

# Finite system size corrections to the effective coupling in $\phi^4$ scattering

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WAH and Du Plessis, Phys.Rev.D 105 (2022) 9, L091901 [2203.01259]

WAH and Du Plessis, Phys.Rev.D 109 (2024) 3, 036013 [2308.08651]

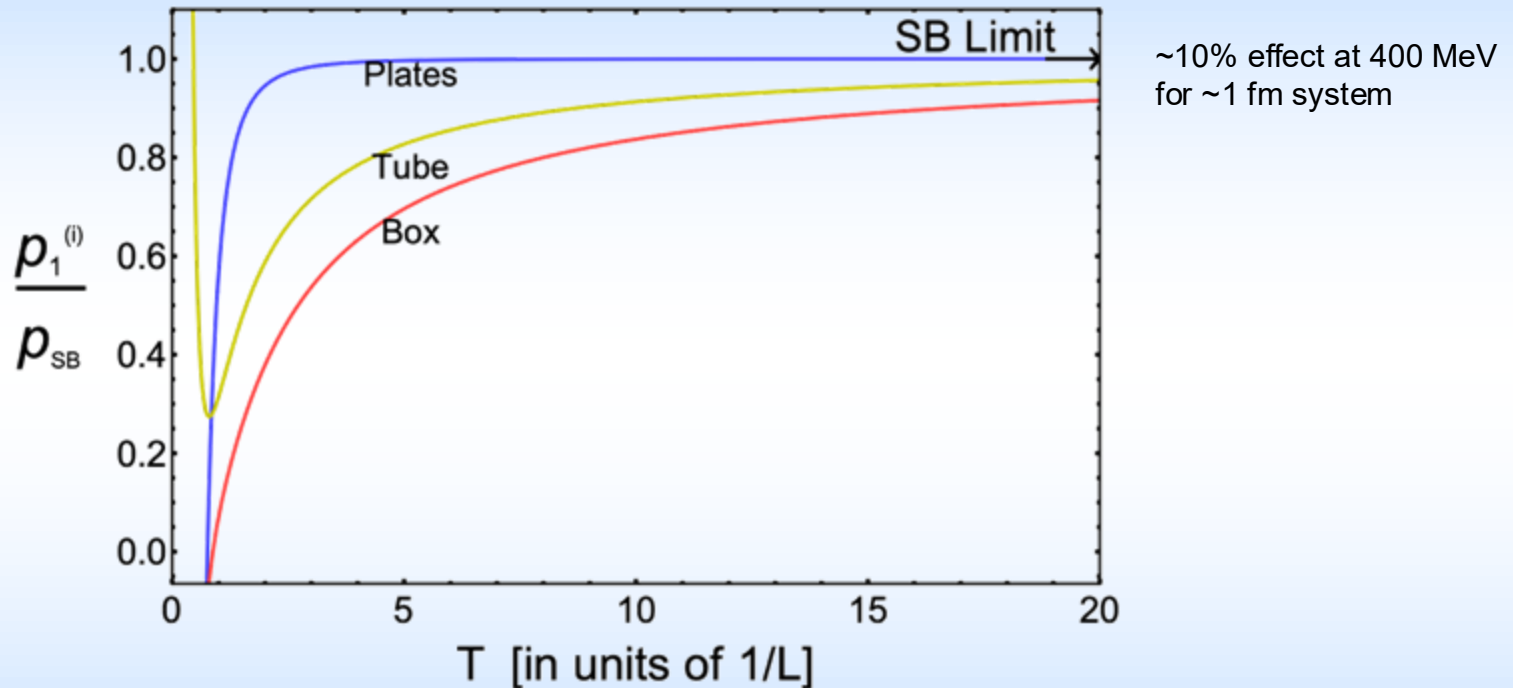


# Motivation: Thermodynamics of Small Systems



# Does Finite Size Affect Thermodyn.?

- Test using free scalar field theory



Mogliacci, Kolbé, and WAH, PRD102 (2020)

- $p$  decreases as  $T$  decreases for fixed  $L$ , converging to usual  $T = 0$  Casimir effect

# Finite Size Effects on Trace Anomaly

- Trace anomaly  $\Delta$ 
  - gives speed of sound
  - important for  $\eta/s$  extraction in QCD
  - due to running coupling
    - finite size breaks conformal invariance, but  $\Delta = 0$  at LO
- Non-trivial conceptual issues for QCD:
  - How to regularize and renormalize?
    - Dim reg difficult to generalize in finite size setting
  - Torons
- First examine finite size effects for running coupling in  $2 \Rightarrow 2$  scattering in  $\phi^4$  theory



# Denominator Regularization



# Running Coupling

- Requires NLO loop calculation
  - Thus requires a regularization scheme
    - Which scheme to use?
- All current schemes have drawbacks:
  - Pauli-Villars breaks gauge invariance
  - Zeta breaks BRS invariance
  - Dim reg
    - Inappropriate for finite size system:
      - I know how to perform  $n$  sums, where  $n$  is a positive integer; how do I perform  $3 - \epsilon$  sums?
      - Dim reg requires symmetry in all directions; what if the system is asymmetric?

# Define New Regularization Scheme

- Denominator regularization (den reg) instead of dimensional regularization (dim reg)
  - Keep number of dimensions fixed
  - Feynman x combine propagators
  - Analytically continue the power of the single denominator
  - Introduce fictitious scale  $\mu$  to maintain dimensions
  - Allow for coefficient functions  $f_{(n,p)}(\epsilon)$ ,  $f_{(n,p)}(0) = 1$ , that depend on original denominator power  $n$  and the superficial degree of divergence  $p$
  - E.g.:

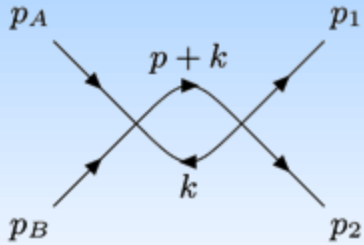
$$V(p^2; \mu, \epsilon) = -\frac{1}{2} \int_0^1 dx \int \frac{d^{4-\epsilon} k}{(2\pi)^{4-\epsilon}} \frac{i\mu^\epsilon}{(k^2 - \Delta^2)^2}$$
$$\Rightarrow -\frac{1}{2} \int_0^1 dx \int \frac{d^4 k}{(2\pi)^4} \frac{if_{(2,0)}(\epsilon)(-\mu^2)^{2\epsilon}}{(k^2 - \Delta^2)^{2+\epsilon}}$$

# Advantages of Den Reg

- As easy to implement as dim reg
- Maintains Lorentz invariance
- Internally consistent:
  - Momenta and fields all in reps of  $SO(1,n)$ 
    - Cf dim reg, where momenta are in  $SO(1,n-\epsilon)$  but fields are in  $SO(1,n)$
- Fixed dim's:
  - Well suited for thermal field theory, field theory in a finite-sized system, field theory in curved space
  - $\gamma^5$  and  $\epsilon^{\mu\nu\rho\sigma}$  uniquely well defined
    - Manifestly correct prediction of axial anomaly? (Dim reg must break Lorentz inv. to get axial anom.)
  - should maintain SUSY (to check; cf dim reg)



# Examples: $\phi^4$ Theory to NLO



$$= V \rightarrow i \frac{(-\mu^2)^\epsilon f_{(2,0)}(\epsilon)}{2} \int \frac{d^4 k}{(2\pi)^4} \int_0^1 dx \frac{1}{(k^2 - \Delta^2)^{2+\epsilon}}$$

$$\Delta^2 \equiv m^2 - x(1-x)p^2 - i\epsilon, \quad p \equiv p_1 + p_2$$

- Need the integral  $\int k_E^3 dk_E \frac{\mu^{2\epsilon}}{(k_E^2 + \Delta^2)^{2+\epsilon}} = \frac{1}{2} \frac{1}{\epsilon(1+\epsilon)} \left( \frac{\mu^2}{\Delta^2} \right)^\epsilon$
- Only  $1/\epsilon$  divergence already, set  $f_{(2,0)}(\epsilon) \equiv 1$
- Then

$$V(p^2; \mu) = -\frac{1}{2(4\pi)^2} \int_0^1 dx \left[ \frac{1}{\epsilon} - 1 + \ln \left( \frac{\mu^2}{\Delta^2} \right) \right]$$

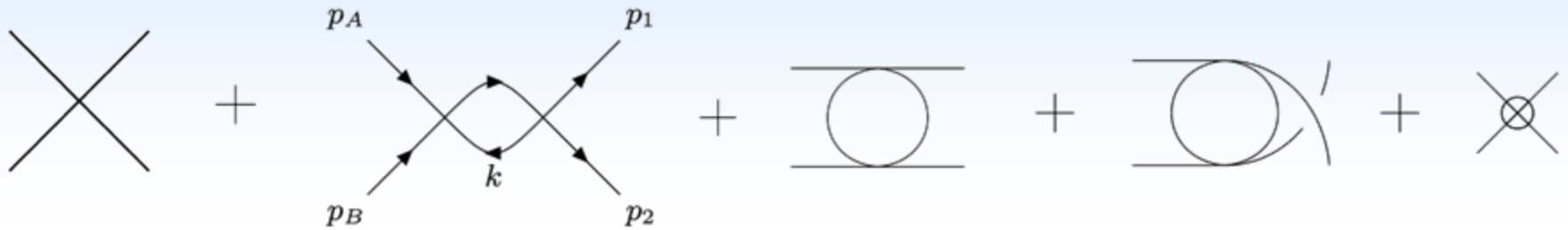
- Cf dim reg,  $-1 \Rightarrow -\gamma_E + \ln(4\pi)$
- Den reg satisfies unitarity in inf. vol. limit

# Finite Size Effects on Running Coupling in Continuum Field Theory



# 2 $\Rightarrow$ 2 at NLO in $\phi^4$ in Finite System

- Feynman Diagrams:



- Define  $(-i\lambda)^2 iV(p^2) \equiv$

- Impose Periodic B.C.'s

$$V(p^2, \{L_i\}; \mu, \epsilon) = -\frac{1}{2} \int_0^1 dx \int \frac{dk^0}{2\pi} \sum_{\vec{k} \in \mathbb{Z}^3} \frac{1}{(2\pi)^3 L_1 L_2 L_3} \frac{\mu^{2\epsilon}}{[k^2 - \Delta^2]^{2+\epsilon}}$$

# Capture the Divergence

- Result is a generalized Epstein Zeta fcn

$$V(p^2, \{L_i\}; \mu, \epsilon) = -\frac{1}{2} \frac{1}{2\pi} \frac{1}{(2\pi)^3 L_1 L_2 L_3} \frac{\sqrt{\pi} \Gamma(\frac{3}{2} + \epsilon)}{\Gamma(2 + \epsilon)} \int_0^1 dx \sum_{\vec{k} \in \mathbb{Z}^3} \frac{\mu^{2\epsilon}}{\left(\sum_{i=1}^3 \left(\frac{k^i}{L_i} + x p^i\right)^2 + \Delta^2\right)^{\frac{3}{2} + \epsilon}}$$

- Poisson Summation Formula:  $\sum_{\vec{n} \in \mathbb{Z}^p} f(\vec{n}) = \sum_{\vec{m} \in \mathbb{Z}^p} \tilde{F}(\vec{m})$
- Yields new analytic continuation for g.E.Z:

$$\begin{aligned} \sum_{\vec{n} \in \mathbb{Z}^p} (a_i^2 n_i^2 + b_i n_i + c - i\epsilon)^{-s} &= \frac{1}{a_1 \cdots a_p} \frac{1}{\Gamma(s)} \left[ \pi^{p/2} \Gamma(s - \frac{p}{2}) \left( c - \sum \frac{b_i^2}{4a_i^2} - i\epsilon \right)^{\frac{p}{2} - s} \right. \\ &\quad \left. + 2\pi^s \sum'_{\vec{m} \in \mathbb{Z}^p} e^{-2\pi i \sum \frac{m_i b_i}{2a_i^2}} \left( \frac{c - \sum \frac{b_i^2}{4a_i^2} - i\epsilon}{\sum \frac{m_i^2}{a_i^2}} \right)^{\frac{p}{4} - \frac{s}{2}} K_{s - \frac{p}{2}} \left( 2\pi \sqrt{\left( c - \sum \frac{b_i^2}{4a_i^2} - i\epsilon \right) \left( \sum \frac{m_i^2}{a_i^2} \right)} \right) \right] \end{aligned}$$

# Finite Size Result at NLO

- Found the pole! And the F.S. correction!

$$V(p^2, \{L_i\}; \mu, \epsilon) = -\frac{1}{2} \frac{1}{(4\pi)^2} \int_0^1 dx \left\{ \frac{1}{\epsilon} - 1 + \ln \frac{\mu^2}{\Delta^2} + 2 \sum'_{\vec{m} \in \mathbb{Z}^3} e^{-2\pi i x \sum m_i p^i L_i} K_0 \left( 2\pi |\Delta| \sqrt{\sum m_i^2 L_i^2} \right) \right\}$$

## – Correction

- goes to 0 as  $L_i, p \Rightarrow$  infinity
- satisfies unitarity/optical theorem
- Optical thm check highly non-trivial
  - Requires generalization of a number theory result from Hardy/Ramanujan

# Numerical Results for V in 1D Compact

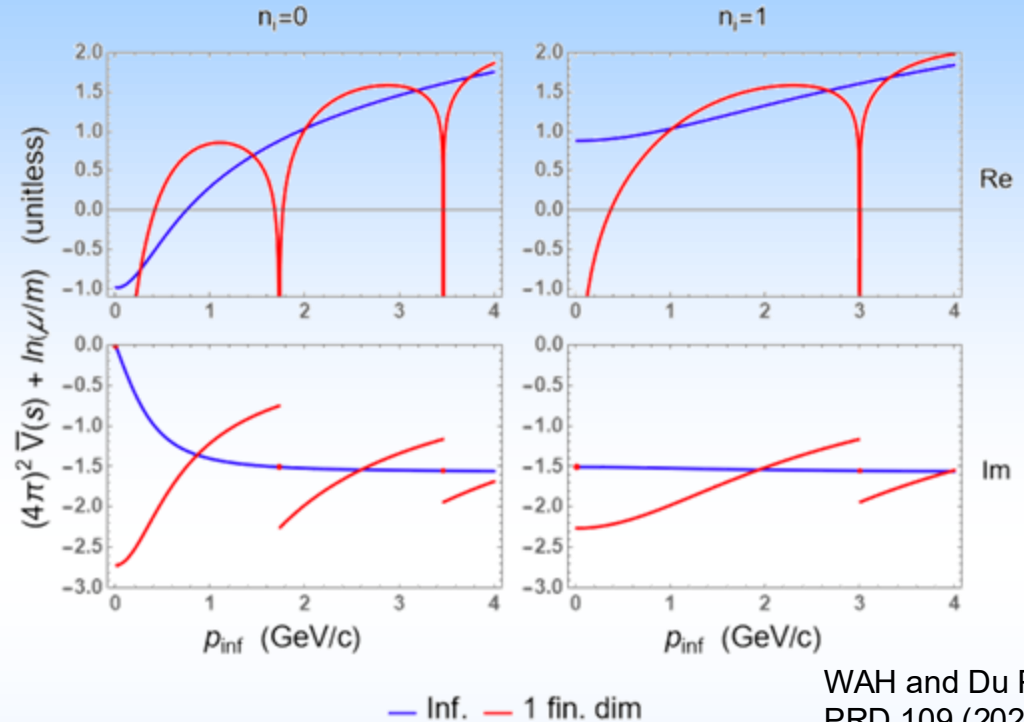
- s Channel (p):

$$p_{\text{in}}^i = \left( \frac{n_i}{L}, 0, p_{\text{inf}} \right)^i$$

$$p_{\text{out}}^i = \left( \frac{n_f}{L}, \sin(\theta)p_{\text{inf},f}, \cos(\theta)p_{\text{inf},f} \right)^i$$

$$p_{\text{inf},f} \equiv \sqrt{p_{\text{inf}}^2 + \frac{n_i^2 - n_f^2}{L}}$$

$n_i$  is mode in  
finite direction

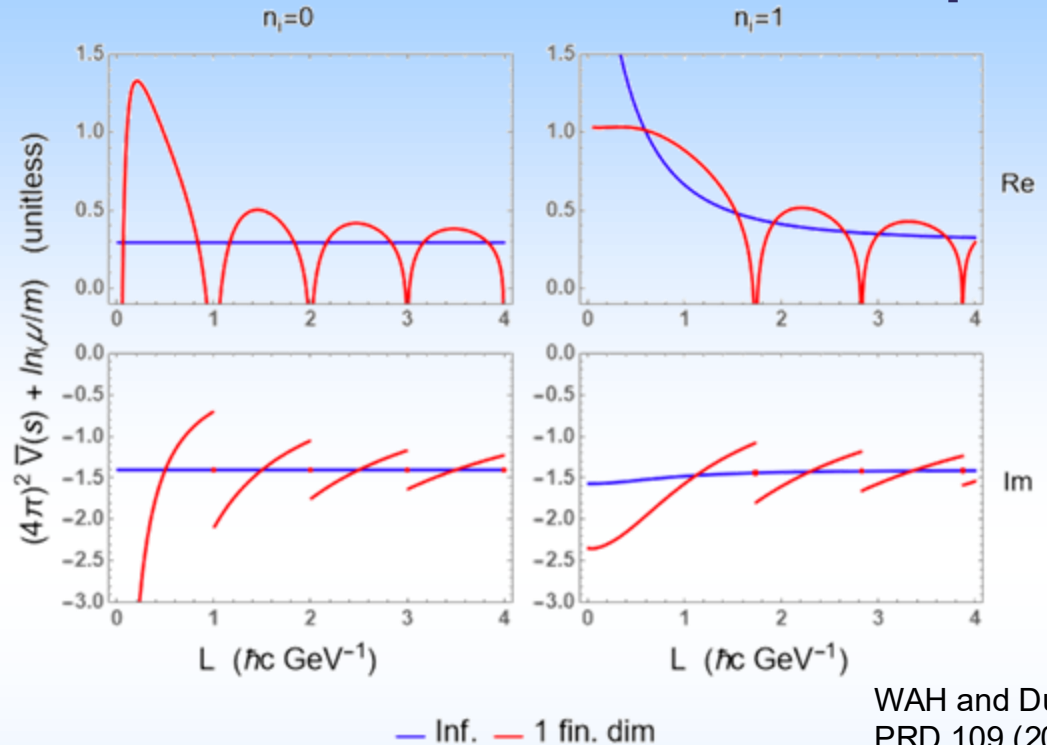


WAH and Du Plessis,  
PRD 109 (2024)

- $V(p \Rightarrow \infty)$  converges to infinite volume result almost everywhere
- $V(p > 0) \Rightarrow -\infty$  are “geometric bound states”
  - All outgoing momentum in the finite direction
  - Outgoing particle “gets stuck” in the geometry
- $V(p \Rightarrow 0)$  IR divergence is desired finite size effect

# Numerical Results for V in 1D Compact

- s Channel (L):



WAH and Du Plessis,  
PRD 109 (2024)

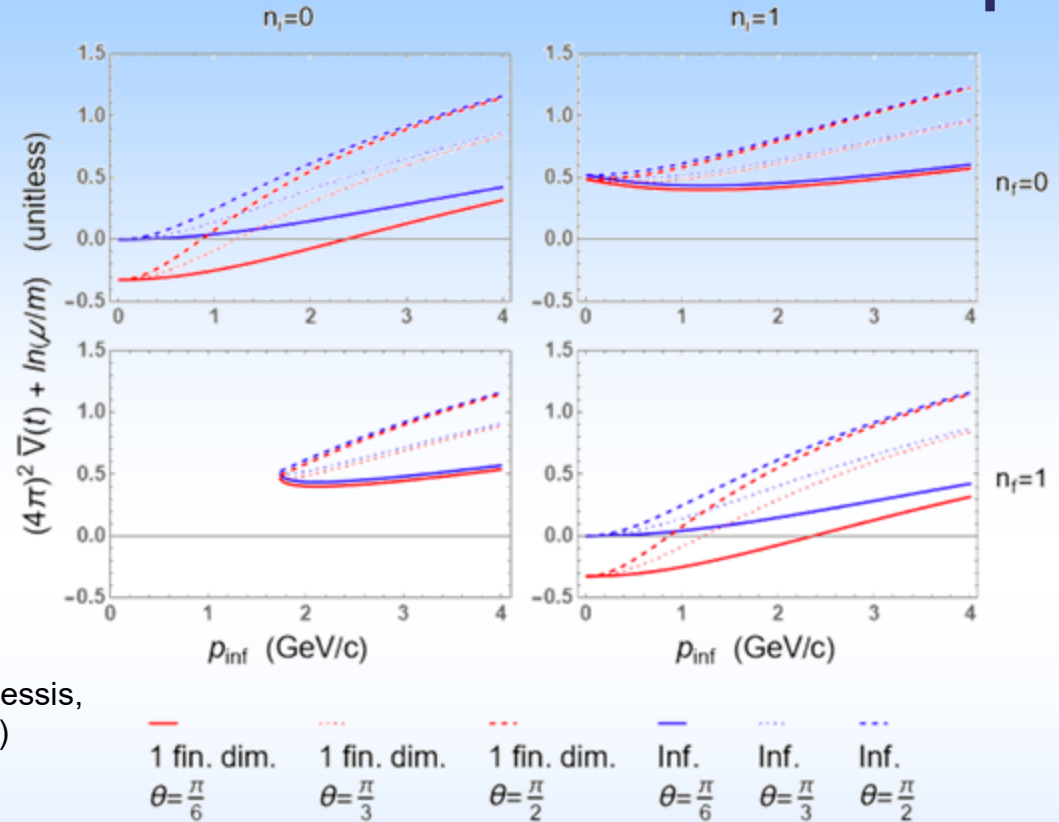
- Similar to p dependence:

- $V(L \Rightarrow \infty)$  converges to infinite volume result almost everywhere
- $V(L > 0) \Rightarrow -\infty$  are “geometric bound states”
  - All outgoing momentum in the finite direction
  - Outgoing particle “gets stuck” in the geometry
- $V(L \Rightarrow 0)$  IR divergence is desired finite size effect

- Nontrivial  $L \Rightarrow 0$  dependence for  $n_i = 1$  induced by  $n_i/L$  momentum in the finite direction

# Numerical Results for $V$ in 1D Compact

- $t$  Channel (p):

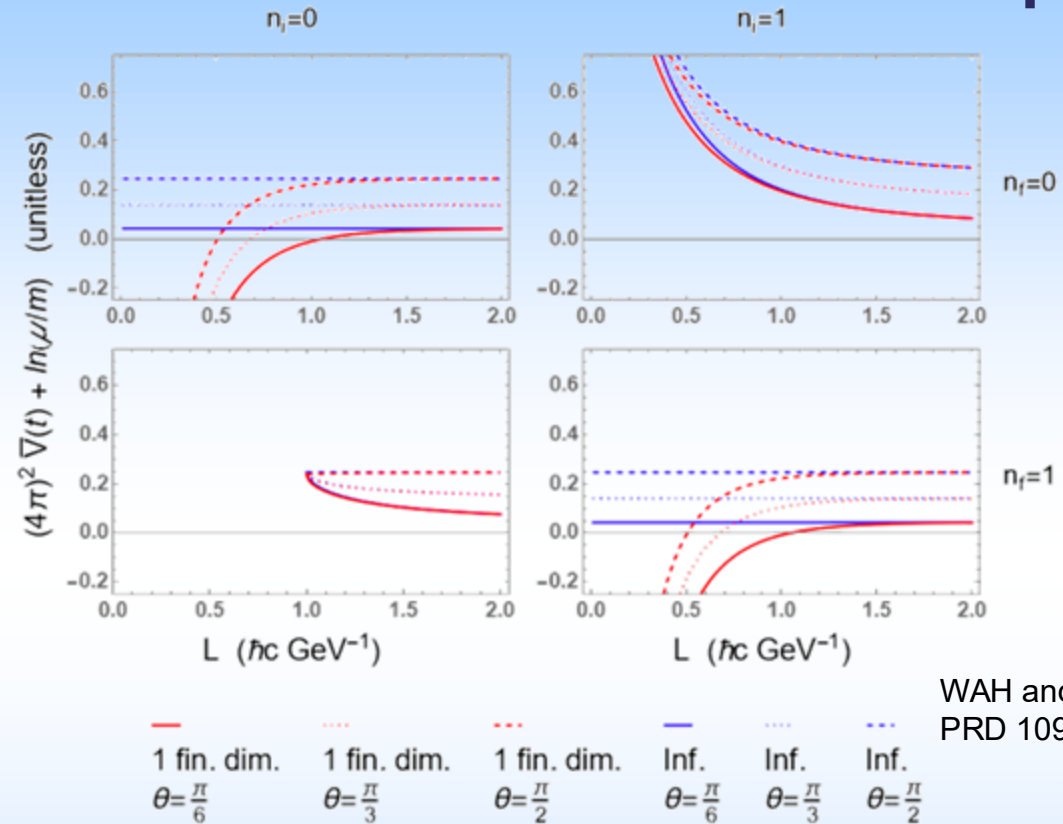


- Curves end for  $n_i = 0$  and  $n_f = 1$  as not enough momentum in system
- Non-zero mass acts as IR regulator, so  $V(p \rightarrow 0)$  is finite
- $V(p \rightarrow \infty)$  converges to infinite volume result



# Numerical Results for V in 1D Compact

- t Channel (L):



- Curves end for  $n_i = 0$  and  $n_f = 1$  as not enough momentum in system
- $V(L \rightarrow 0)$  diverges for systems with available momenta
- $V(p \rightarrow \infty)$  converges to infinite volume result

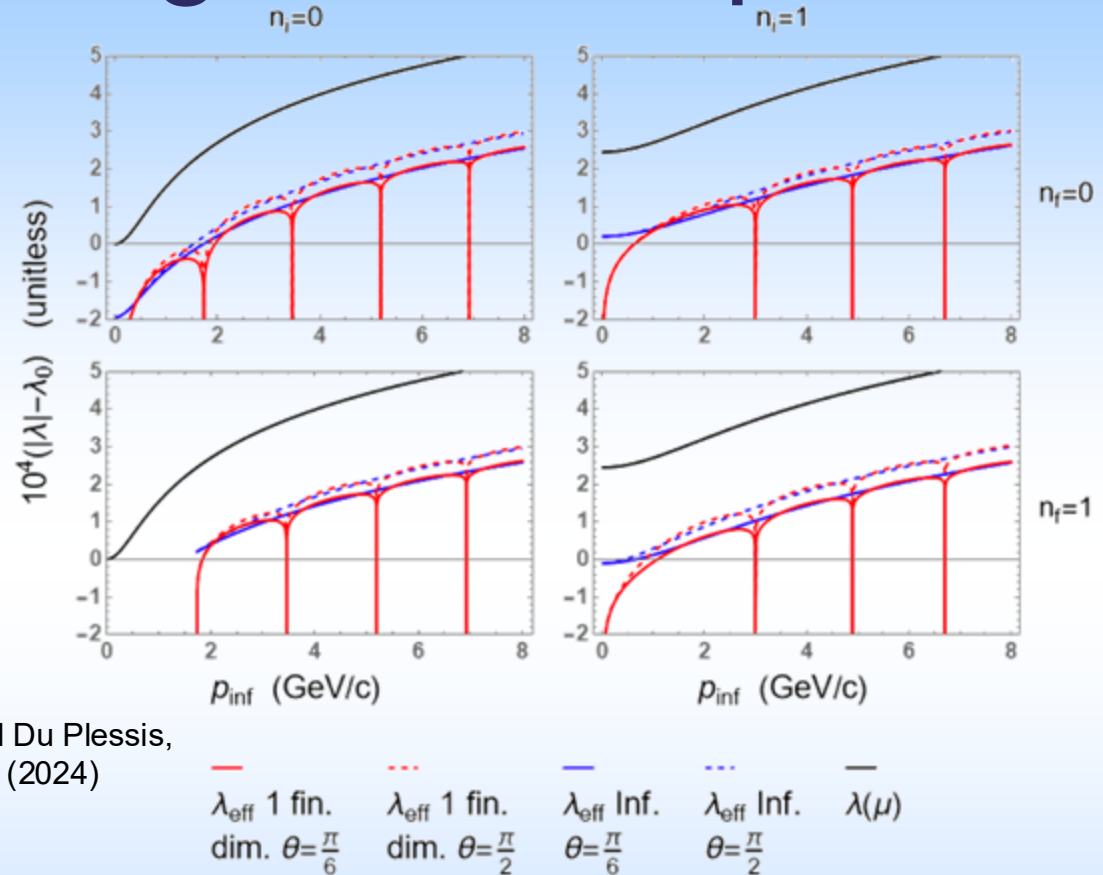
# Effective Coupling in 1 Compact D

- $\lambda_0 = 0.1; \lambda(p)$

Black: Callan-Symanzik running coupling

Blue: infinite volume effective coupling

Red: finite-size effective coupling



- Running coupling captures leading log of effective coupling
- $p \Rightarrow \infty$  finite size effective coupling converges almost everywhere to infinite volume
- Notice influence of geometric bound states
  - $\lambda \Rightarrow 0$  at bound state poles
- $p \Rightarrow 0$  demonstrates finite size effects, where  $\lambda \Rightarrow 0$

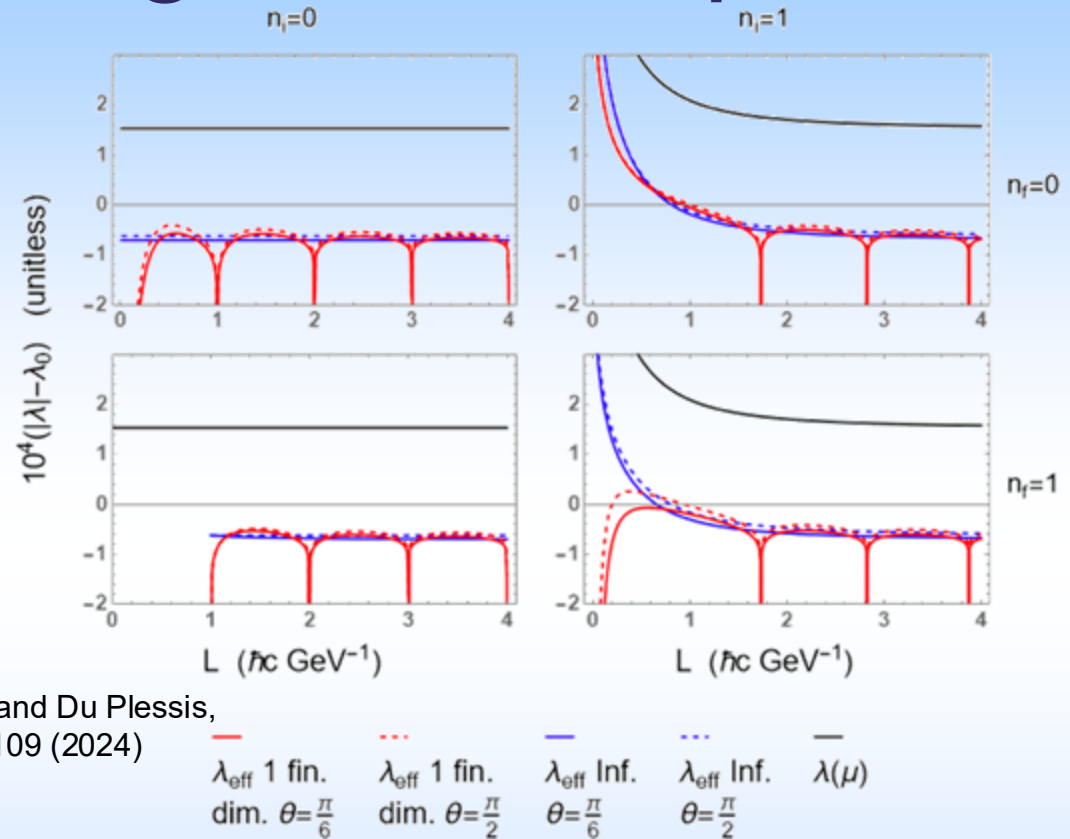
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- $\lambda_0 = 0.1; \lambda(L):$

Black: Callan-Symanzik running coupling

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- Running coupling captures leading log of effective coupling
- $L \Rightarrow \infty$  finite size effective coupling converges almost everywhere to infinite volume
- Notice influence of geometric bound states
  - $\lambda \Rightarrow 0$  at bound state poles
- $L \Rightarrow 0$  demonstrates finite size effects, where  $\lambda \Rightarrow 0$ 
  - Surprising, as one expects  $p_{\text{typ}} \sim 1/L \Rightarrow \infty$  and  $\lambda \Rightarrow \infty$  from positive beta function for  $\phi^4$

# Conclusions

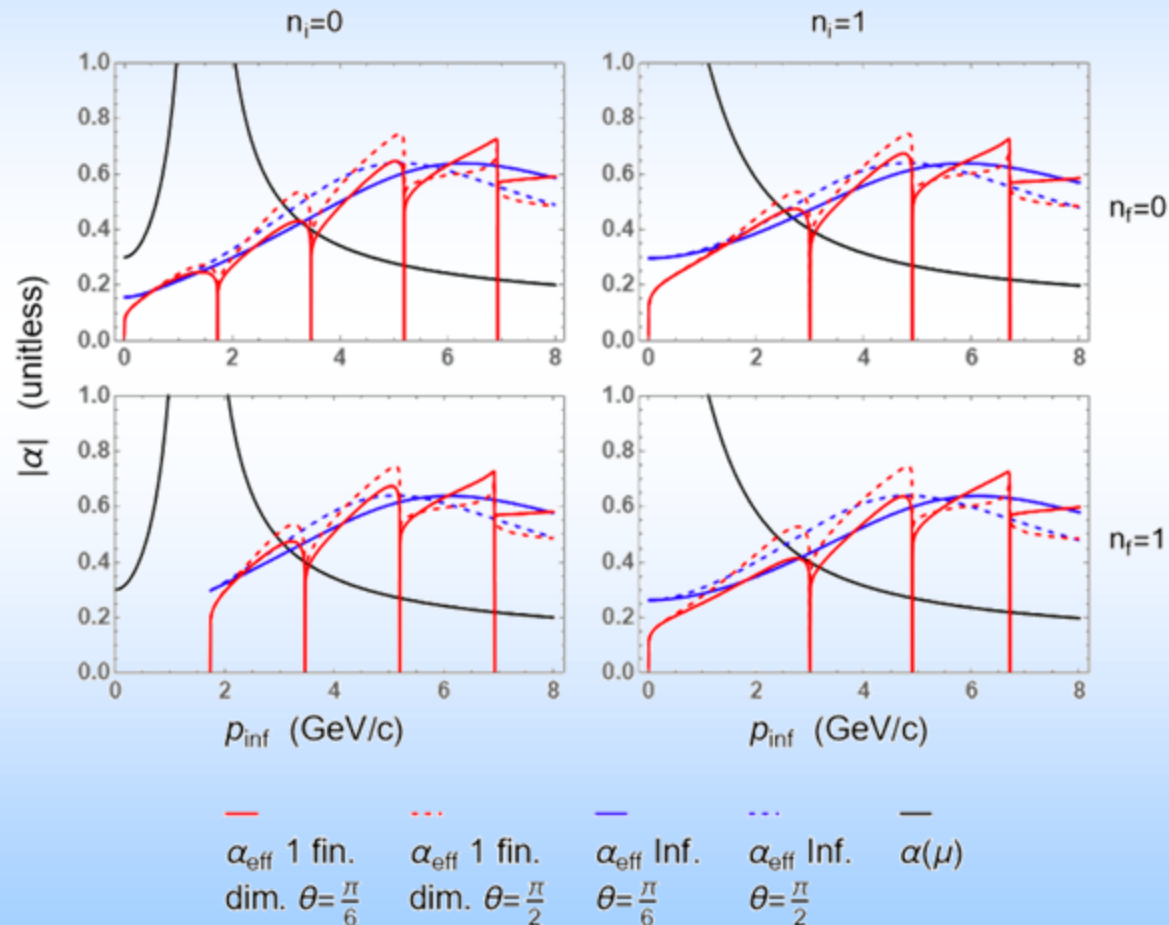
- Small systems are a fascinating frontier in physics
- Placed massive, free scalar field in a box
  - Thermodynamics significantly altered; mimics QCD
- Introduced novel regularization scheme, den reg
  - Applicable in finite systems, curved spacetimes, thermal field theory; preserves Lorentz invariance; passes consistency checks; preserves QED Ward, SUSY? predicts axial anomaly?
- Computed NLO scattering in  $\phi^4$  in 1, 2, and 3 compact dim's
  - Analytic continuation of the generalized Epstein zeta function
  - Checked unitarity
  - Discovered geometric bound states
  - Captured finite size corrections
  - Computed finite size effects in effective coupling:
    - $\lambda(p \rightarrow 0) \Rightarrow 0$ , consistent with  $\phi^4$  beta function
    - $\lambda(L \rightarrow 0) \Rightarrow 0$ , seemingly inconsistent with  $\phi^4$  beta function
- A lot of interesting work to do!!

# Bonus Slides

All from WAH and du Plessis,  
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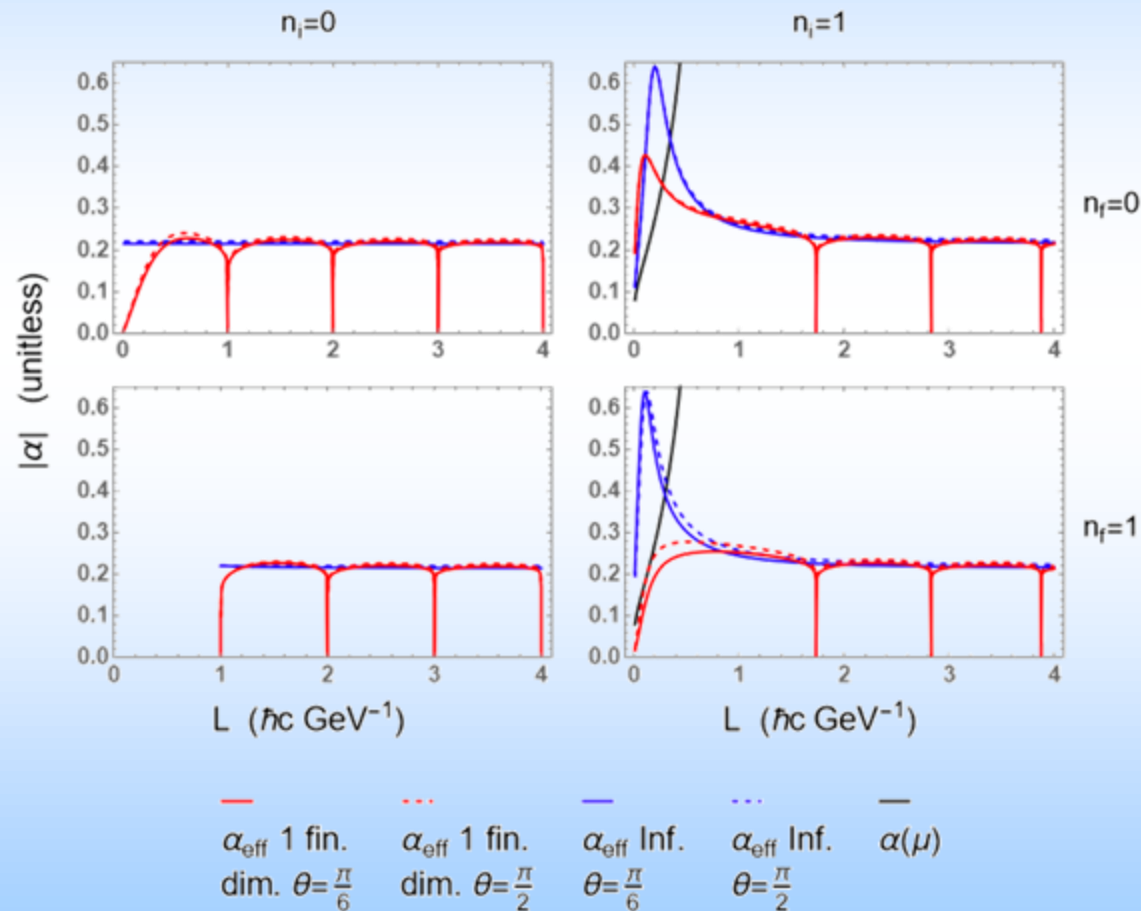
# Running Coupling in 1 Compact D

- $\alpha_0 = 0.3; \alpha(p)$



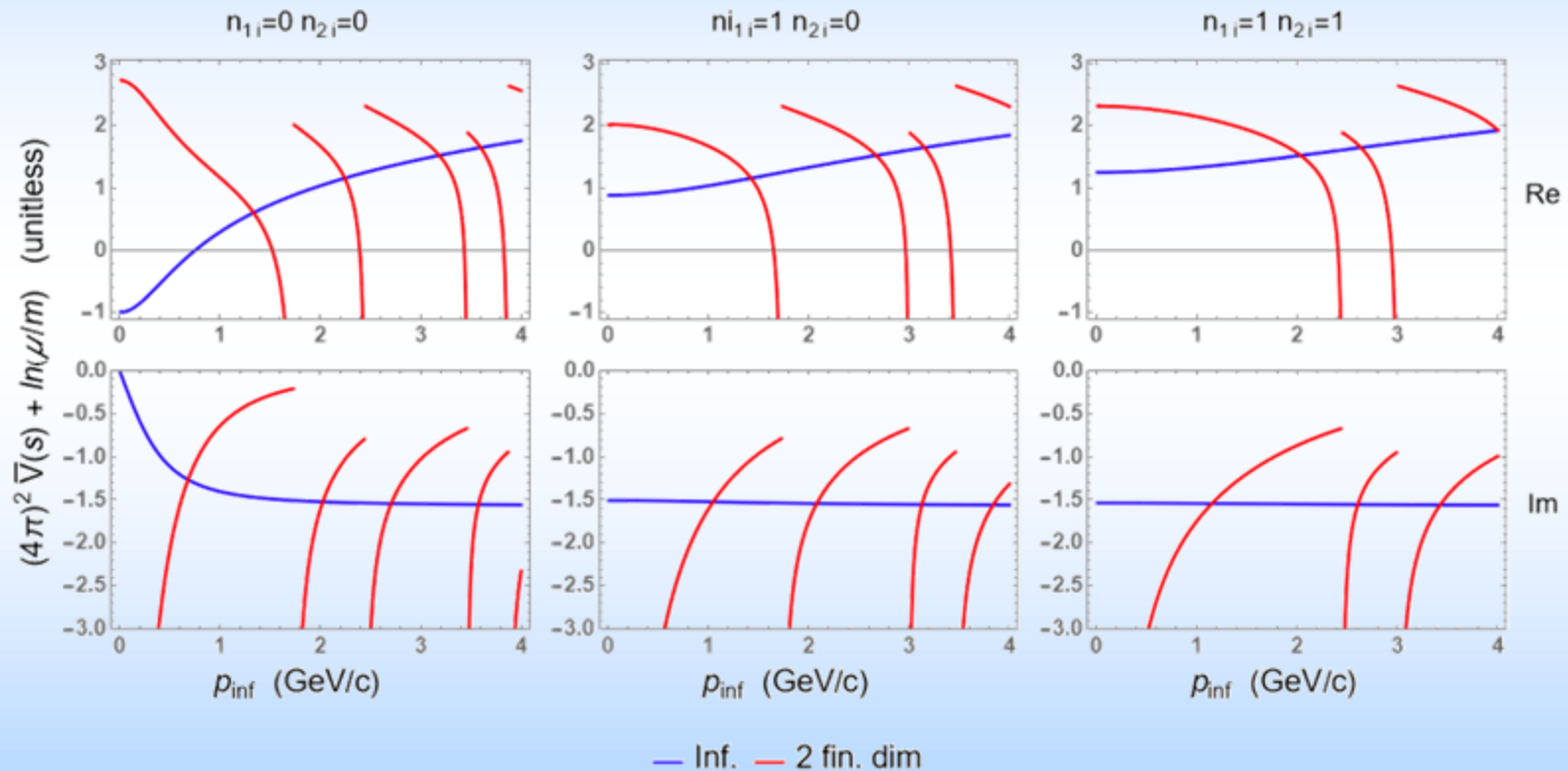
# Running Coupling in 1 Compact D

- $\alpha_0 = 0.3; \alpha(L)$



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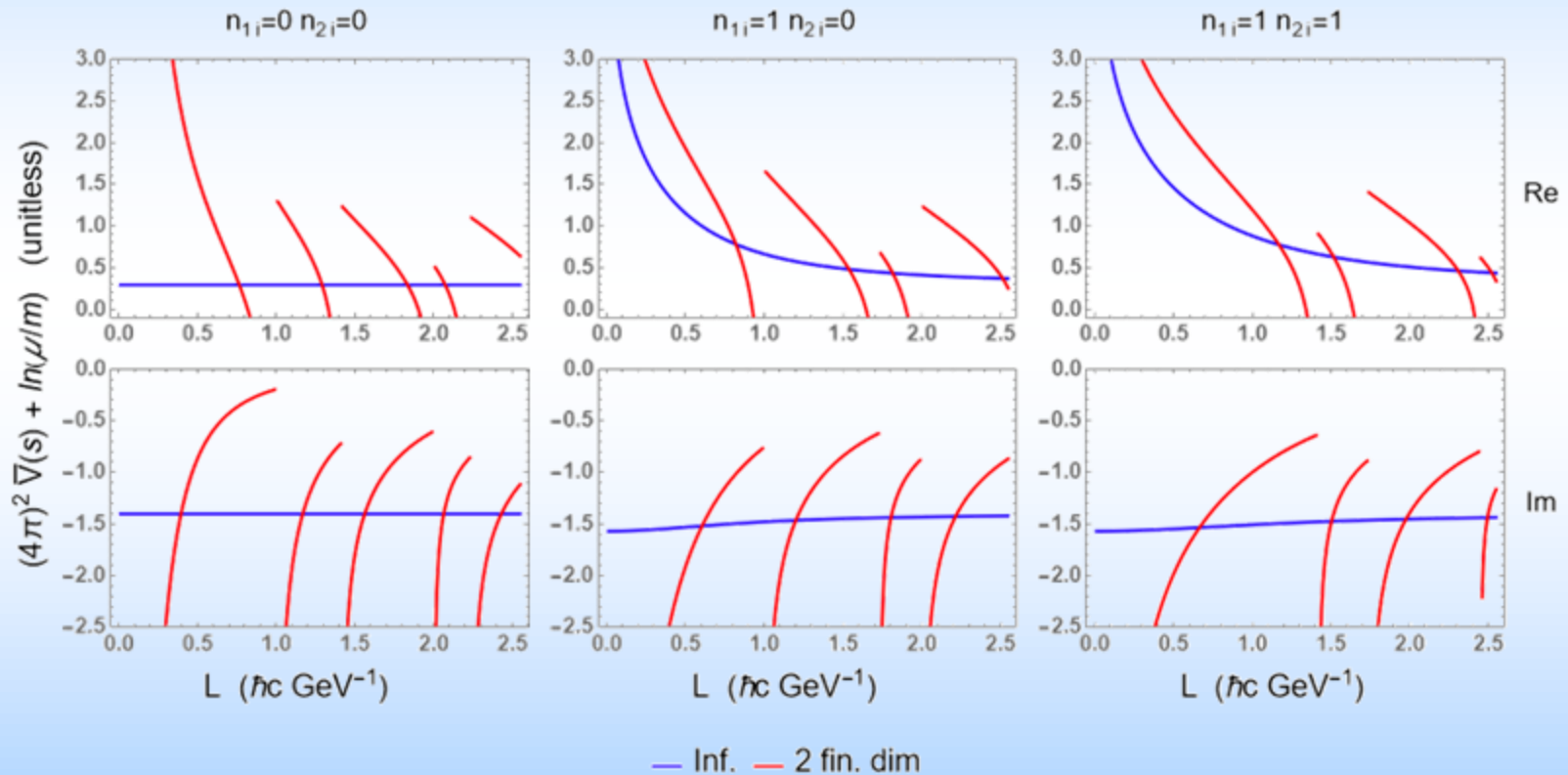
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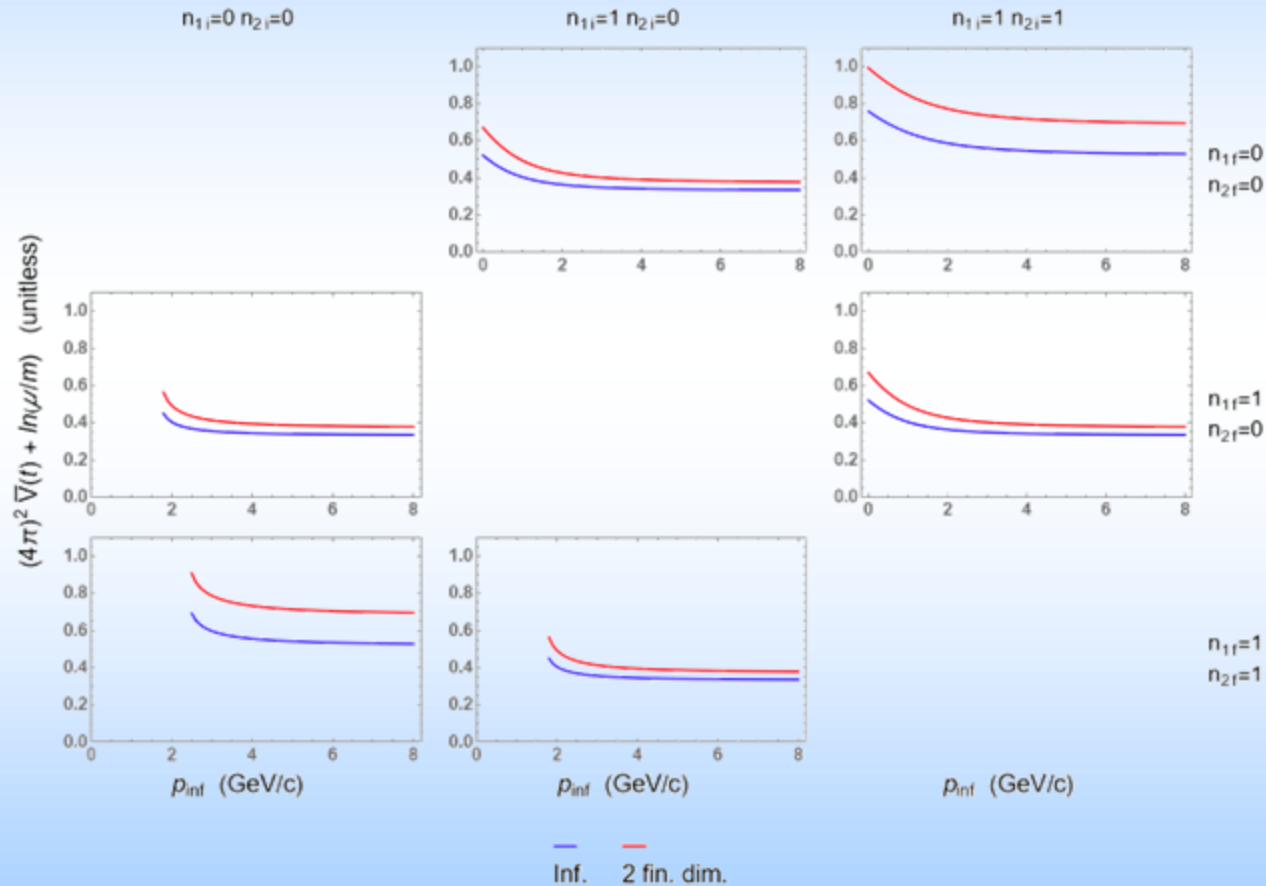
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- s Channel (L):



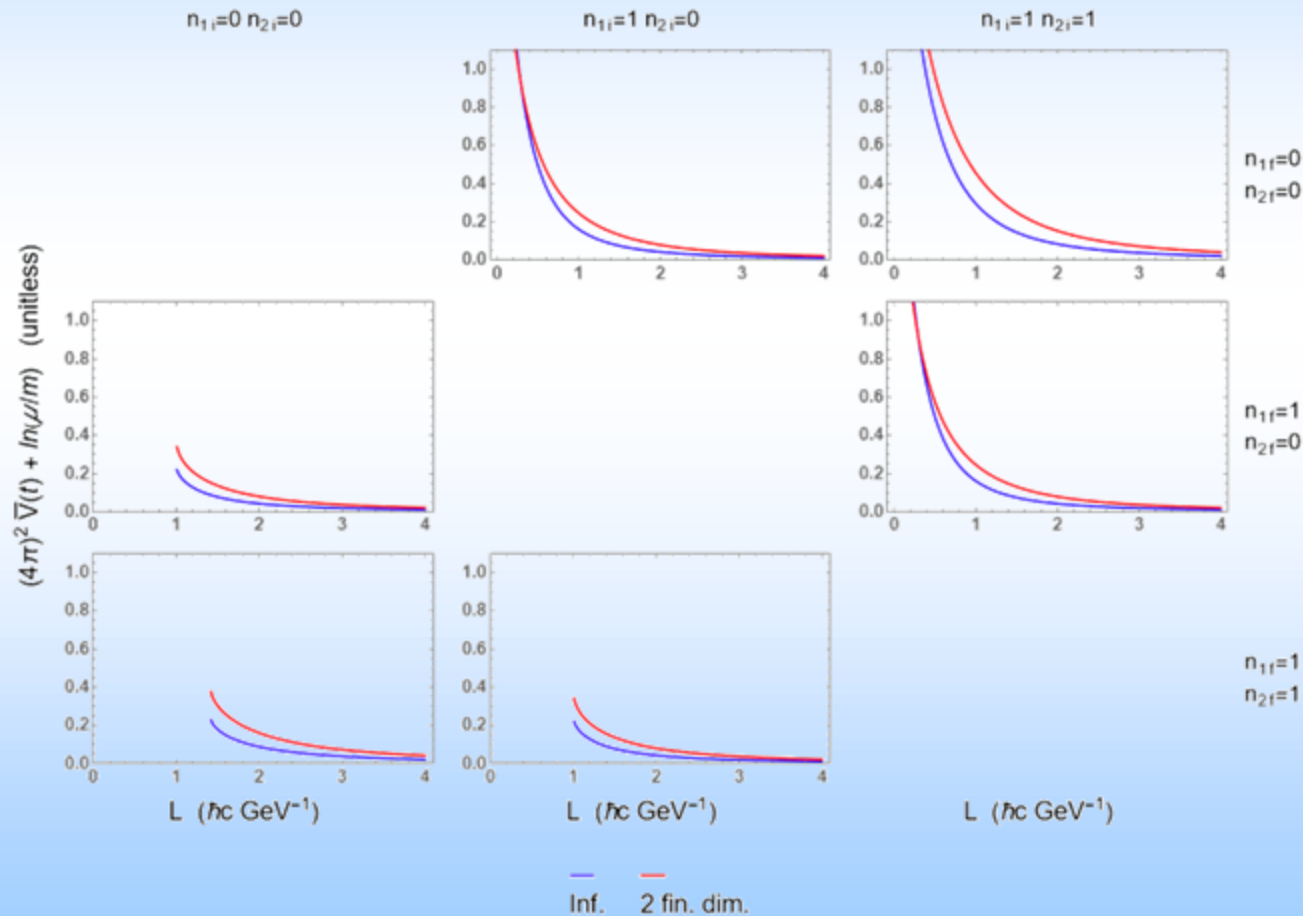
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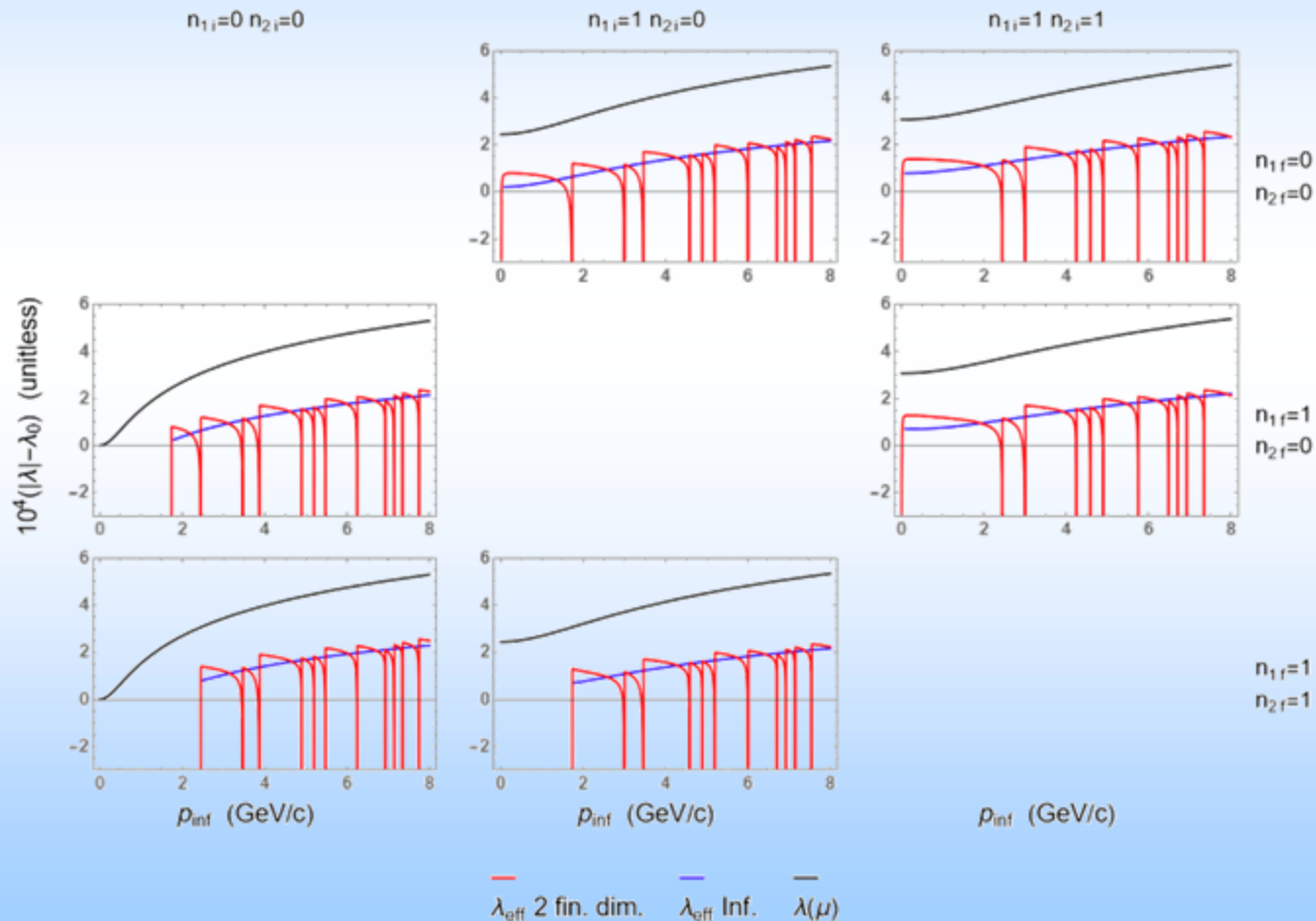
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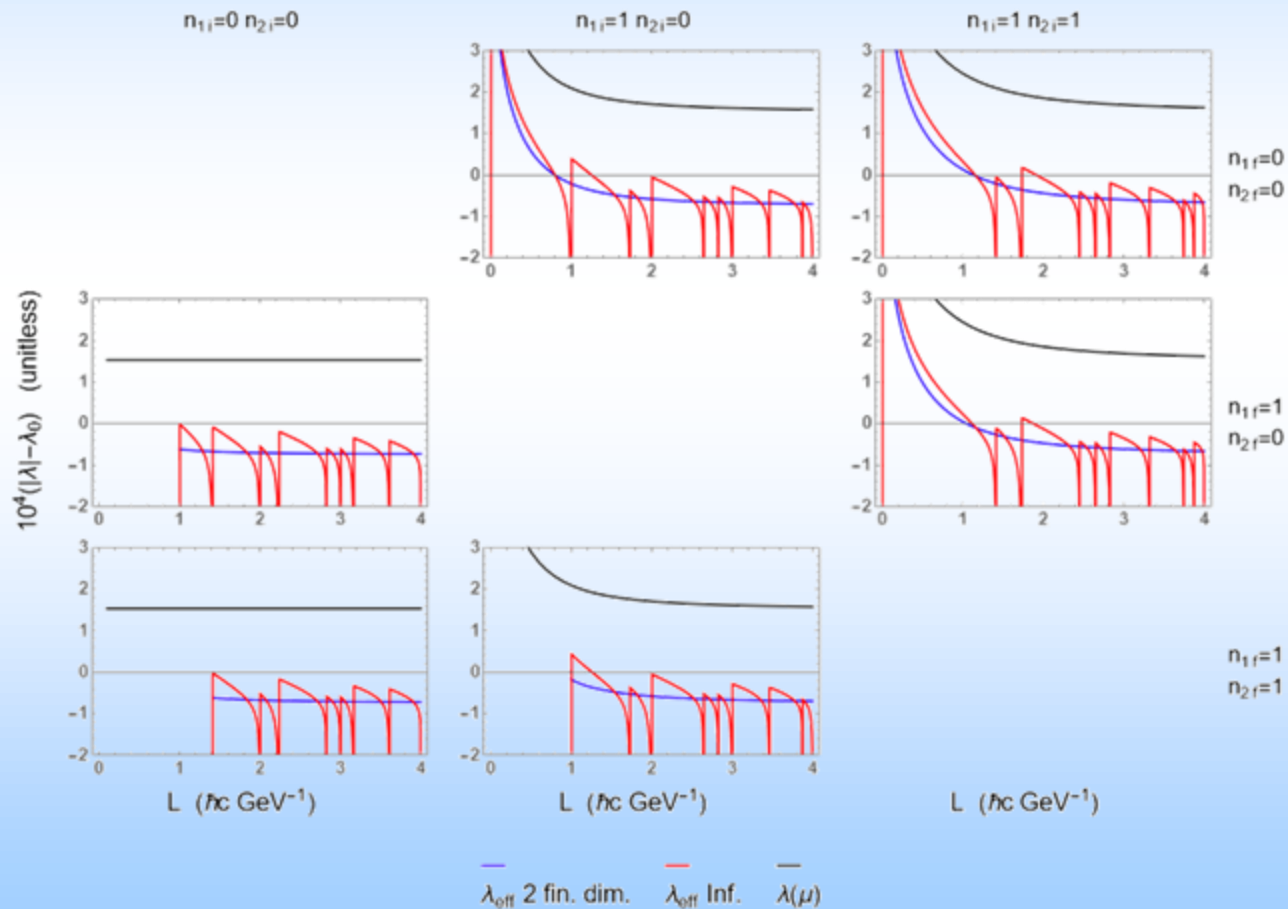
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- $\lambda_0 = 0.1; \lambda(p)$



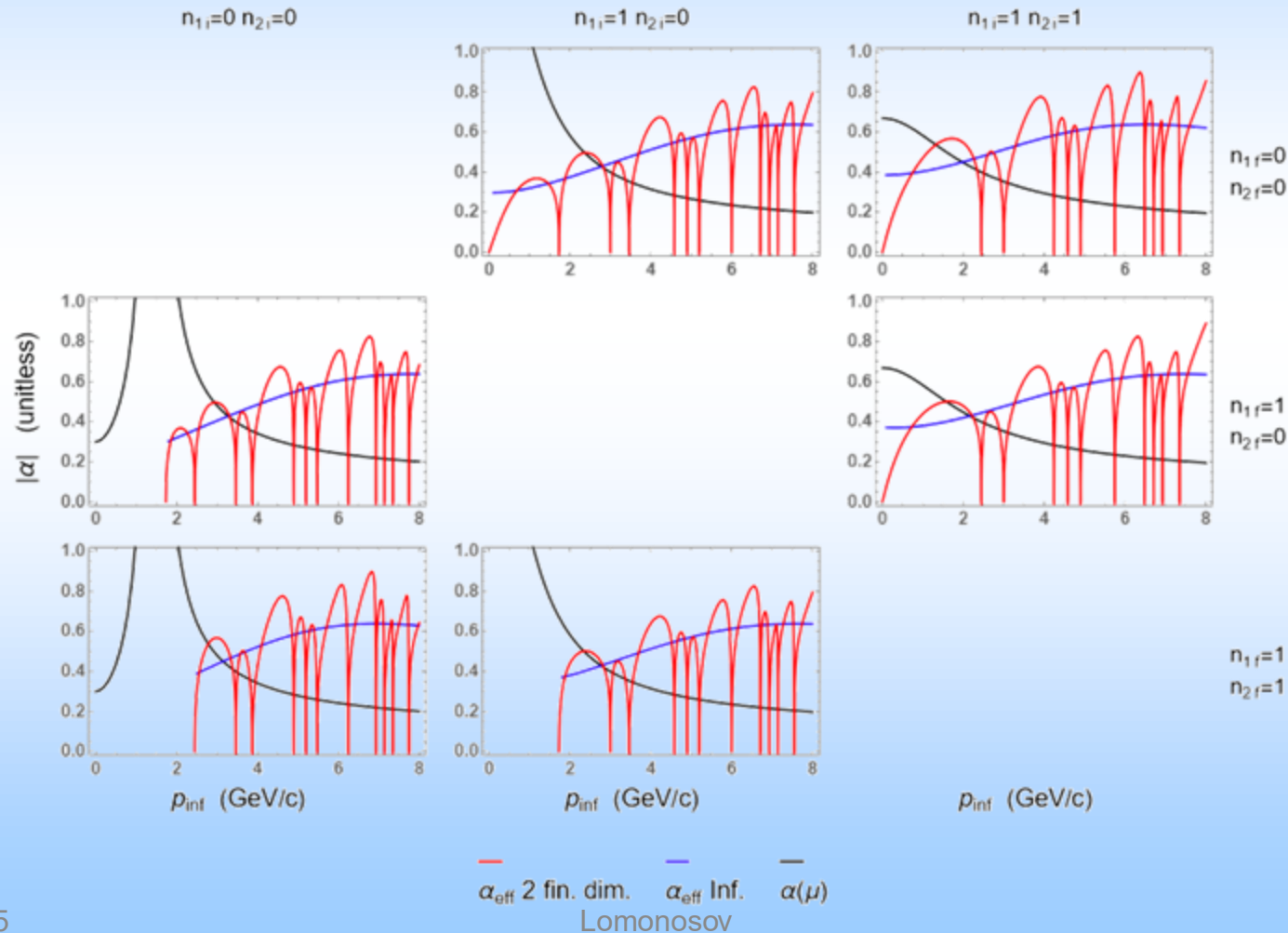
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