\mu\nu}k^\mu k^\nu > 0 \quad (g_{\mu\nu}k^\mu k^\nu = 0)$$

NEC for a homogeneous stationary fluid:  $p + \rho > 0$

- NEC ensures that the Hubble parameter never grows

$$\dot{H} = -4\pi G(p + \rho) + \frac{\kappa}{a^2} < 0$$

and the energy density in standard cosmologies always decreases

$$\frac{d\rho}{dt} = -3H(\rho + p) < 0.$$

- NEC is satisfied in GR + conventional matter
- Ways to violate NEC:
  - add exotic matter
  - modify GR  $\rightarrow$  scalar-tensor theories like Horndeski theories

# Horndeski theory

*Horndeski (1974), Nicolis, Rattazzi, Trincherini (2009), Deffayet, Gao, Steer, Zahariade (2011)*

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5),$$

$$\mathcal{L}_2 = F(\pi, X),$$

$$\mathcal{L}_3 = K(\pi, X) \square \pi,$$

$$\mathcal{L}_4 = -G_4(\pi, X) R + 2G_{4X}(\pi, X) [(\square \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu}],$$

$$\mathcal{L}_5 = G_5(\pi, X) G^{\mu\nu} \pi_{;\mu\nu} + \frac{1}{3} G_{5X} [(\square \pi)^3 - 3 \square \pi \pi_{;\mu\nu} \pi^{;\mu\nu} + 2 \pi_{;\mu\nu} \pi^{;\mu\rho} \pi_{;\rho}{}^\nu].$$

$\pi$  is a scalar field,  $X = \pi_{;\mu} \pi^{;\mu}$ ,  $\pi_{;\mu} = \partial_\mu \pi$ ,  $\pi_{;\mu\nu} = \nabla_\nu \nabla_\mu \pi$ ,  $\square \pi = g^{\mu\nu} \nabla_\nu \nabla_\mu \pi$ ,  $G_{iX} = \partial G_i / \partial X$ .

- Equations of motion are 2nd order (2+1 DOFs and no Ostrograsky ghost)
- Generality: any STT with 2nd order EOMs, belong to the Horndeski group.
- Special cases: Brans-Dicke theory,  $f(R)$ -gravity, k-essence, kinetic gravity braiding, Fab Four, any inflation on a scalar and many more...
- Healthy NEC violation  $\longrightarrow$  suitable framework for non-singular cosmologies

# Stability issues

- Another subtlety with non-singular cosmologies: stability at the perturbative level.

$$ds^2 = (1 + 2\alpha)dt^2 - \partial_i\beta dt dx^i - a^2(1 + 2\zeta\delta_{ij} + h_{ij}^T)dx^i dx^j$$

Quadratic action for tensor  $h_{ij}^T$  and scalar  $\zeta$  DOFs

$$S_{h+\zeta}^{(2)} = \int dt d^3x a^3 \left[ \frac{\mathcal{G}_T}{8} \left( \dot{h}_{ij}^T \right)^2 - \frac{\mathcal{F}_T}{8a^2} \left( \partial_k h_{ij}^T \right)^2 + \mathcal{G}_S \dot{\zeta}^2 - \mathcal{F}_S \frac{(\nabla\zeta)^2}{a^2} \right]$$

$$\mathcal{G}_S = \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T, \quad \mathcal{F}_S = \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, \quad \xi = \frac{a\mathcal{G}_T^2}{\Theta}$$

Stability and (sub)luminality conditions

$$\mathcal{G}_T, \mathcal{F}_T > \epsilon > 0, \quad \mathcal{G}_S, \mathcal{F}_S > \epsilon > 0, \quad \mathcal{F}_T \leq \mathcal{G}_T, \quad \mathcal{F}_S \leq \mathcal{G}_S$$

- **Complete** stability for  $\forall t$  ?  $\longrightarrow$  *No-go theorem*

Libanov, Mironov, Rubakov (2016)

Kobayshi (2016)

No-go theorem: there are no cosmological solutions with  $a > 0$  in Horndeski theory which are free from gradient instabilities for  $t \in (-\infty, +\infty)$ .

$$\mathcal{F}_S = \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T \quad \longrightarrow \quad \xi(t_2) - \xi(t_1) = \int_{t_1}^{t_2} a(t) (\mathcal{F}_T + \mathcal{F}_S) dt$$

- To avoid gradient instabilities, there must be a moment  $t_0$  when  $\xi(t)$  changes sign, i.e.,  $\xi(t_0) = 0$ .
- With  $a \neq 0$  making  $\xi = \frac{a\mathcal{G}_T^2}{\Theta}$  cross zero requires either
  - $\mathcal{G}_T \rightarrow 0$ , which corresponds to a strong coupling regime, or
  - $\Theta \rightarrow \infty$ , which corresponds to a singularity in the Lagrangian

Hence,  $\xi$  cannot cross zero in a healthy way  $\longrightarrow$  *non-singular cosmologies in Horndeski theory are always plagued with gradient instabilities*.

# Ways to Evade the No-Go Theorem

Basic strategy: *resolve the contradiction between stability and healthy*  $\xi(t_0) = 0$ .

- Protect  $\xi$  from crossing zero with rapid decay of  $\mathcal{G}_{\mathcal{S},\mathcal{T}}, \mathcal{F}_{\mathcal{S},\mathcal{T}} \rightarrow 0$  in

$$\xi(t_2) - \xi(t_1) = \int_{t_1}^{t_2} a(t) (\mathcal{F}_{\mathcal{T}} + \mathcal{F}_{\mathcal{S}}) dt \rightarrow \text{converges}$$

Solution: stability does not require  $\xi$  to cross zero.

*Note:* Strong coupling problem? Not necessarily .

*Ageeva, Evseev, Melichev, Rubakov (2018), Ageeva, Petrov, Rubakov (2021)*

- Make  $\xi = \frac{a\mathcal{G}_{\mathcal{T}}^2}{\Theta}$  cross zero by adjusting  $\Theta$ :
  - Vanishing  $\mathcal{G}_{\mathcal{T}}(t_*) = \Theta(t_*) = 0$ : fine-tuning and strong coupling at  $t_*$
  - $\Theta \equiv 0$  for  $\forall t \rightarrow$  constraints on the Lagrangian  $\rightarrow$  2 DOFs like in GR

*Mironov, Shtennikova (2023)*

- Go beyond Horndeski:  $\xi = \frac{a\mathcal{G}_{\mathcal{T}}^2}{\Theta} \rightarrow \xi = \frac{a\mathcal{G}_{\mathcal{T}} (\mathcal{G}_{\mathcal{T}} + \mathcal{D}\dot{\pi})}{\Theta}$

*(for a review see e.g. 2409.16108)*

- Cuscuton theory:  $\mathcal{G}_{\mathcal{S}} \equiv 0 \rightarrow$  non-dynamical scalar mode



# Cuscuton theory

- Cuscuton theory: an example of "minimally modified gravity" theories (involves only 2 DOFs)
- Cuscuton was formulated as a subclass of k-essence:

$$S = S_{\text{EH}} + \int d^4x \sqrt{-g} P(X, \pi), \quad (X \equiv -\frac{1}{2} \partial_\mu \pi \partial^\mu \pi)$$

$$\delta_\pi S = 0 \xrightarrow{\text{FRLW}} (P_{,X} + 2XP_{,XX})\ddot{\pi} + 3HP_{,X}\dot{\pi} + P_{,X\pi}\dot{\pi}^2 - P_{,\pi} = 0$$

*Afshordi et al. (2007)*

- By definition: cuscuton is scalar field  $\pi$  that is

(1) non-dynamical at the background level

$$P_{,X} + 2XP_{,XX} = 0 \quad \longrightarrow \quad P(X, \pi) = c_1(\pi)\sqrt{|X|} + c_2(\pi)$$

(2) has no propagating scalar DOF at the perturbation level

$$S_\zeta^{(2)} = \int dt d^3x a^3 \left[ \mathcal{G}_S \dot{\zeta}^2 - \mathcal{F}_S \frac{(\nabla \zeta)^2}{a^2} \right]$$

$$\mathcal{G}_S = \frac{X}{H^2} (P_{,X} + 2XP_{,XX}), \quad \mathcal{F}_S = -\frac{\dot{H}}{H^2} M_{\text{Pl}}^2$$

# Cuscuton theory and non-singular cosmologies

- Original cuscuton:  $P(X, \pi) = \pm \mu^2 \sqrt{2X} - V(\pi)$

$$\boxed{\pm \text{sign}(\dot{\pi}) \cdot 3H\mu^2 = -V_\pi}$$

- Accompanying Einstein eqs:

$$H^2 = \frac{8\pi}{3M_{Pl}^2} V(\pi), \quad \dot{H} = \mp \frac{4\pi}{M_{Pl}^2} (\mu^2 \sqrt{2X})$$

- NEC-violating phase with  $\dot{H} > 0$  corresponds to " − " sign in  $P(X, \pi)$

- Let us construct a complete Genesis solution:

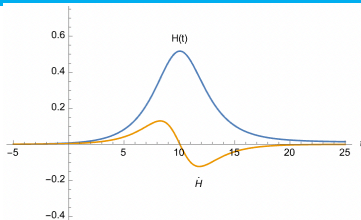
- Original Genesis:  $H \sim \frac{1}{(-t)^3}$ ,  $a \sim 1 + \frac{1}{(-t)^2}$  as  $t \rightarrow -\infty$   
*Creminelli et al. (2010)*
- Kination at late times:  $H \sim \frac{1}{3t}$ , as  $t \rightarrow +\infty$

# Genesis driven by the cuscuton field: a toy-model

$$H^2 = \frac{8\pi}{3M_{Pl}^2} V(\pi)$$

$$\dot{H} = \frac{4\pi}{M_{Pl}^2} (\mu^2 \sqrt{2X})$$

$$3H\mu^2 = V_\pi$$



- Reconstruction technique: for a chosen  $H(t)$  we find suitable  $V(\pi)$
- Suitable Hubble parameter:

$$H(t) = \left[ \left( 4 \frac{\Lambda^3}{f^3} \cdot \frac{t^2 (1 - \tanh(t/\tau))}{2(1 + \alpha/3)} + 3 \cdot \frac{1 + \tanh(t/\tau)}{2} \right) \sqrt{2\tau^2 + t^2} \right]^{-1}$$

$$H(t) \sim \frac{1}{(-t)^3} \quad (t \rightarrow -\infty), \quad H(t) \sim \frac{1}{3t} \quad (t \rightarrow +\infty)$$

*Mironov, Rubakov, VV (2019)*

- $H(t)$  immediately defines  $V(t)$  and  $\pi(t)$
- The scenario is completely stable by design (no other matter is present)

# More realistic Genesis scenario and potential problems

The following steps towards a more realistic Genesis model:

- The late time kination can be driven by an additional massless scalar
- Additional matter components source scalar perturbations
  - Possible issues with stability (invoking ghosts or gradient instabilities)
  - Superluminal propagation of the scalar perturbation mode (kinetic mixing effect)
- Power spectrum of scalar perturbations that agrees with observational data (+ non-Gaussianities analysis)
- Extended cuscuton theory may provide a more natural and rich framework

- Scalar-tensor theories with modified gravity provide a vast playground for various non-singular cosmologies
- Possibly the main issue with non-singular cosmologies is to ensure their stability at the linearized level
- Minimally modified gravity theories seem to be specifically suitable for realistic, completely stable non-singular cosmologies

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**Thank you for your attention!**