

Cosmic Ray Proton Spectrum by LHAASO

Zhen Cao
(on behalf of LHAASO Coll.)

The Site

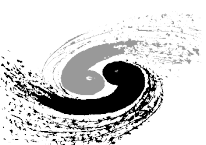
Bird's eye view of LHAASO, 2021-08

- **Location:** $29^{\circ}21'27.6''$ N , $100^{\circ}08'19.6''$ E
- **Altitude:** 4410 m
- **2021-07 completed built and in operation**



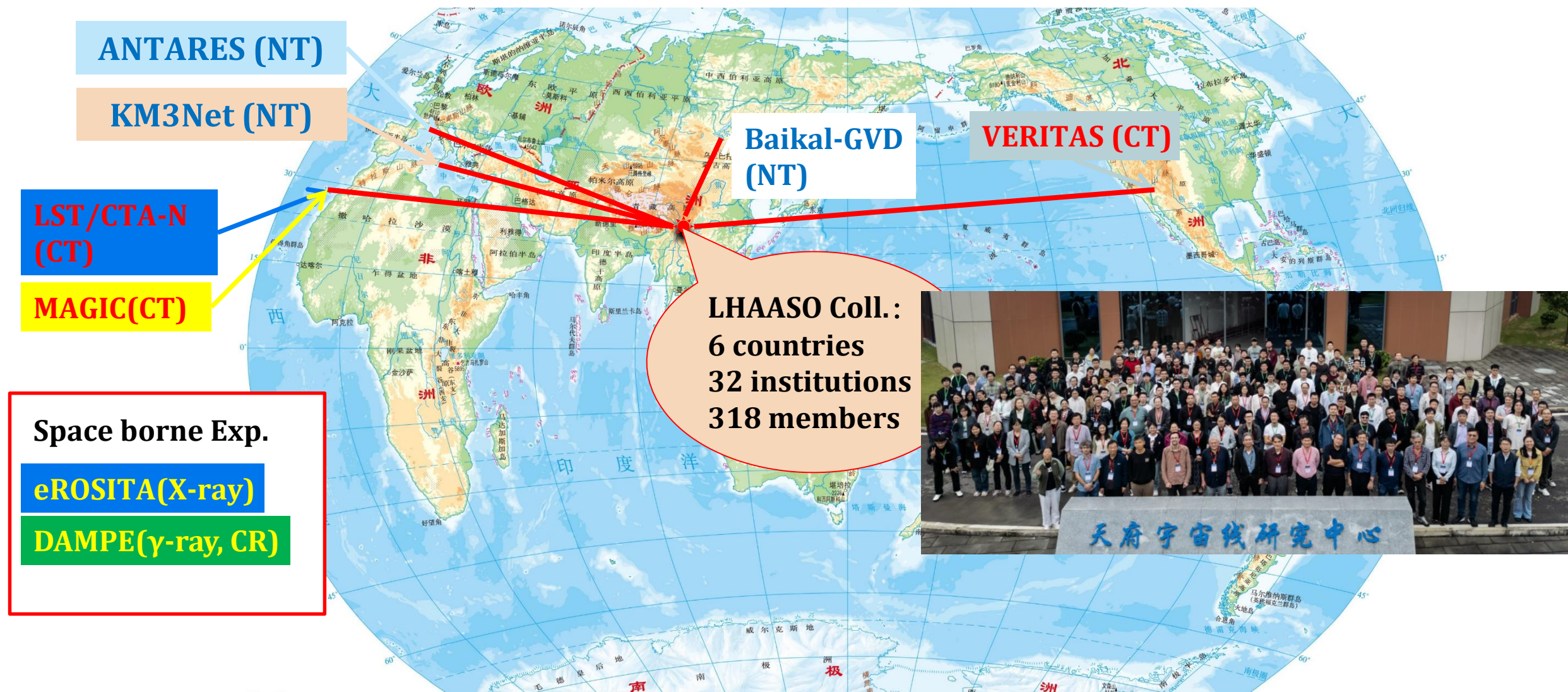
LHAASO, *Nature Astronomy* 5:849 (2021)

(Aug. 2018, at 4410 m a.s.l.)



LHAASO: Multi-Messenger Collaboration Network

3



The LHAASO collaboration has signed MOUs with 8 international collaborations



High Energy Cosmic Rays

Large High Altitude Air Shower Observatory (LHAASO)

CATCHING RAYS

China's new observatory will intercept ultra-high-energy γ -ray particles and cosmic rays.

LHAASO Physics Topics

- Gamma Ray Astronomy
- Charged CRs measurement
- New Physics Frontier

18 wide-field-of-view air Cherenkov telescopes

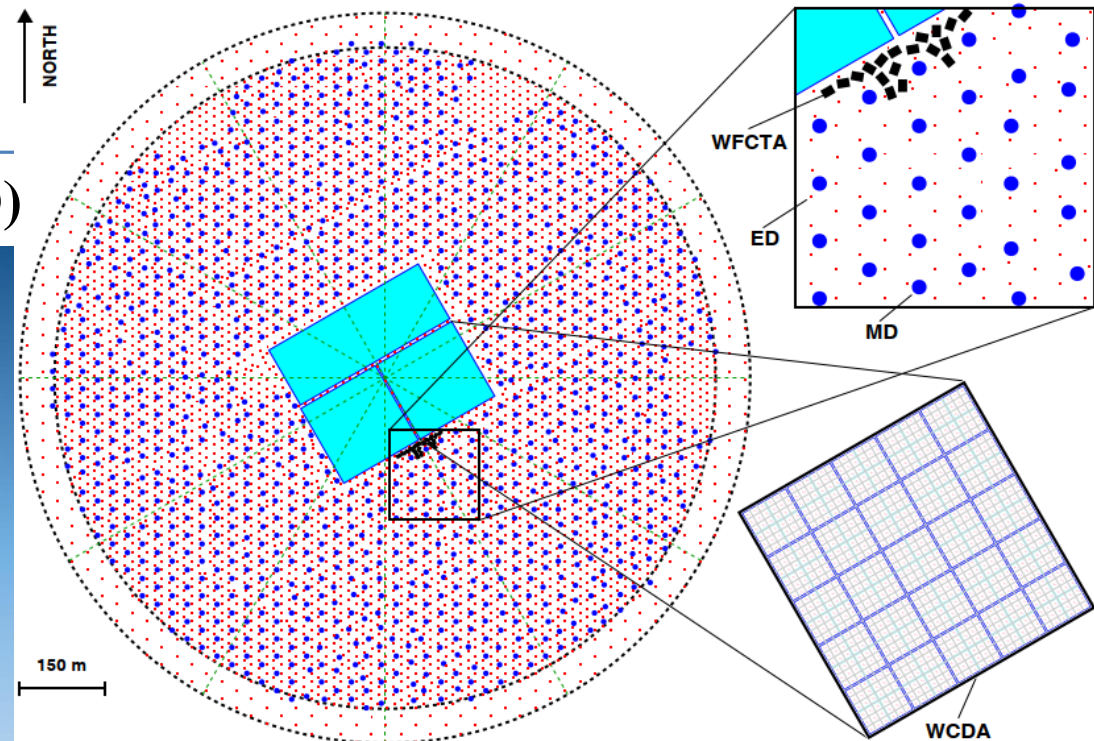
5,195 scintillator detectors

78,000-m² surface-water Cherenkov detector

1188 underground water Cherenkov tanks (muon detectors)

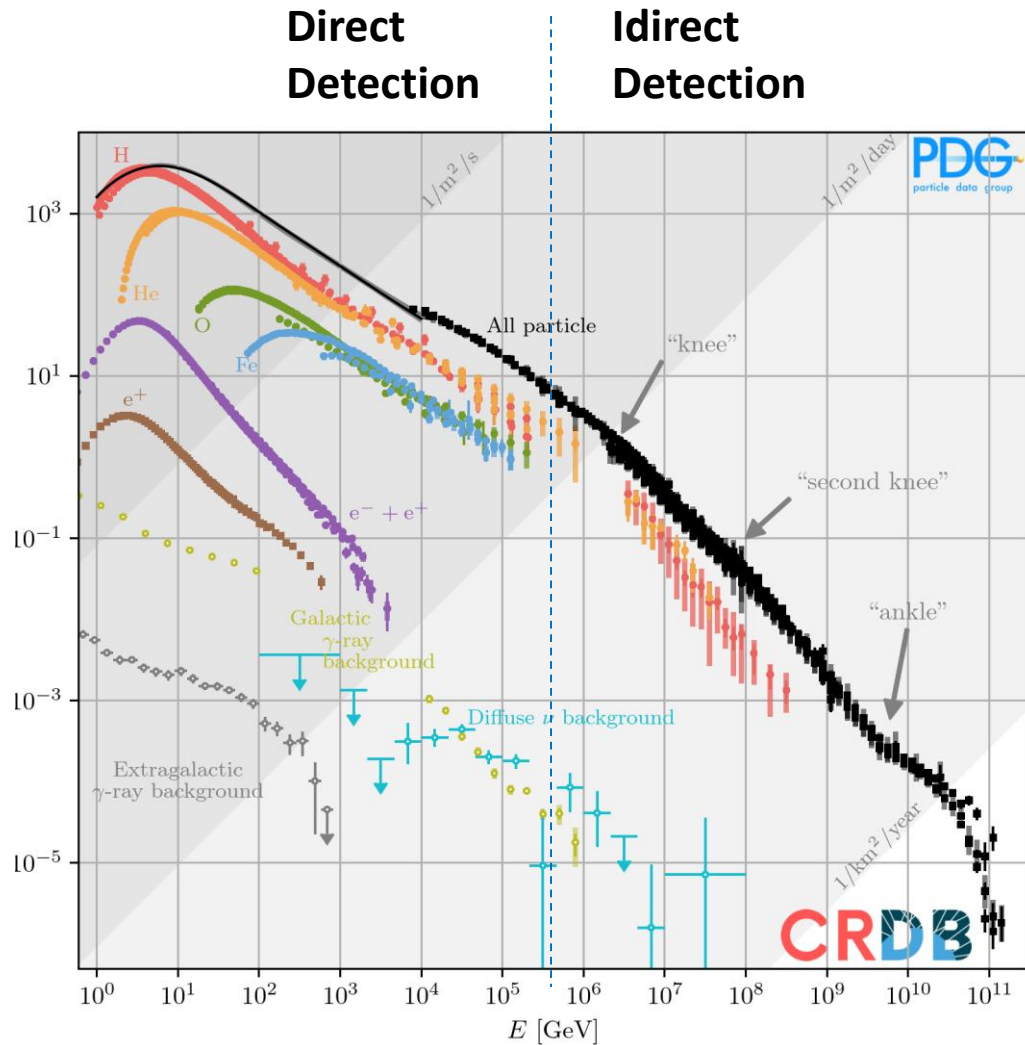
4,410 m

~25,000 m



Hybrid Detection of EAS

Cosmic rays

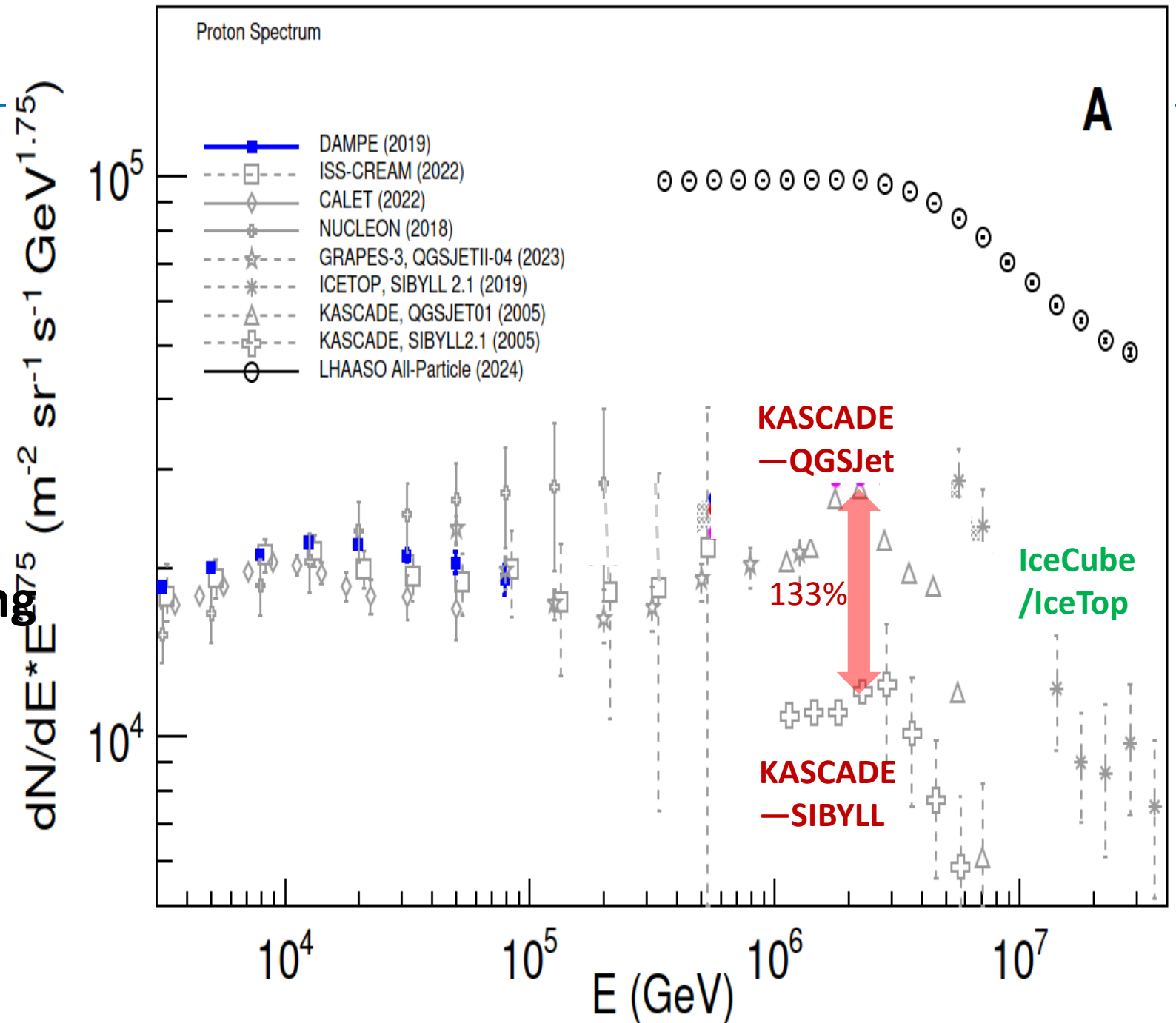


PDG 2025,

- Proton, helium nuclei and heavier nuclei, all the way to uranium
- Discovered in 1912, many things (e.g. source, acceleration mechanism) about cosmic rays remain a mystery more than a century later
- Individual energy spectra play an important role to solve the mystery
 - Proton knee, helium knee, iron knee ...
 - Knees may indicate the energy limit for cosmic ray acceleration by astrophysical sources

The Proton Spectrum around the knee

- Energy is too high to be detected in direct measurement
- KASCADE gives confusing results due to the large uncertainty
- IceCube has too high threshold



Hybrid Detection of EAS

LHAASO, Daocheng, China

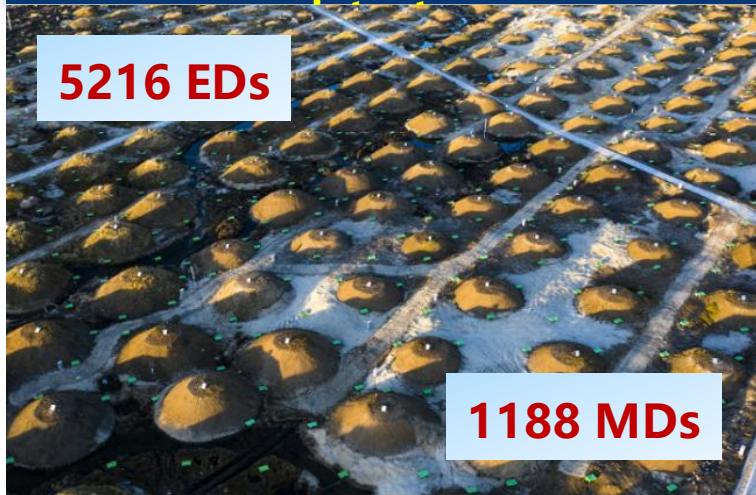
- at 4410 m above sea level
- Construction finished in 2021
- Operation for 4 years
- Discovery of many PeVatrons and the brightest GRBs



KM2A of scintillators and μ -D
The most sensitive UHE

5216 EDs

1188 MDs



Water C-Detector Array
The most sensitive γ -survey

3120 5×5 m² units

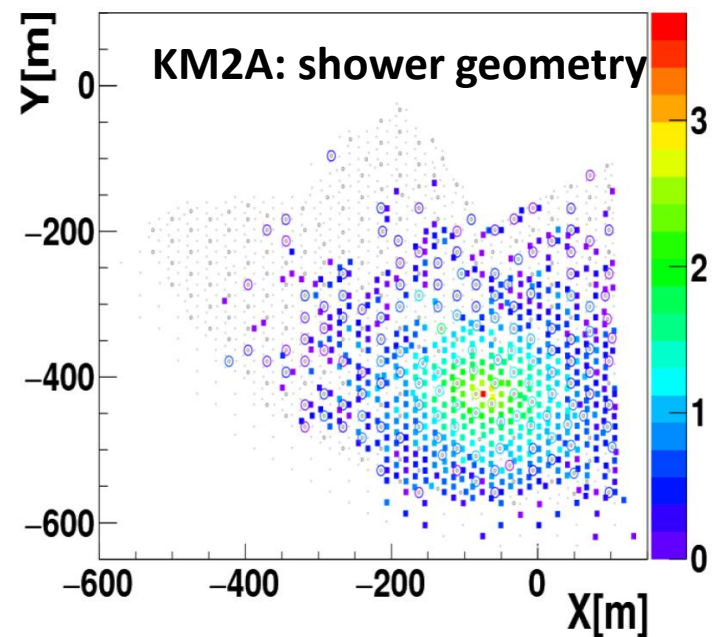
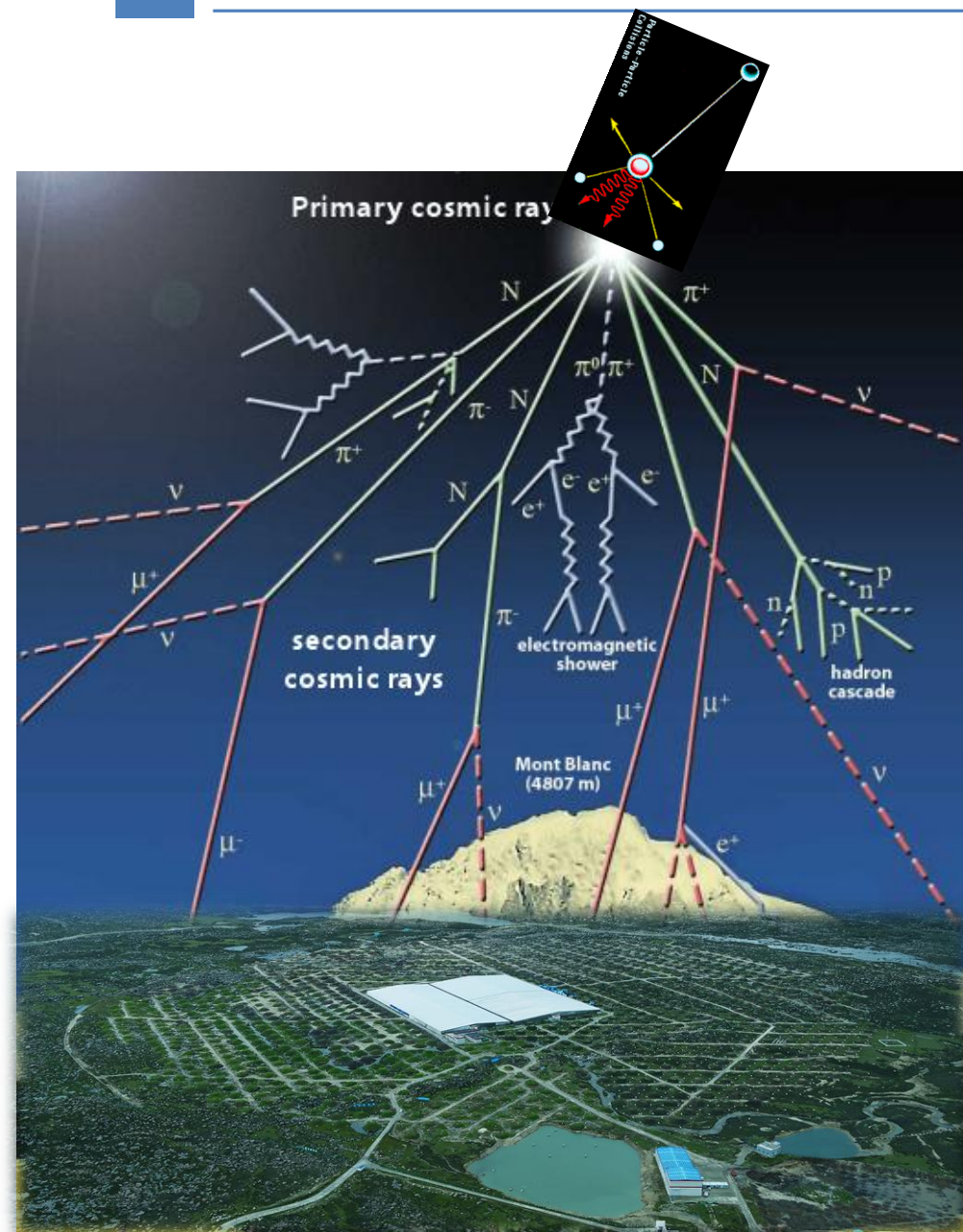


Wide FoV C-Telescope Array
Stereoscopic measurement of CR

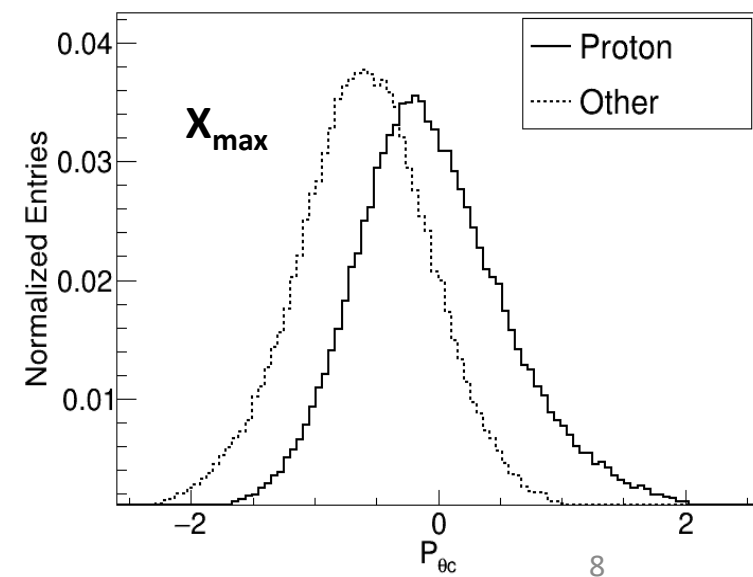
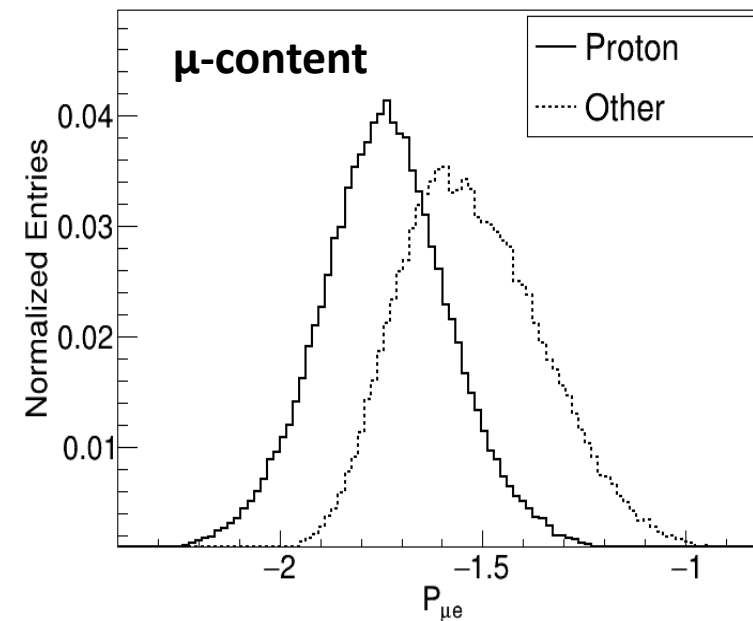
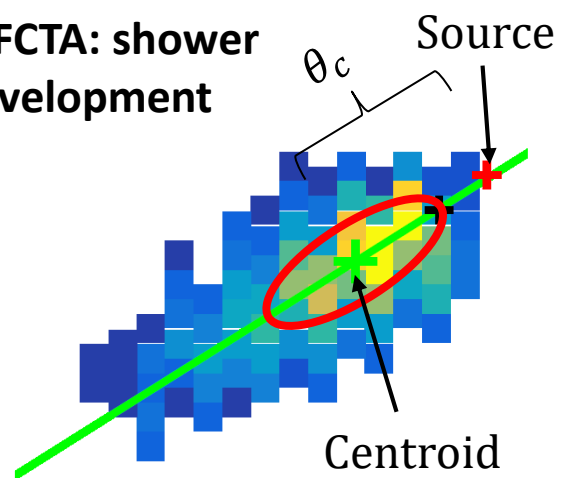
18 telescopes

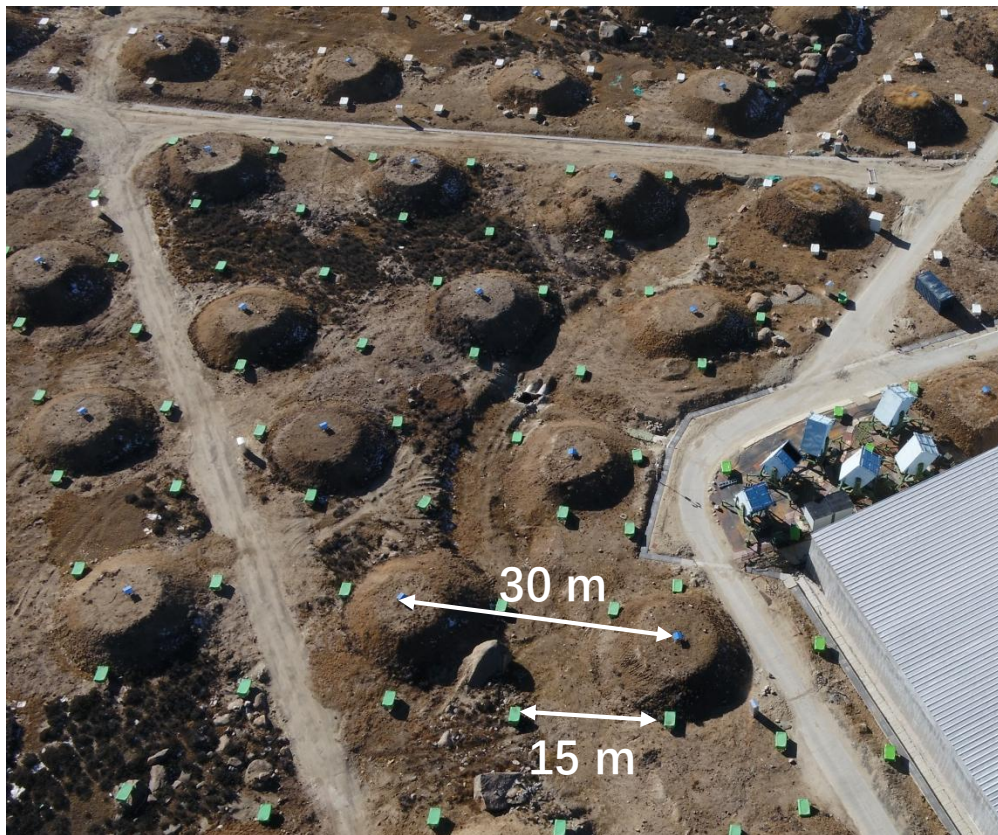


Hybrid Detection of EAS



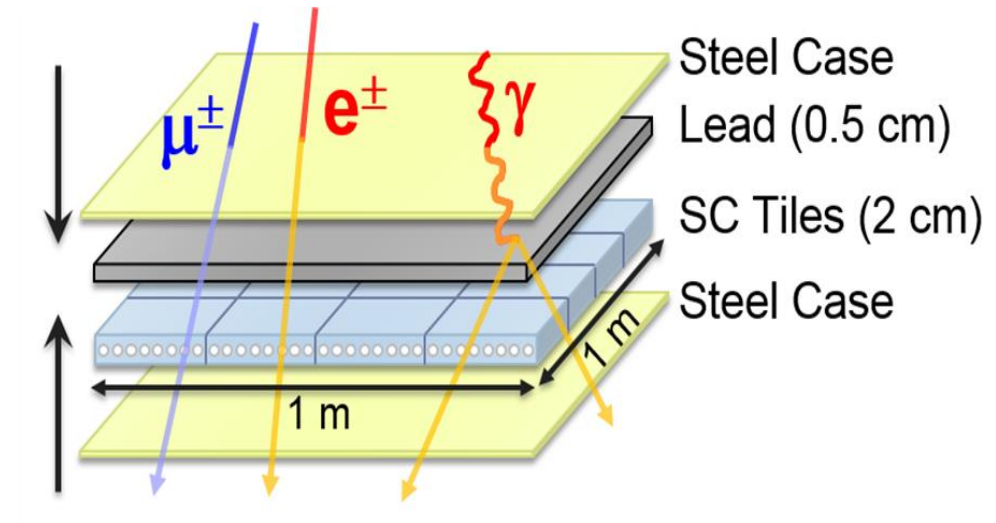
WFCTA: shower development



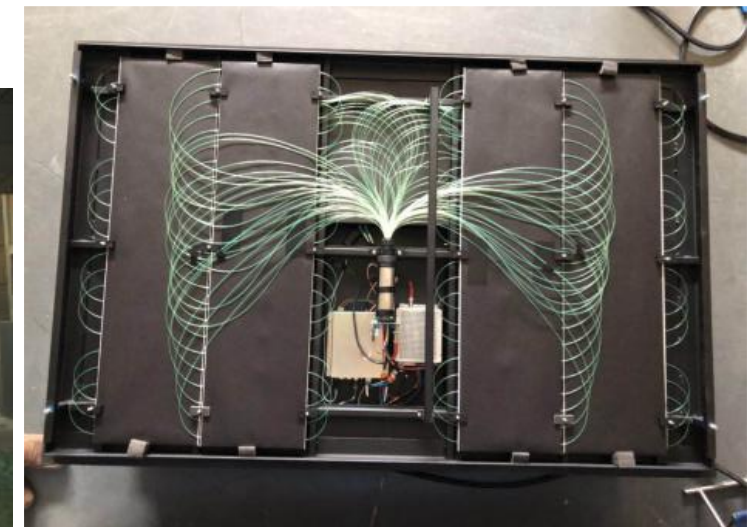
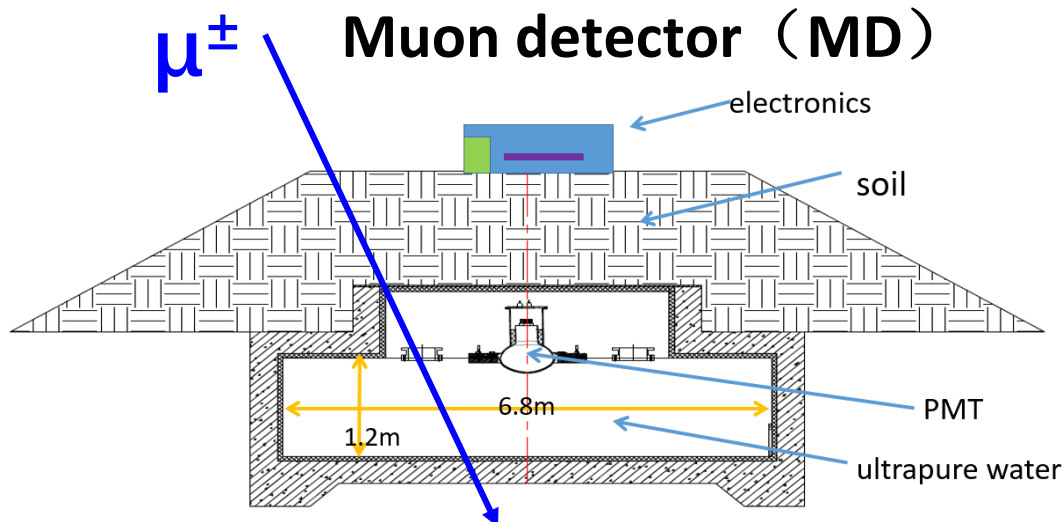


KM2A: 1.36 (km)²

- 5195 EDs
 - 1 m² each
 - 15 m spacing
- 1188 MDs
 - 36 m² each
 - 30 m spacing



Inner View of one ED

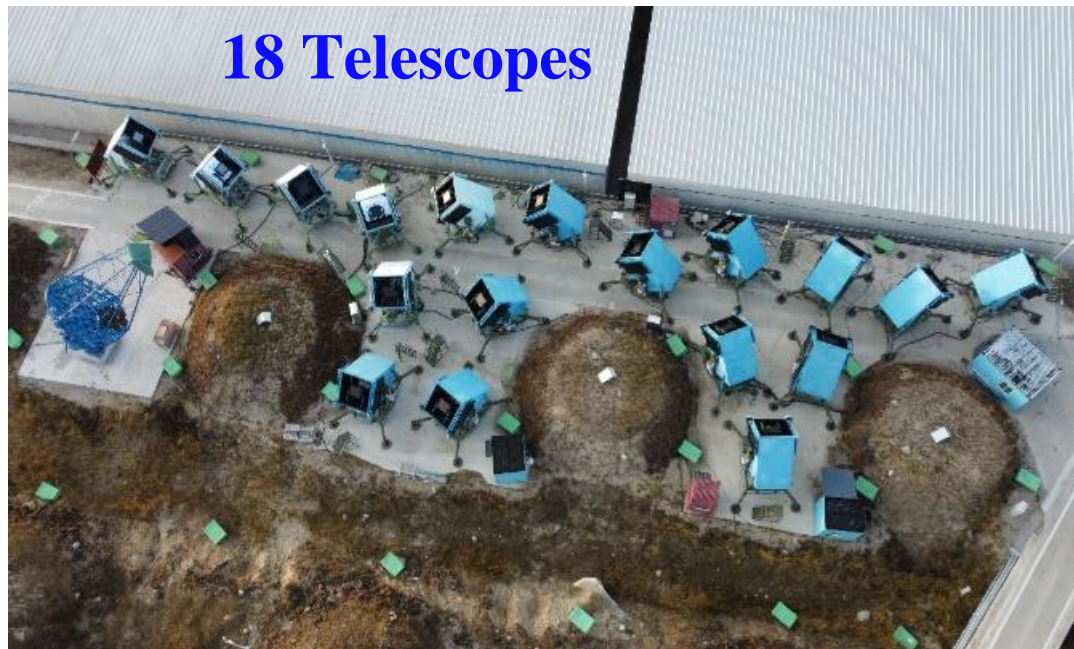


Wide Field of View Cherenkov Telescope (WFCTA)

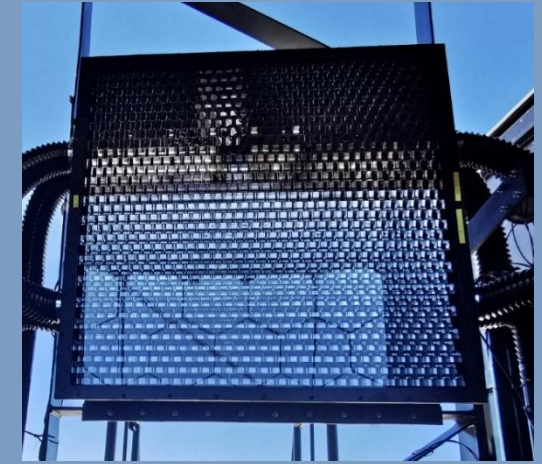
◆ Telescope parameters:

- $\sim 5 \text{ m}^2$ spherical mirror
- Camera: 32×32 SiPMs array
- FOV: $16^\circ \times 16^\circ$
- Pixel size: 0.5°

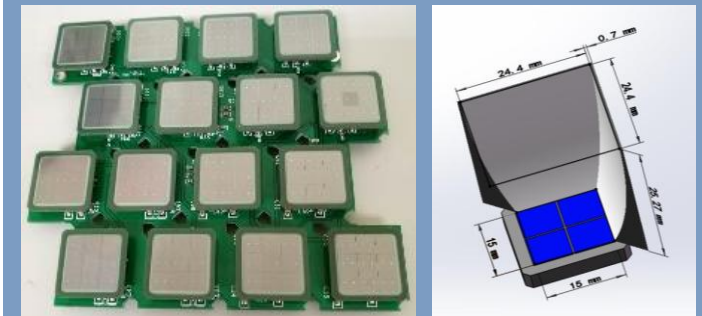
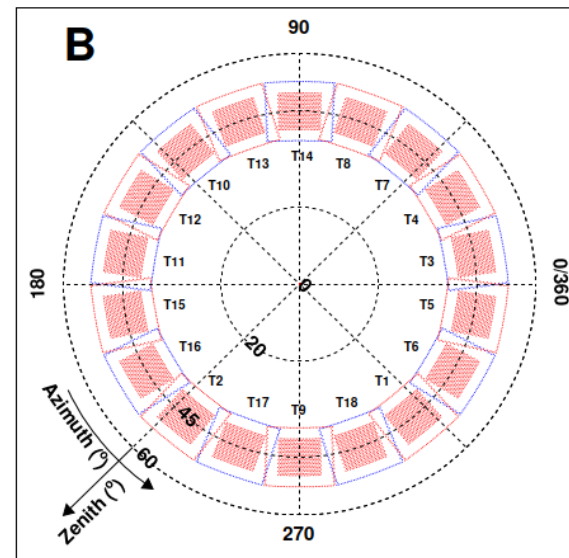
◆ 18 tels are pointed at a zenith angle of 45° cover azimuth angle from 0° to 360°



Mirror



SiPM camera



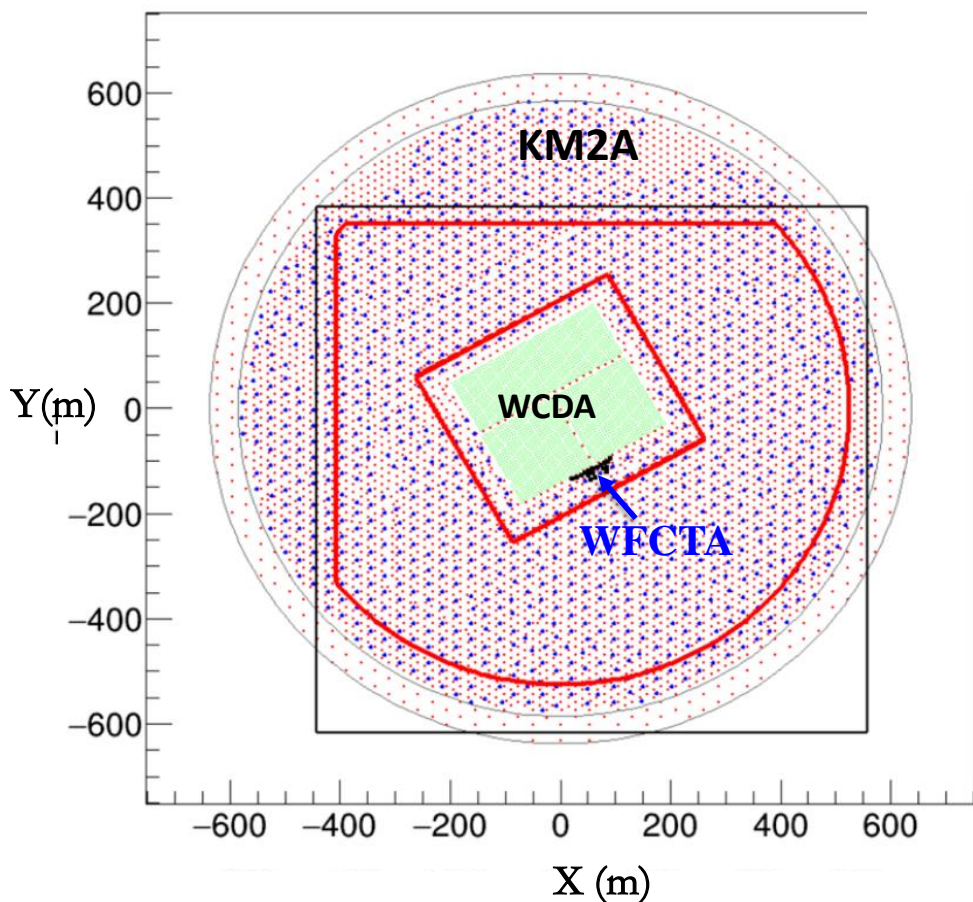
SiPM and Winstone cone

➤ KM2A:

1. Core (x,y)

- $\sqrt{x^2 + y^2} < 470 \text{ m}$
- $!|x'| < 200\text{m} \ \& \ !|y'| < 160\text{m}$

2. Number of fired EDs > 20

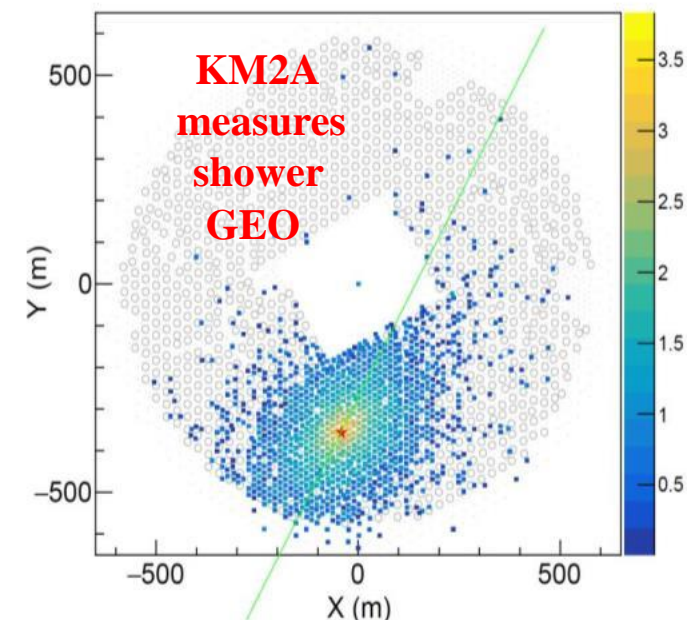
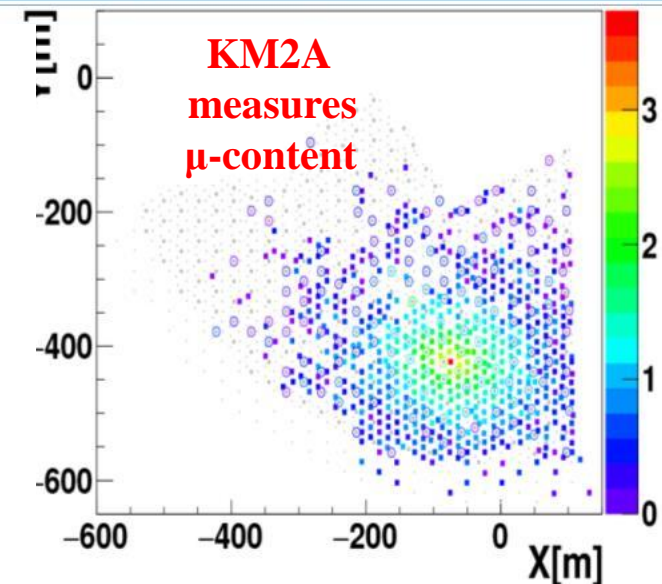
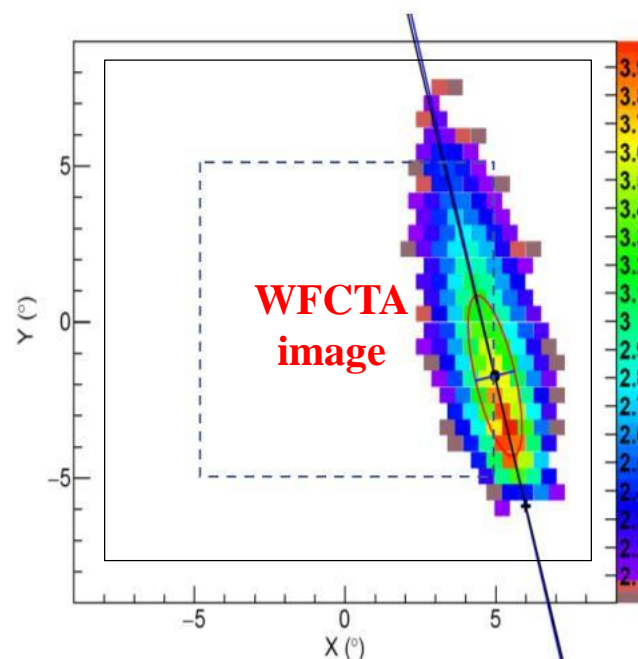


➤ WFCTA: Cherenkov telescopes

1. Number of pixels: $N_{\text{pixel}} \geq 6$
2. FoV: $10^\circ \times 10^\circ$ out of $16^\circ \times 16^\circ$
3. R_p : 180 – 310 m

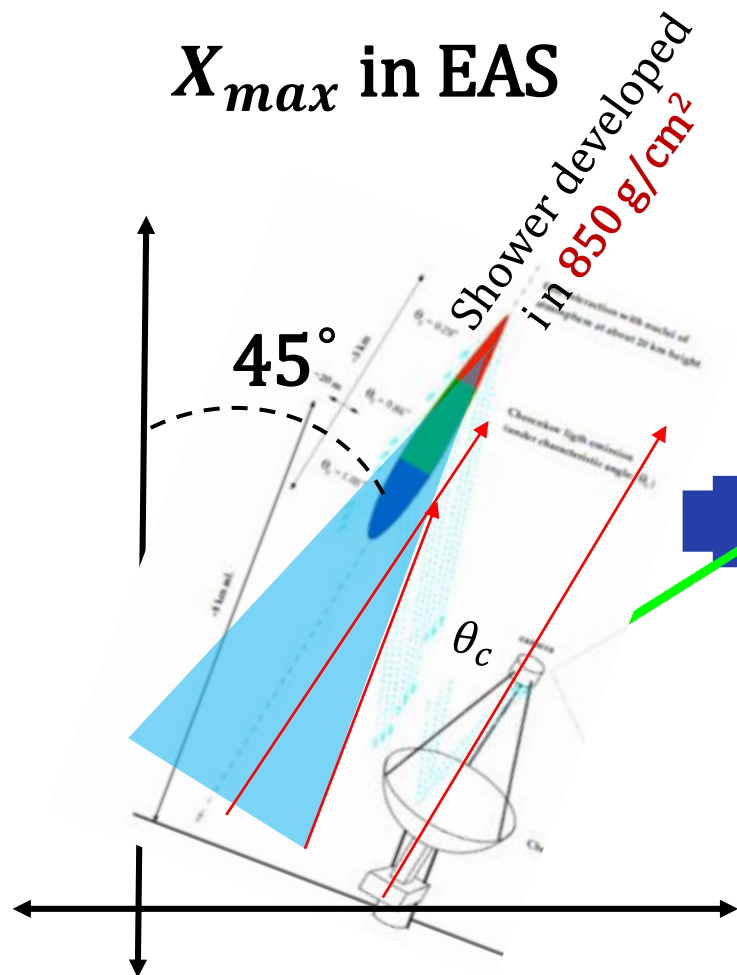
— Core resolution **2.5 m**

— Angular resolution **0.1°**

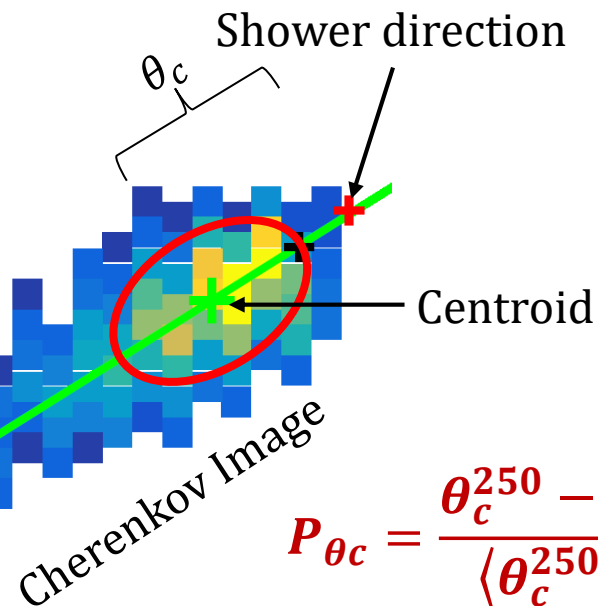


Well Contained !

Component sensitive parameters: P_{θ_c}



Well Contained !



$$P_{\theta_c} = \frac{\theta_c^{250} - \langle \theta_c^{250} \rangle}{\langle \theta_c^{250} \rangle |_{PeV}}$$

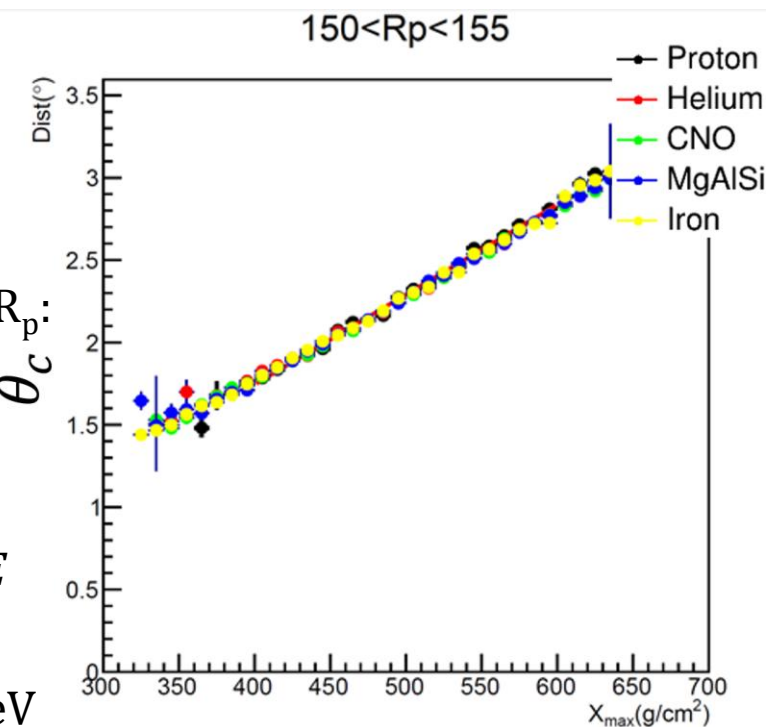
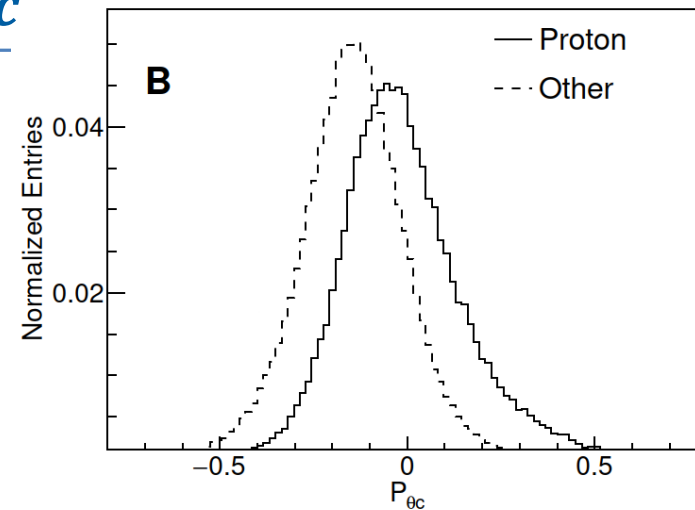
- Normalization in the impact parameter R_p :

$$\theta_c^{250} = \frac{\theta_c}{\cos(\theta)} + 0.011 \times (R_p - 250) \theta_c$$

- Normalization in energy:

$$\langle \theta_c^{250} \rangle = p_0 + p_1 \cdot \log_{10} E + p_2 \cdot \log_{10}^2 E$$

- $\langle \theta_c^{250} \rangle |_{PeV}$: the average value of θ_c for proton events at $R_p = 250 \text{ m}$ and $E = 1 \text{ PeV}$



Component sensitive parameters: $P_{\mu e}$

Muons and electromagnetic particles in EAS

$$N_{\mu} \propto A^{1-\beta} \left(\frac{E_0}{1 \text{ PeV}} \right)^{\beta} \approx 1.69 \times 10^4 \cdot A^{0.10} \left(\frac{E_0}{1 \text{ PeV}} \right)^{0.90}$$

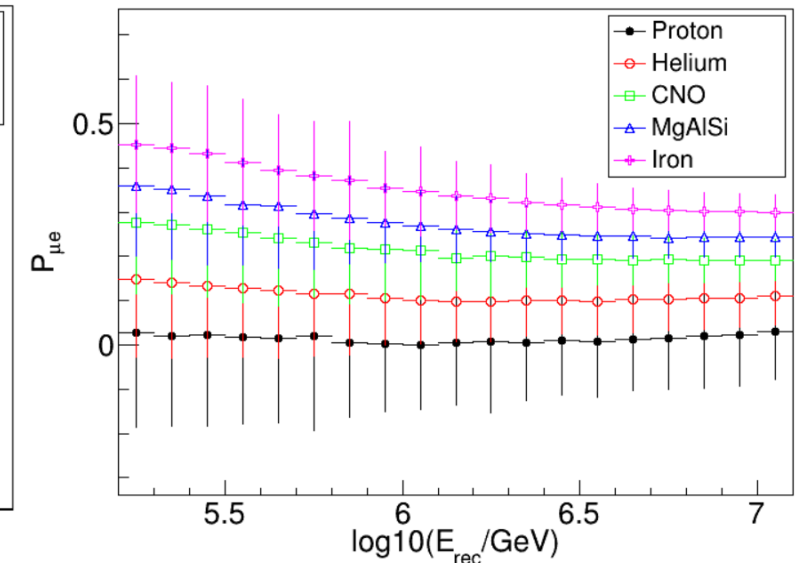
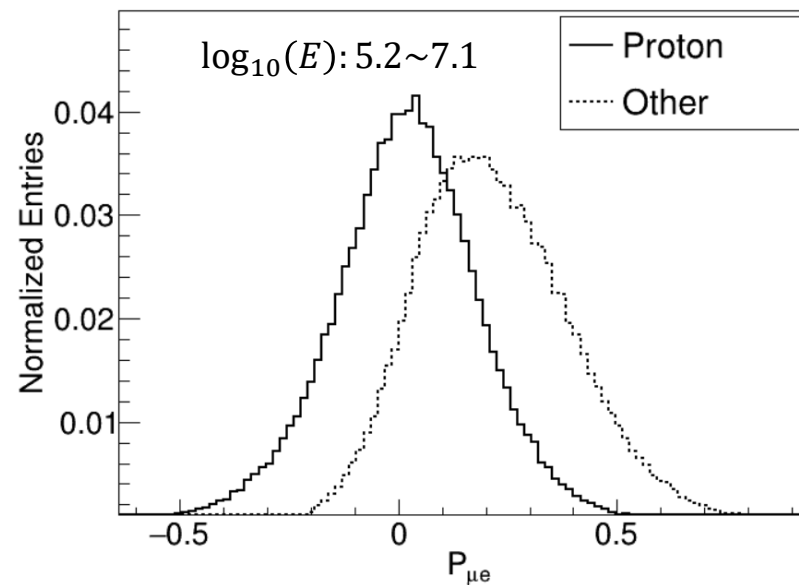
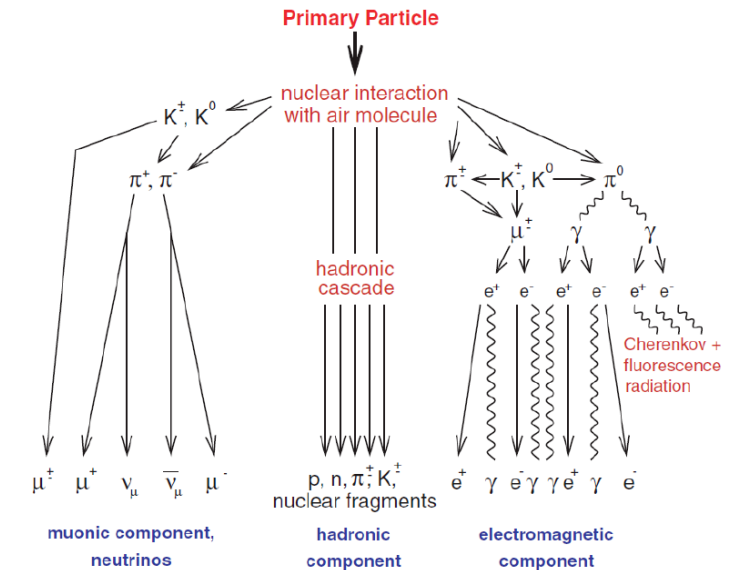
$$N_e \propto A^{1-\alpha} \left(\frac{E_0}{1 \text{ PeV}} \right)^{\alpha} \approx 5.95 \times 10^5 \cdot A^{-0.046} \left(\frac{E_0}{1 \text{ PeV}} \right)^{1.046}$$

$$\Rightarrow \log A = \frac{\alpha}{\alpha - \beta} \log \left(\frac{N_{\mu}}{N_e^{\beta/\alpha}} \right) + \text{const}$$

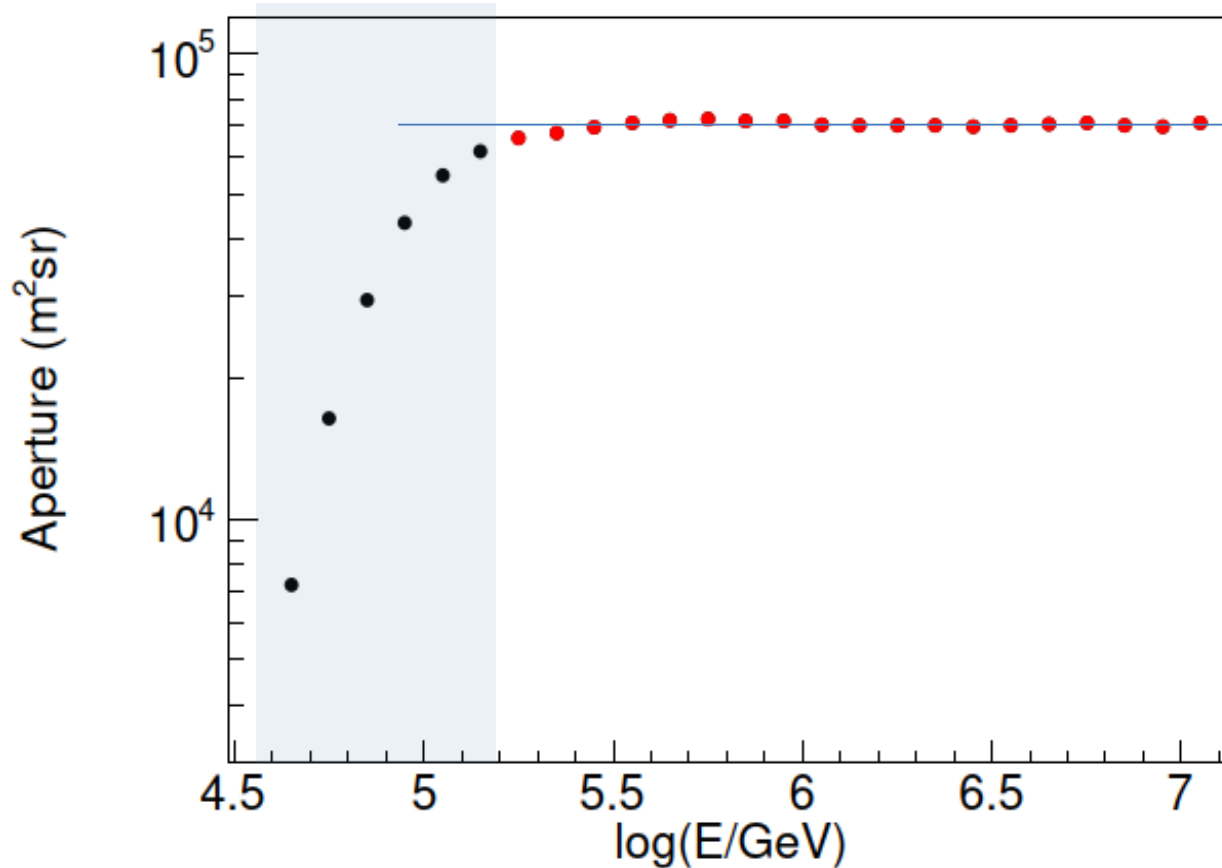
$$P_{\mu e} = \log_{10} \frac{N_{\mu}}{N_e^{0.82}}$$

- N_{μ} : 40~200 m
- N_e : 40~200 m

J. R. Hörandel, Cosmic rays from the knee to the second knee: 10^{14} to 10^{18} eV, Mod. Phys. Lett. A 22, 1533 (2007)

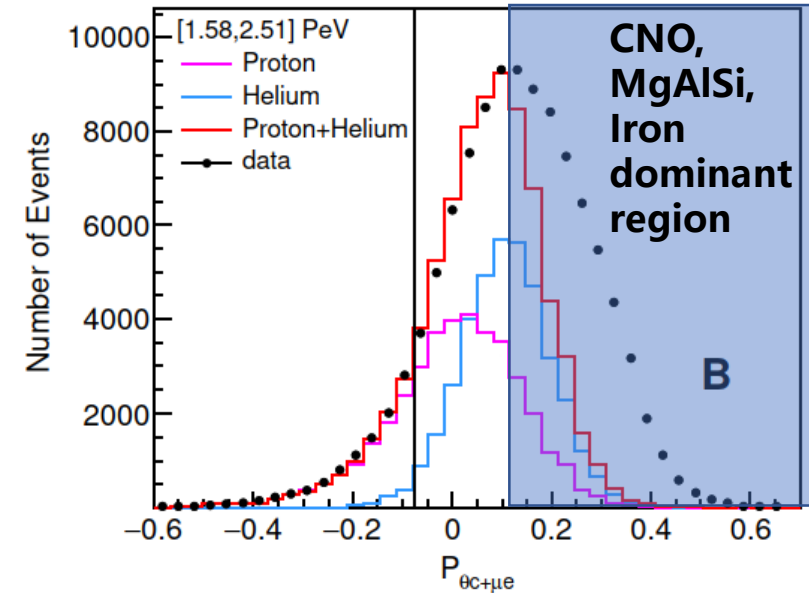
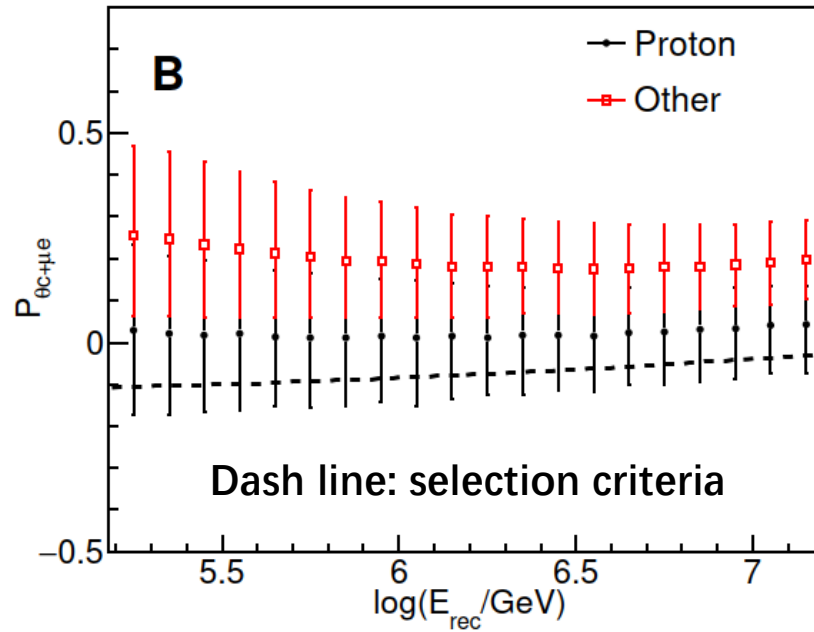
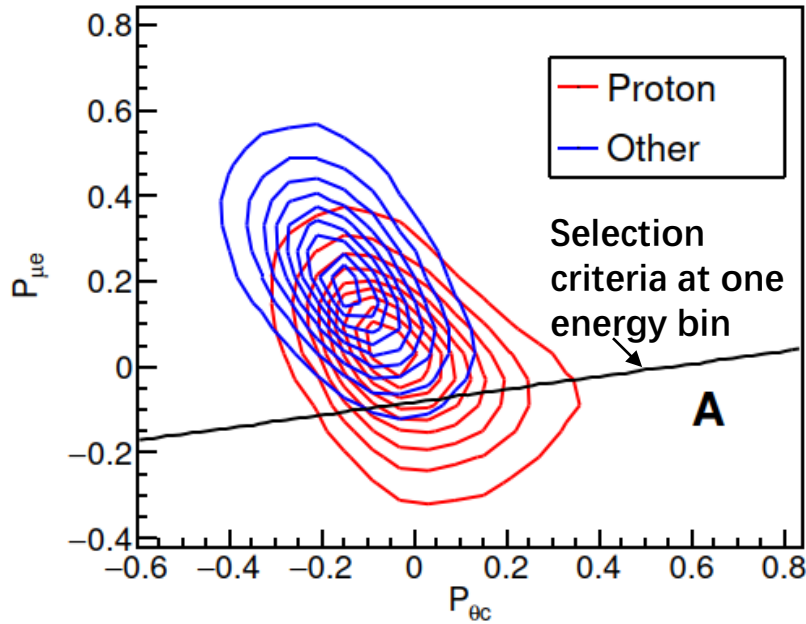


Effective Area and Efficiency, and Data Set



- Data set: 2021.10-2022.4
- Total time after good weather selection: ~1,000 hour
- Aperture: ~70,000 m²sr
- The proton energy spectra from 0.158 to 12.5 PeV
- Fully efficient detection

Proton Selection: multi-parameter analysis

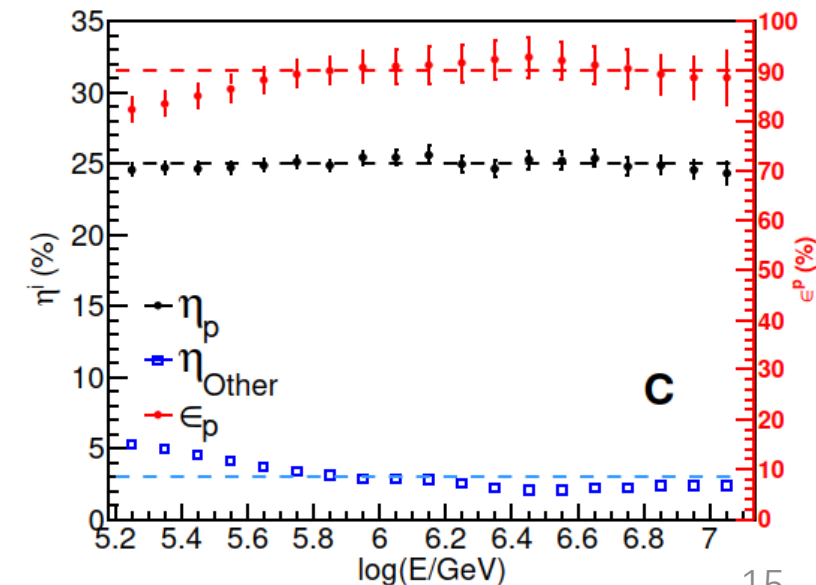


$$P_{\theta_c+\mu_e} = -\sin(\delta) \cdot P_{\theta_c} + \cos(\delta) \cdot P_{\mu_e} \quad (\delta = 8.5^\circ)$$

➤ Purity ($\epsilon^l = \frac{N_{select}^L}{N_{select}^L + N_{select}^H}$): ~90% @ 1PeV

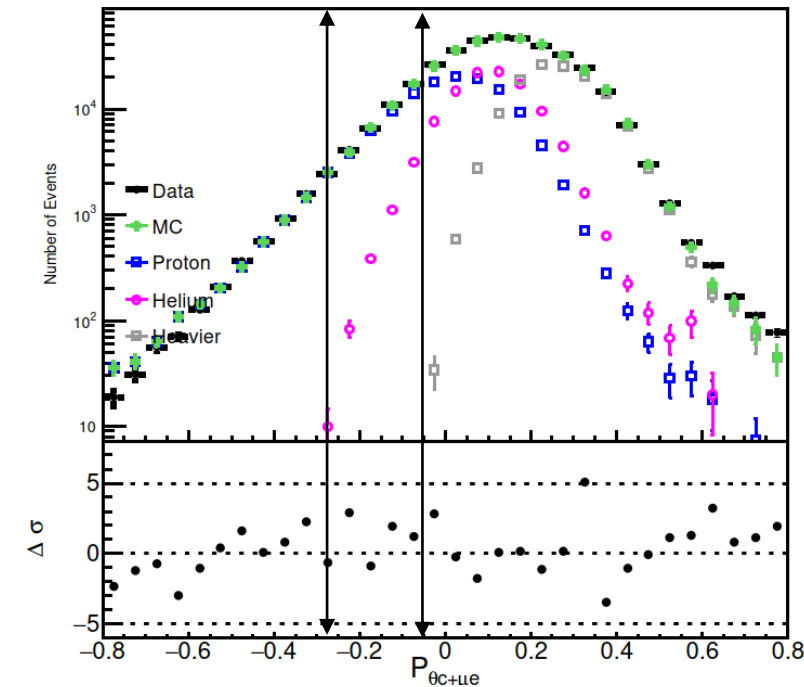
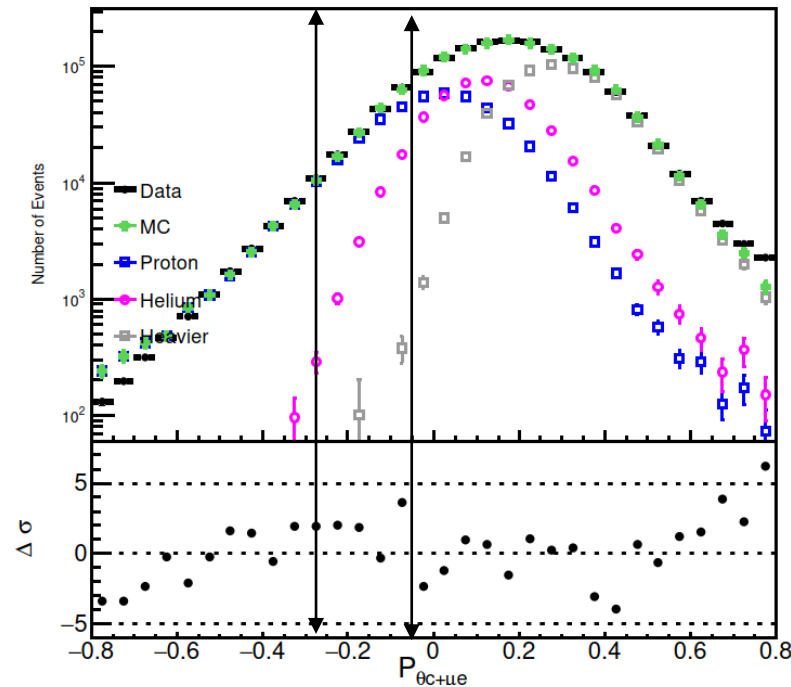
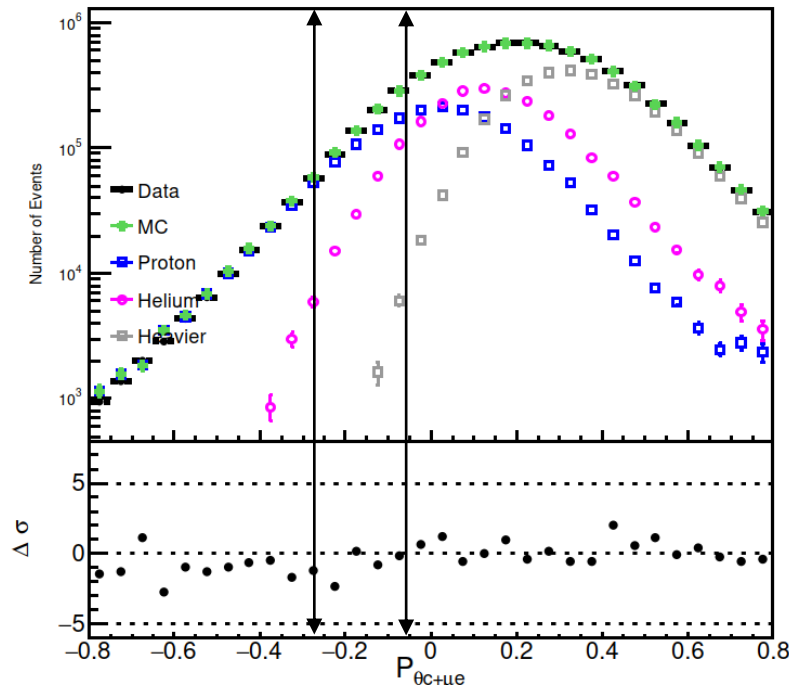
- Most of the contaminations come from Helium

➤ Selection efficiency ($\eta^l = \frac{N_{select}^L}{N_{all}^L}$): 25%.



Simulation vs. Data

- EPOS-LHC: P-distributions for species
- Normalizing the proton distribution below -0.3
- Assuming p/He ratio following GSF model, normalizing the distribution below -0.05
- Matching the heavier species at large values: bin by bin, agree with each other in $\pm 2\sigma$



Energy Reconstruction

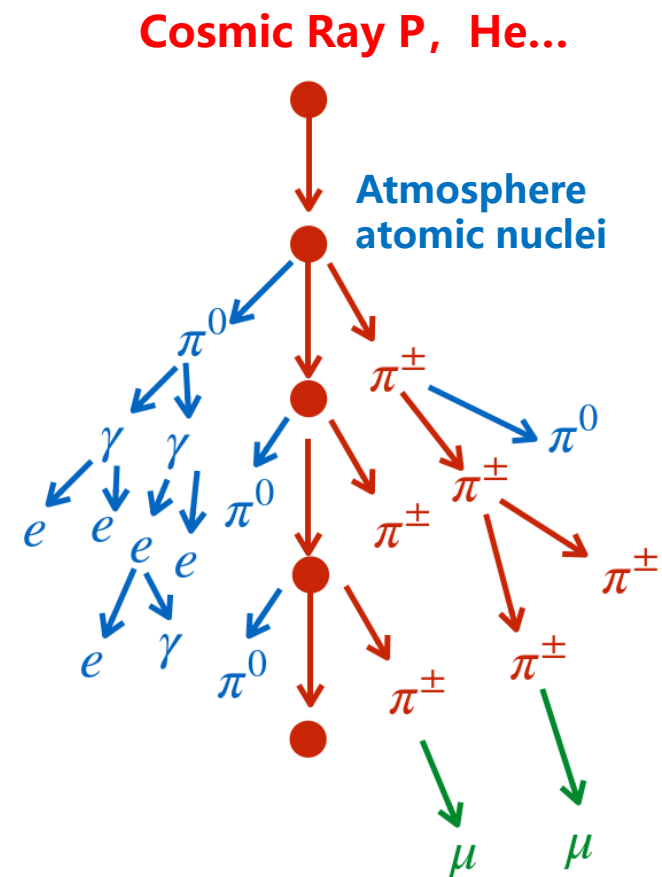
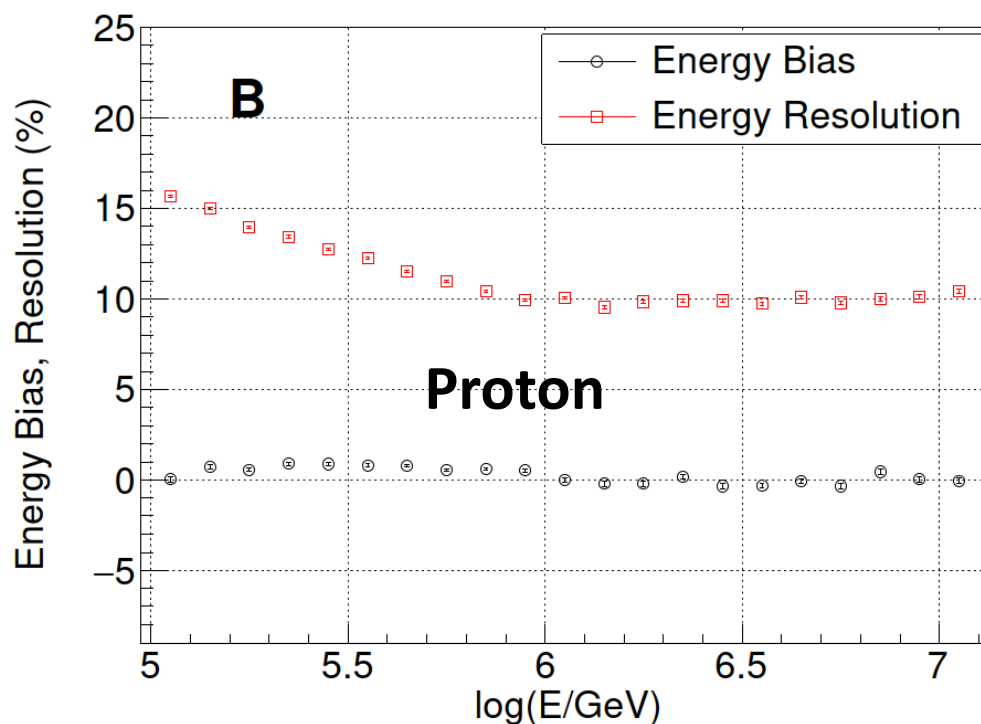
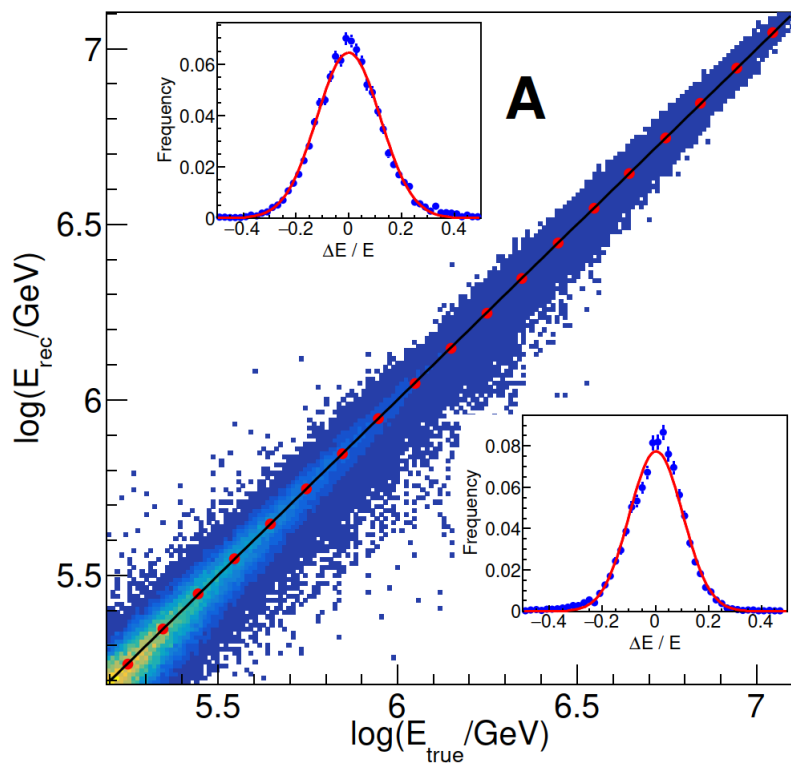
➤ Shower energy: $E_0 \sim E_{em} + E_h$

- Electromagnetic component (E_{em}): Cherenkov photons (N_{ph}) or electrons + *gamma* rays (N_e)
- *Hadronic component* (E_h): $\pi^\pm \rightarrow \mu$ (N_μ)

$$N_{c\mu} = N_{ph} + CN_\mu$$

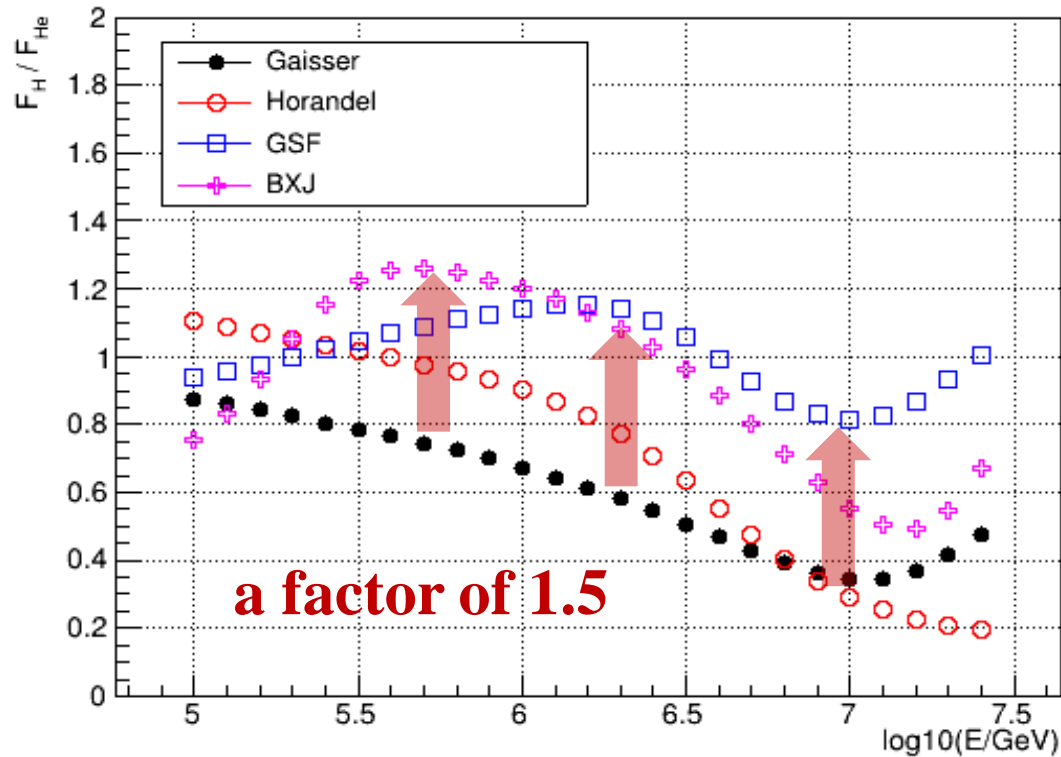
$$E_{rec} = kN_{c\mu}$$

- Energy Resolution: <15%
- Systematic Bias: <2%

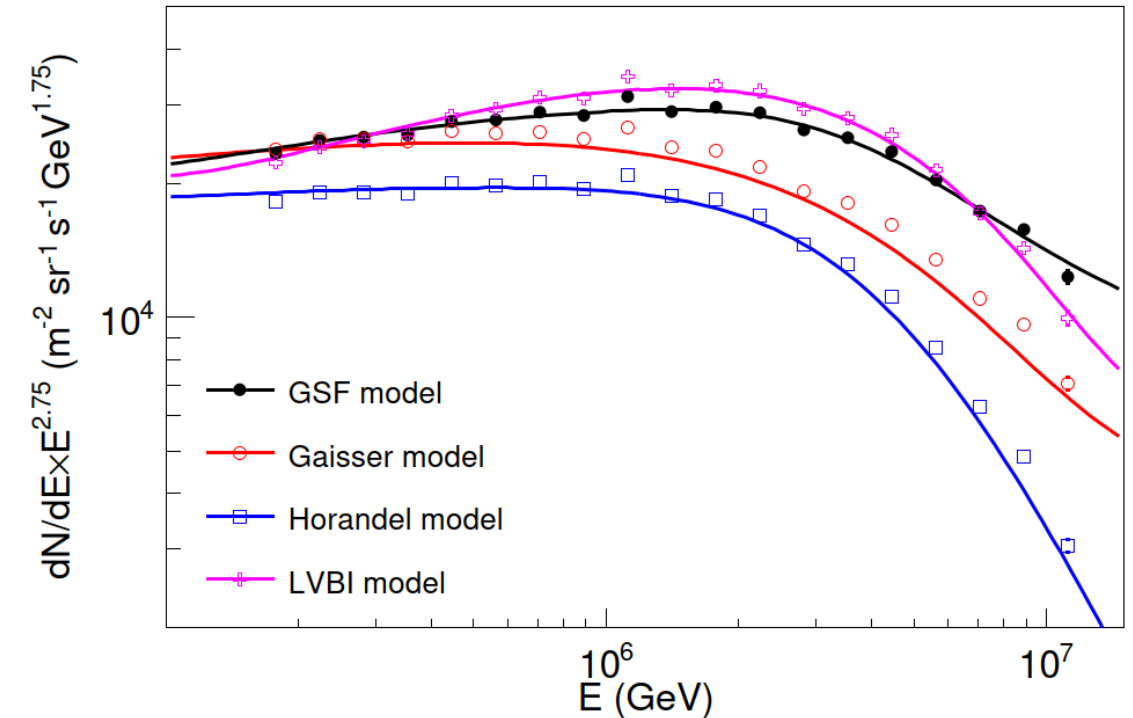


Contamination from Helium Nuclei

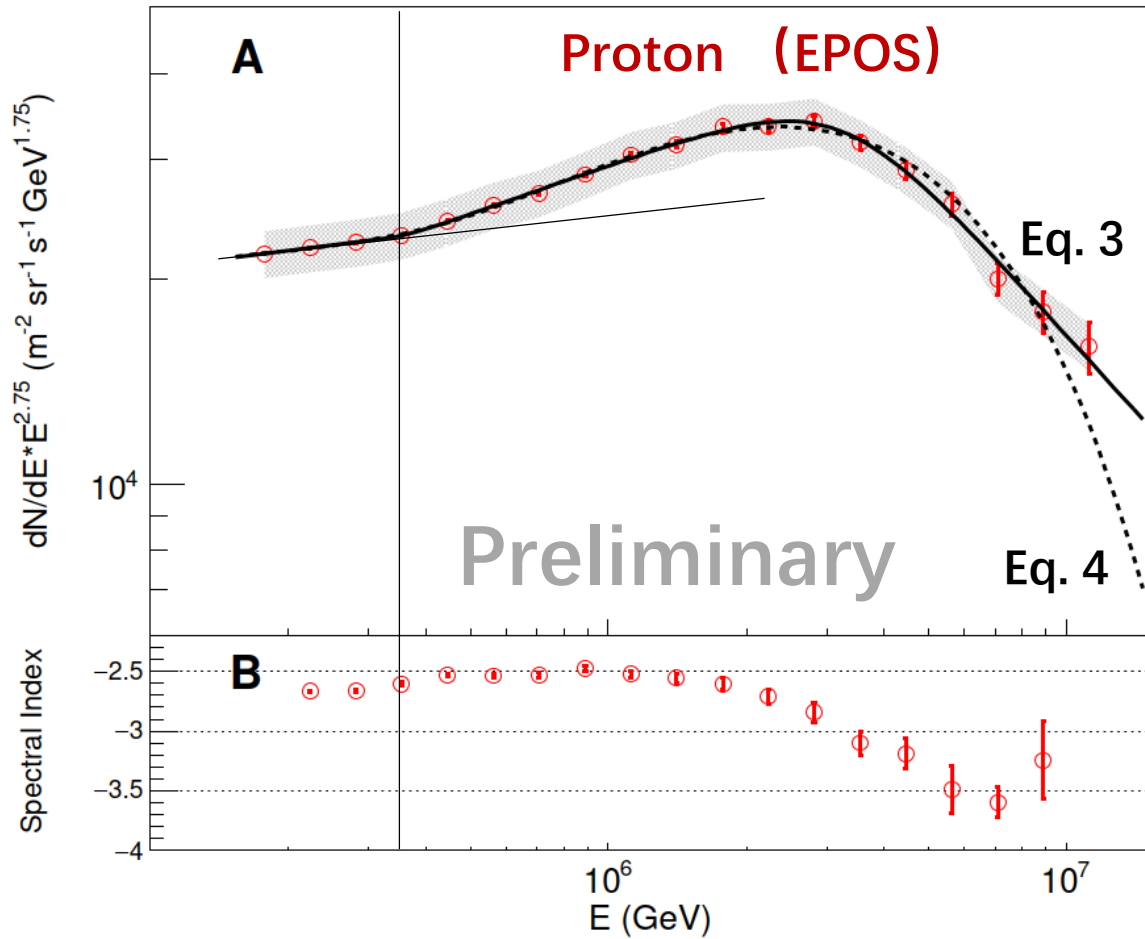
Ratio of proton vs Helium nuclei in composition assumptions



Re-produced pure-proton spectra under 4 assumption of composition mixtures



- The discrepancies between the expected spectra and reconstructed results of different component models: 3-5% for energies below 1 PeV, about 7% for 3 PeV and ~15% for 10 PeV.



➤ Eq. 3: Three broken power laws

$$E_h = 365 \pm 20$$

$$E_k = 3.2 \pm 0.3$$

$$\gamma_1 = -2.67 \pm 0.01$$

$$\gamma_2 = -2.51 \pm 0.02$$

$$\gamma_3 = -3.5 \pm 0.1$$

$$\chi^2/\text{n.d.f.} = 9.9/11$$

➤ Eq. 4: Two broken power law
+ an exponential cutoff

$$E_h = 436 \pm 22$$

$$E_{\text{cut}} = 5.1 \pm 0.3$$

$$\gamma_1 = -2.66 \pm 0.02$$

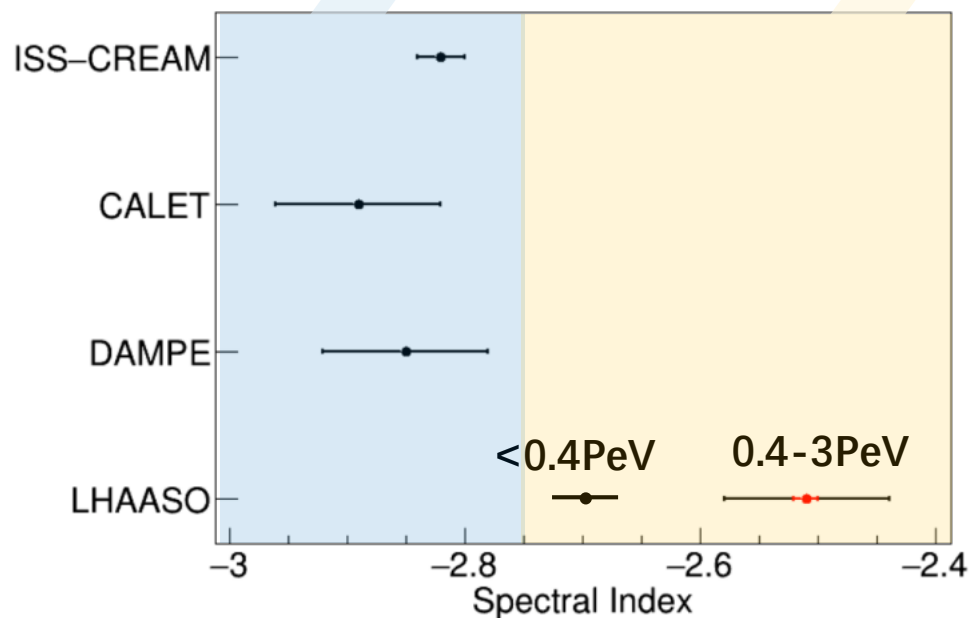
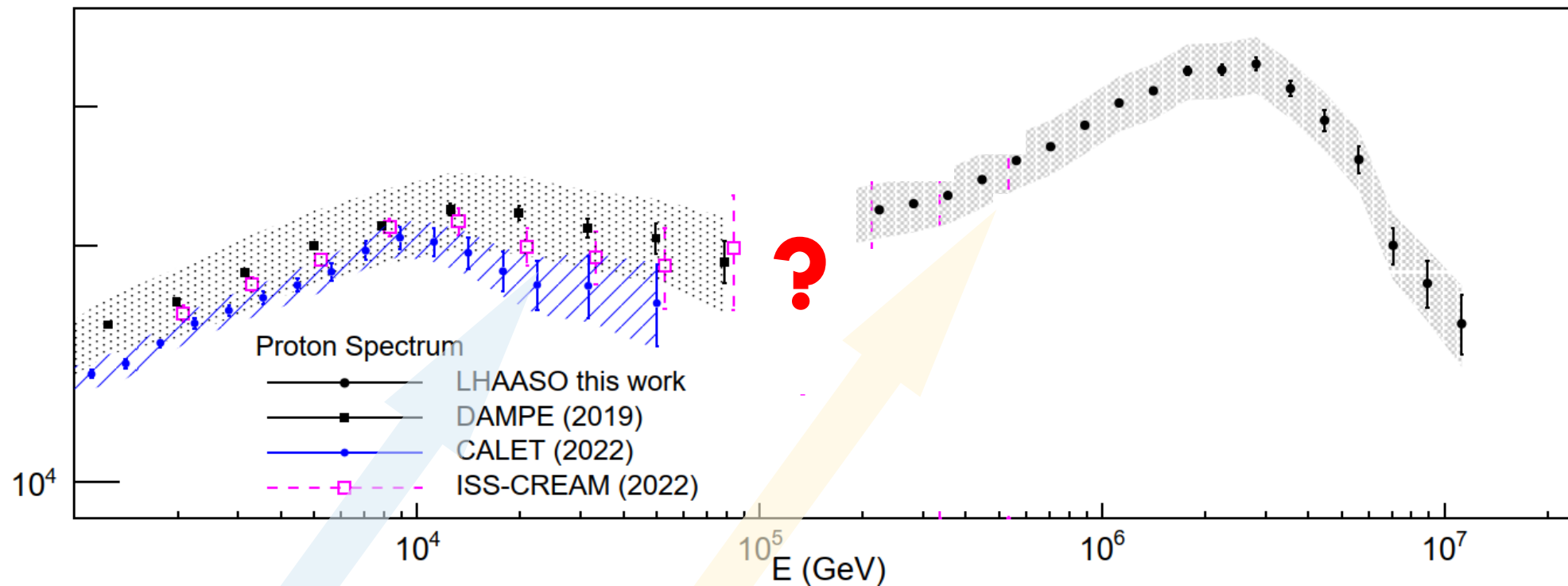
$$\gamma_2 = -2.29 \pm 0.05$$

$$\chi^2/\text{n.d.f.} = 27.1/13$$

$$\text{Eq. 3: } F(E) = F_0 \left(\frac{E}{100 \text{ TeV}} \right)^{\gamma_1} \left(1 + \left(\frac{E}{E_h} \right)^{1/w_1} \right)^{(\gamma_2 - \gamma_1)w_1} \left(1 + \left(\frac{E}{E_k} \right)^{1/w_2} \right)^{(\gamma_3 - \gamma_2)w_2}$$

$$\text{Eq. 4: } F(E) = F_0 \left(\frac{E}{100 \text{ TeV}} \right)^{\gamma_1} \left(1 + \left(\frac{E}{E_h} \right)^{1/w} \right)^{(\gamma_2 - \gamma_1)w} e^{-\frac{E}{E_{\text{cut}}}}$$

Flux $dN/dE \cdot E^{2.75} \text{ (m}^{-2} \text{ sr}^{-1} \text{ s}^{-1} \text{ GeV}^{1.75}\text{)}$



- DAMPE, CALET, ISS-CREAM, measure the spectral index ~ -2.9 (for $E > 14 \text{ GeV}$)
- LHAASO measures it as -2.5 (for $E > 0.3 \text{ \& } E < 3.3 \text{ PeV}$)
- **There must be a hardening feature below the knee unambiguously**

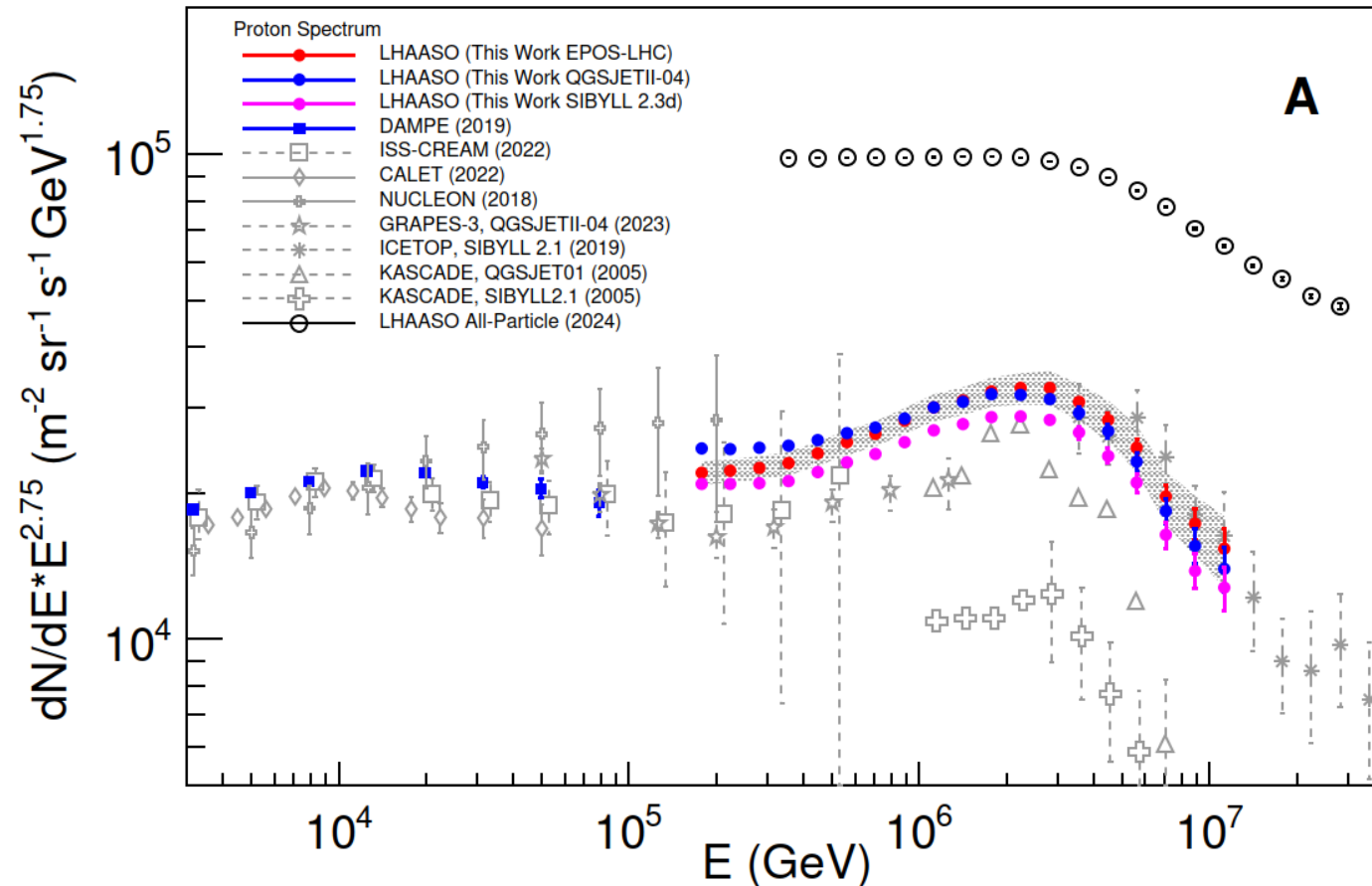


Systematic Uncertainties

Systematic uncertainties on flux	
Hadronic model	$\leq 15\%$
Composition model	$\sim 7\% @ 3\text{PeV}$
Different purity	$\leq 2\%$
SiPM camera calibration	$\leq 2\%$
Background light	$\leq 2\%$
Absolute Humidity	$\leq 1\%$
Air pressure	$\leq 1\%$
Total	$\sim 17\%$

Systematic uncertainties on Energy Scale	
SiPM camera calibration	$\sim 1.5\%$
Mirror reflectivity Calibration	$\sim 1\%$
N_μ Calibration	$\sim 1\%$
Absolute Humidity (water vapor)	$\sim 1\%$
Aerosol	$\sim 2\%$
Air pressure	$\sim 0.5\%$
Hadronic model	$\sim 1.4\%$
Total	$\sim 4\%$

Proton energy spectrum measured by LHAASO in the knee region



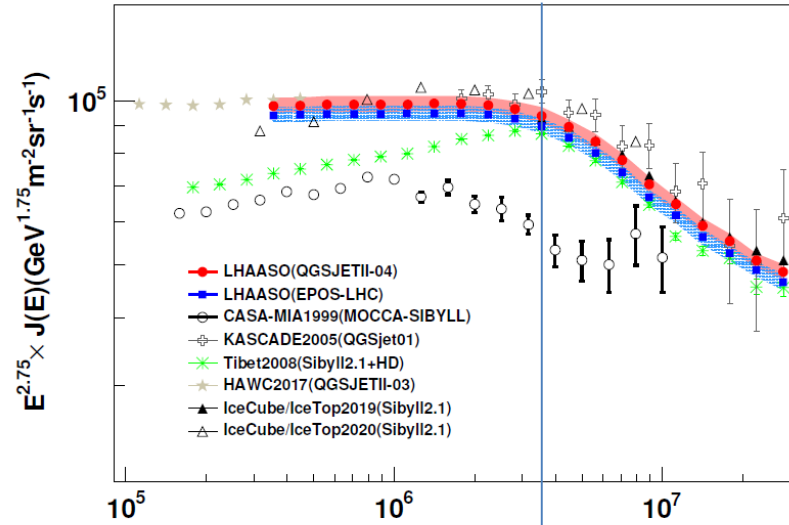
- CR protons around the knee have been identified from 0.15 to 12 PeV by LHAASO.
 - LHAASO purity: **~90%**, above 100TeV
 - Direct measurement (e.g. DAMPE) purity: **99% - 95%**, below 100TeV
 - KASCADE and ICETOP: Unfolding method, no purity provided.
- **Hardening**: >300 TeV, with index change $\Delta\gamma \sim 0.4$ respect to the space-borne measurement
- **Softening (knee)**: ~3.3 PeV, with index change $\Delta\gamma = -1$

LHAASO Coll., arXiv:2505.14447

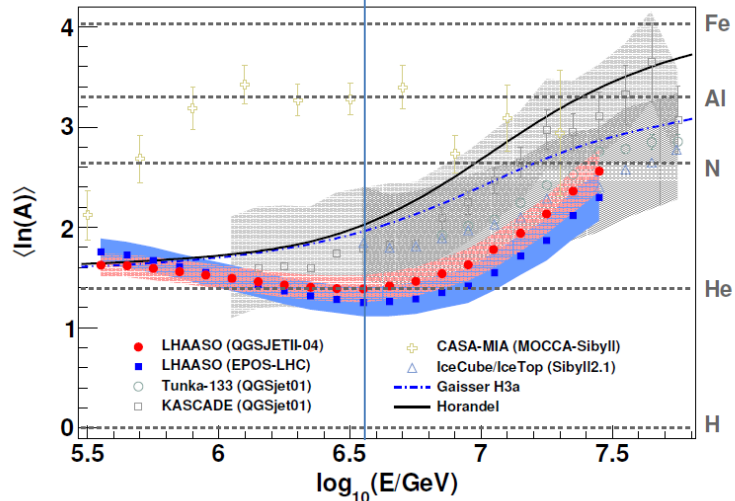
Compatible precision with the space borne direct measurement !

Proton knee vs. all particle knee

LHAASO Collaboration, PRL, 132, 131002 (2024)

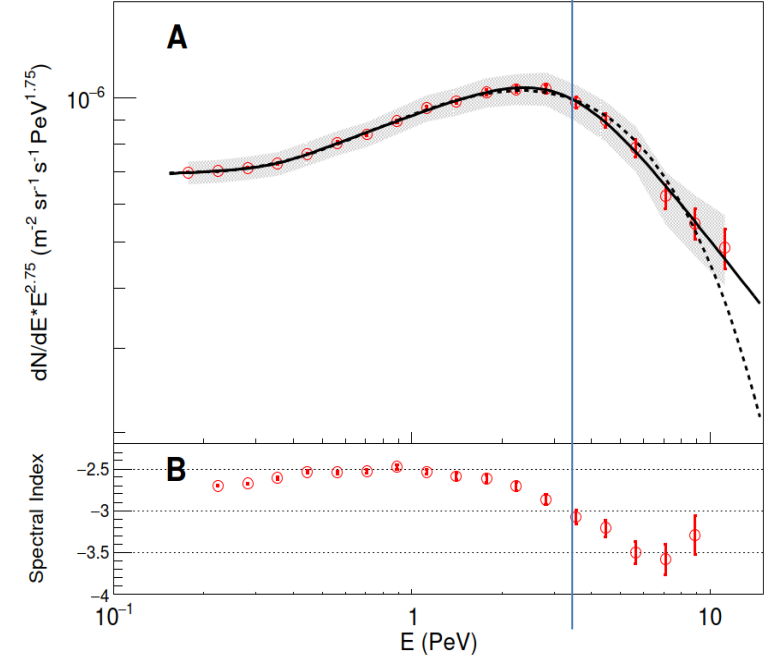
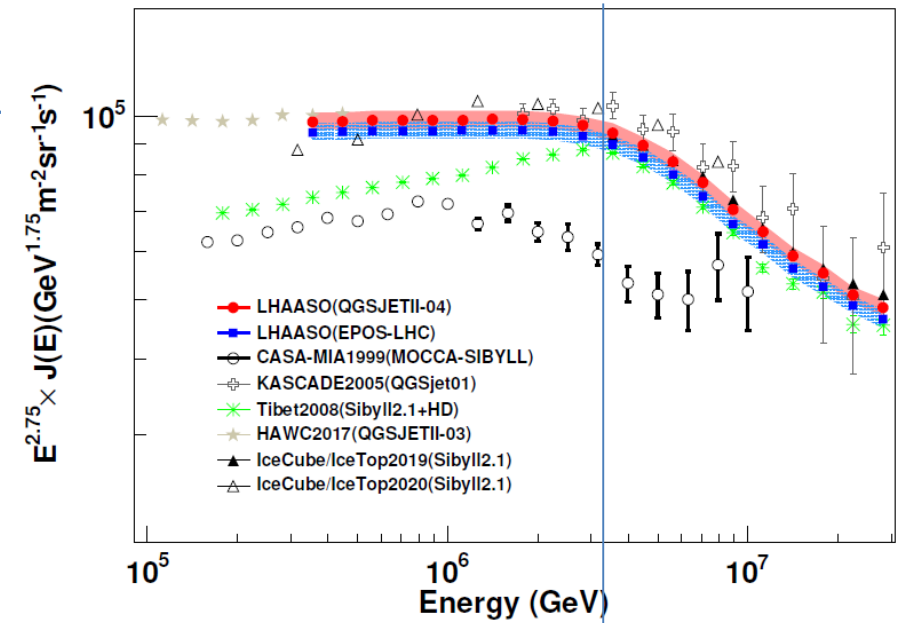


Knee: ~ 3.67 PeV
 $\gamma_1 = -2.74 \pm 0.005$
 $\gamma_2 = -3.13 \pm 0.005$



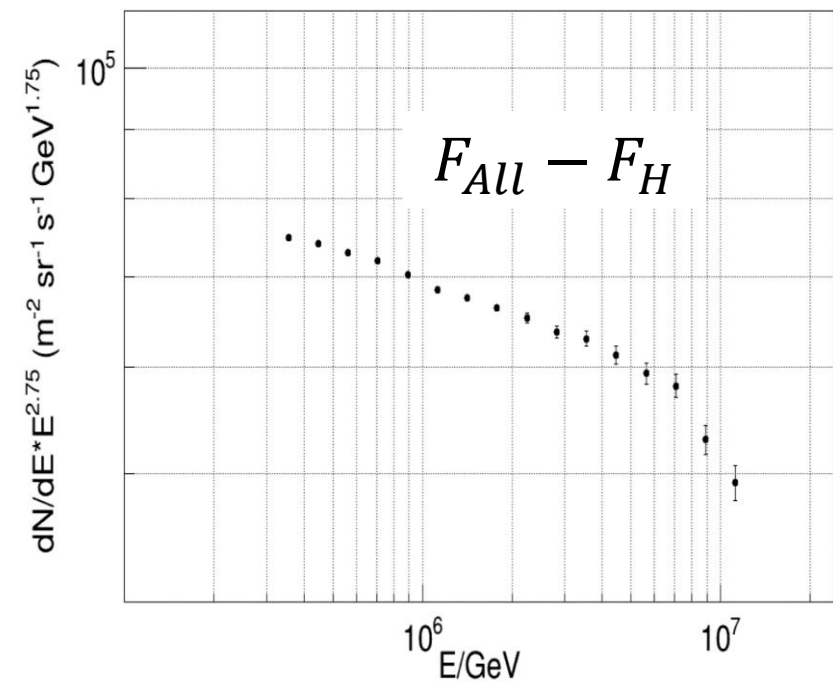
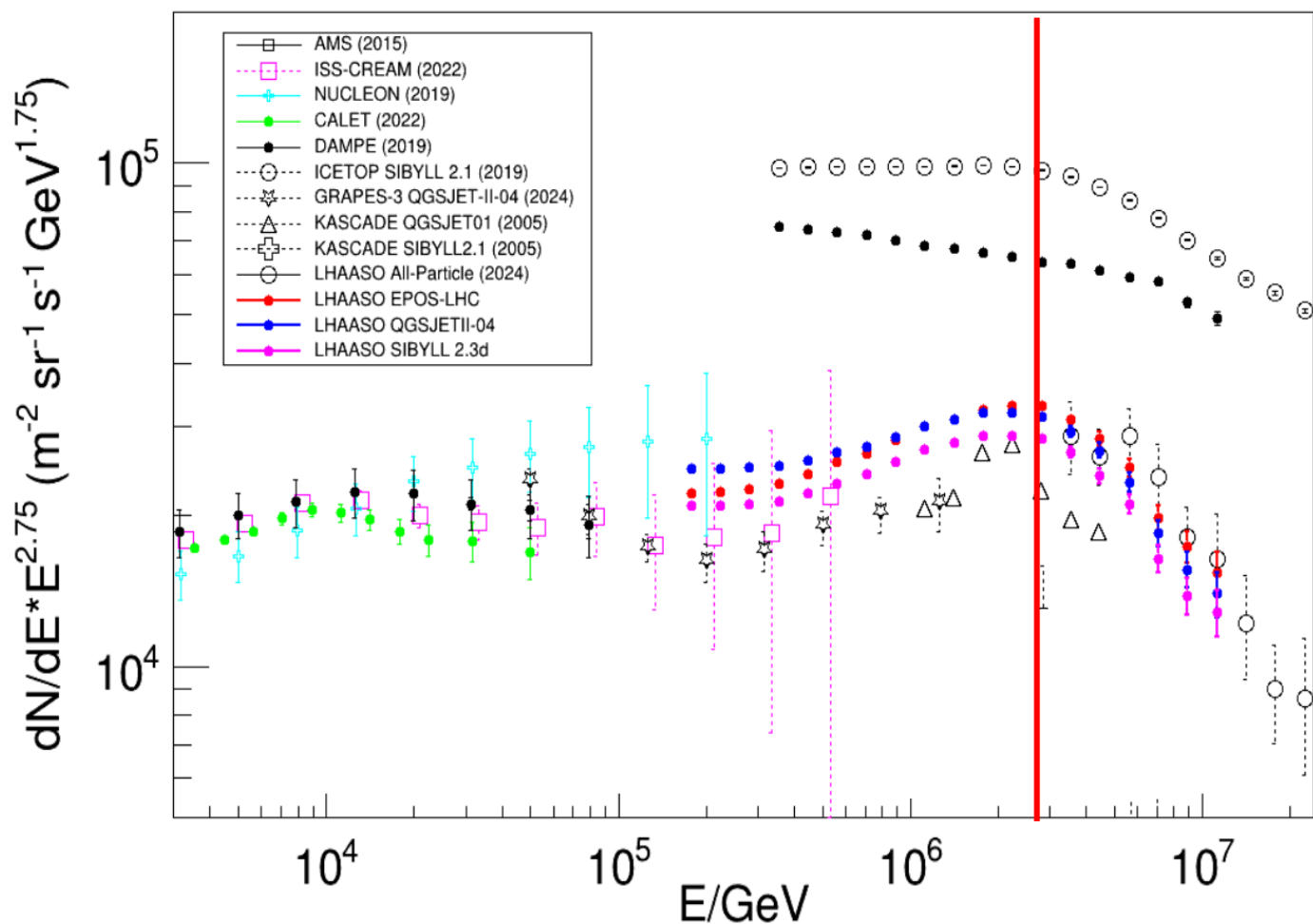
Knee: ~ 3.3 PeV
 $\gamma_1 = -2.71 \pm 0.02$
 $\gamma_2 = -2.51 \pm 0.03$
 $\gamma_3 = -3.5 \pm 0.2$

The all-particle knee is likely dominated by the proton knee



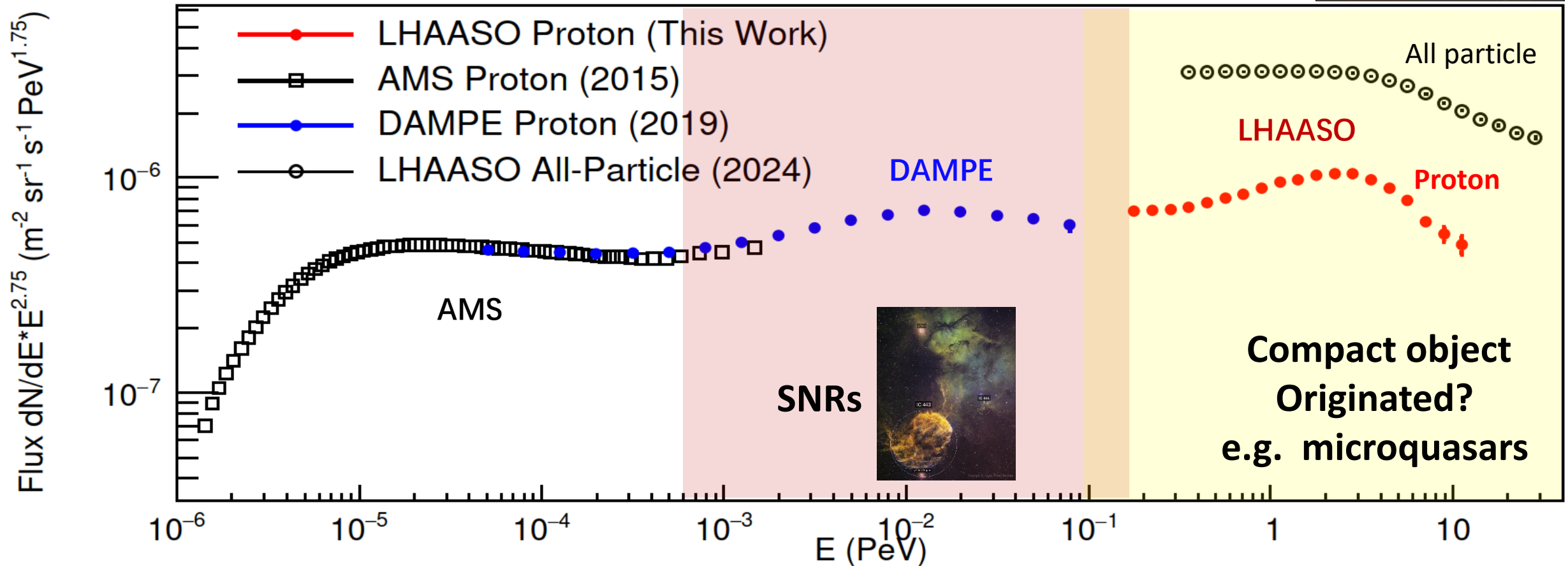
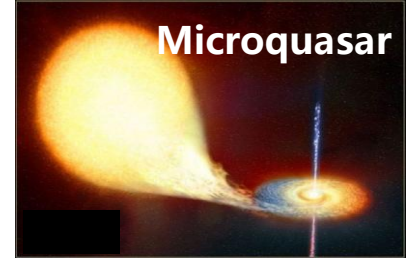
All particle energy spectrum: see Hengying Zhang talk for more details.

• Protons dominating the Knee over other species



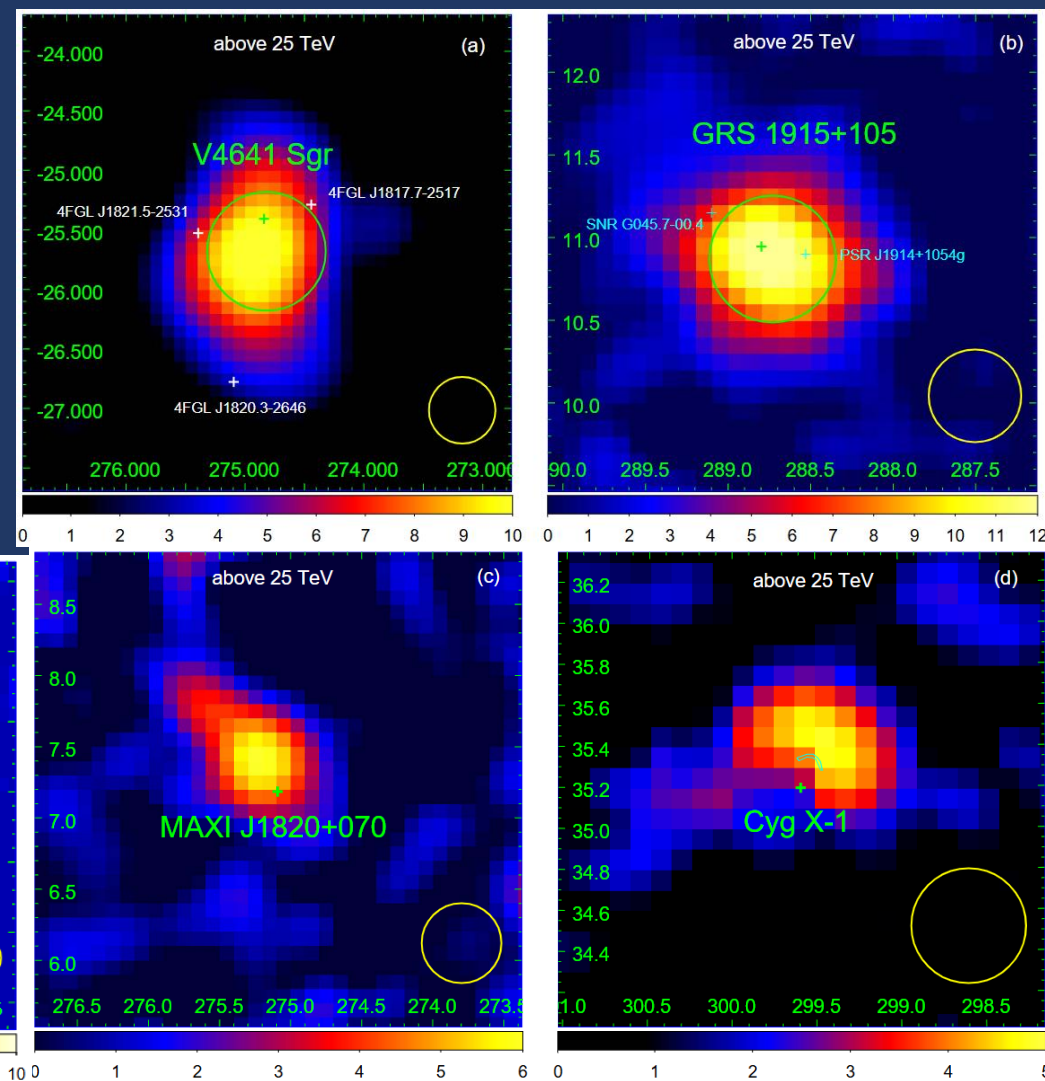
Wideband spectrum of protons

- A potential explanation could be the existence of multiple groups of cosmic ray sources with varying acceleration limits, as indicated by their maximal cosmic ray energies.



Black Holes and Jets: μ Qs

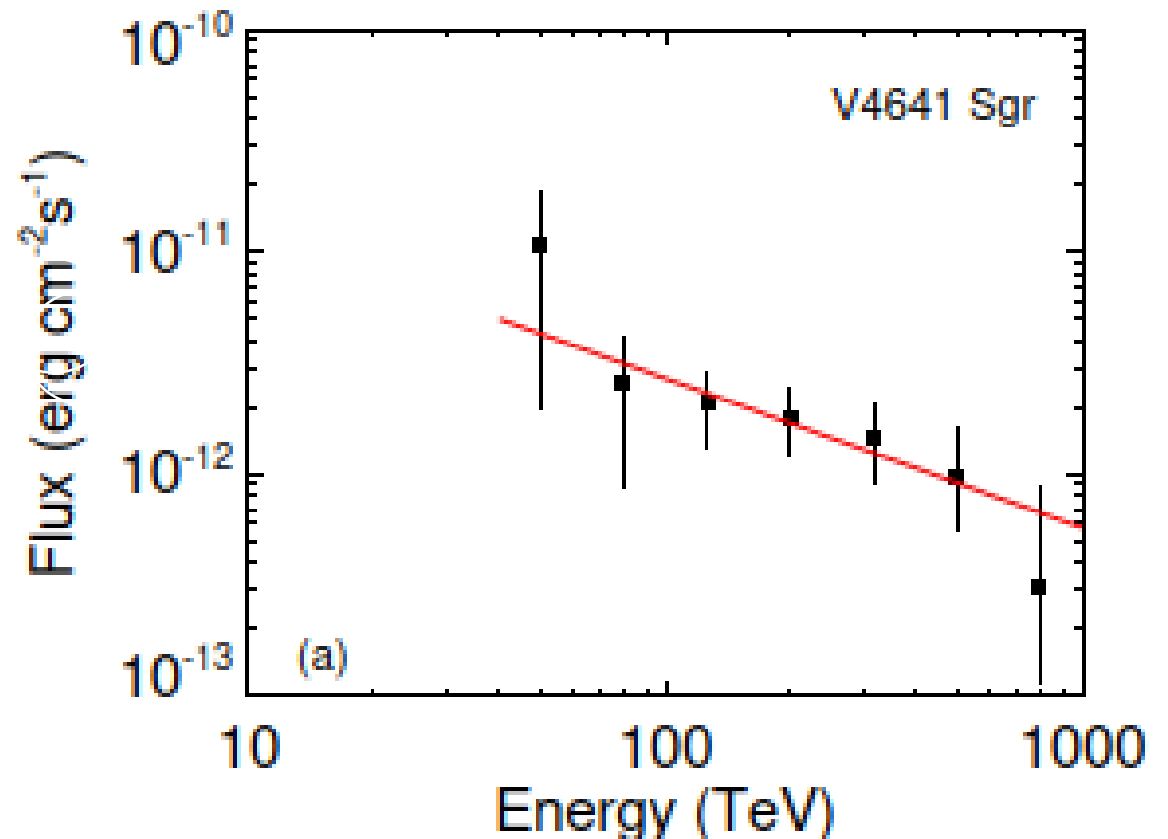
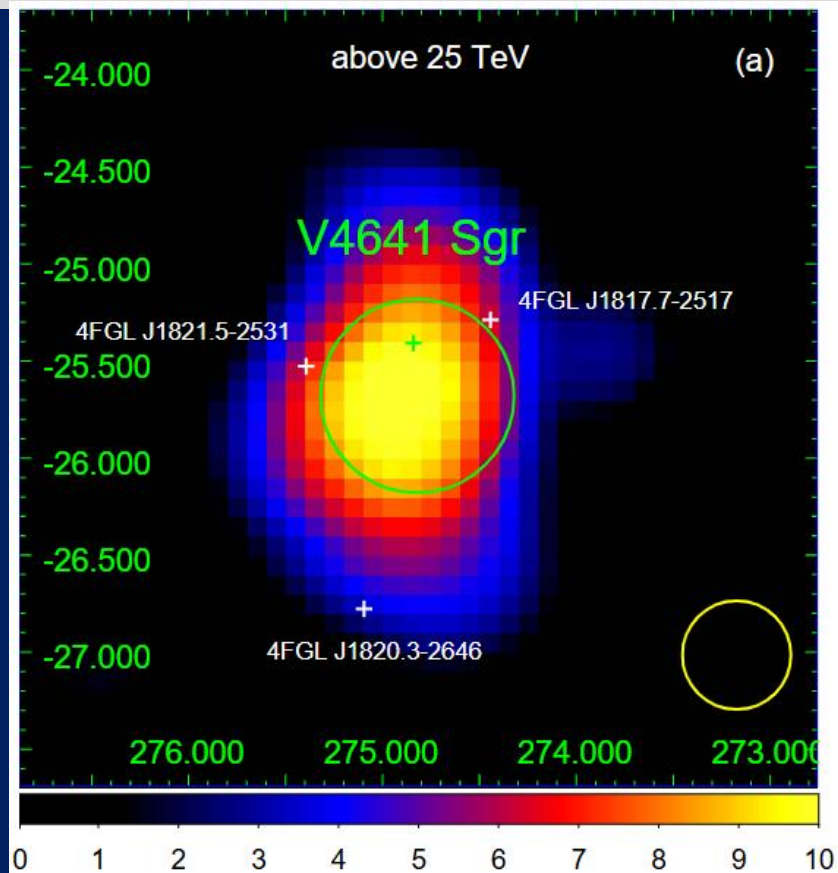
- Very important !!
- New CR source population particularly at energy $E > 3$ PeV

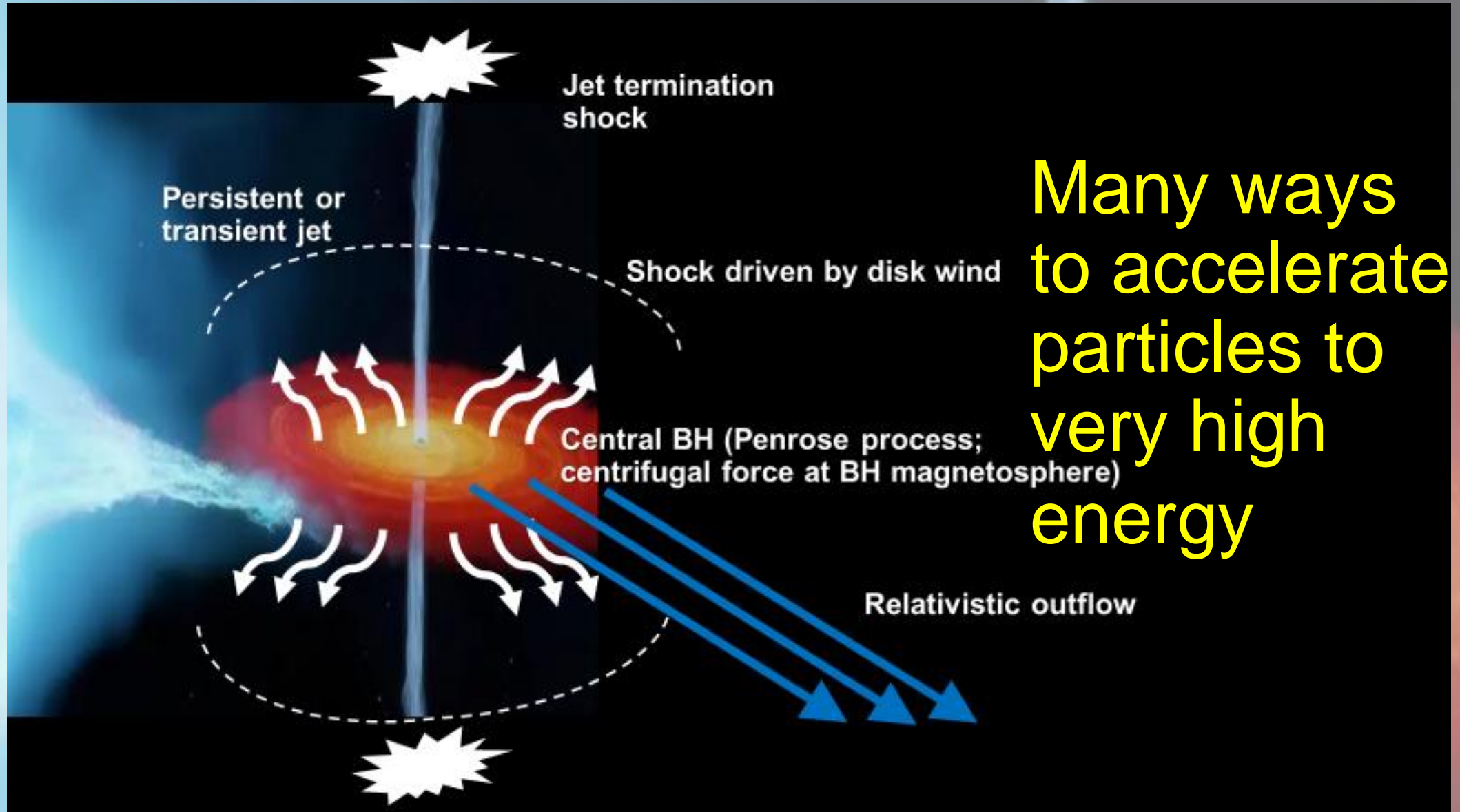


Black Hole as a super-PeVatron?



Very difficult to detect: not only due to the distant: $\sim 20,000$ light-year !
But also out of main field of view of LHAASO: a source in southern hemisphere
Powerful accelerator generating particle at $E > 10$ PeV !!

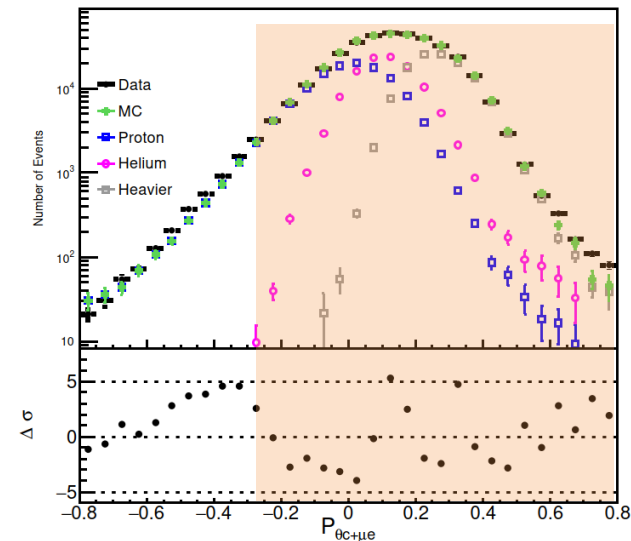
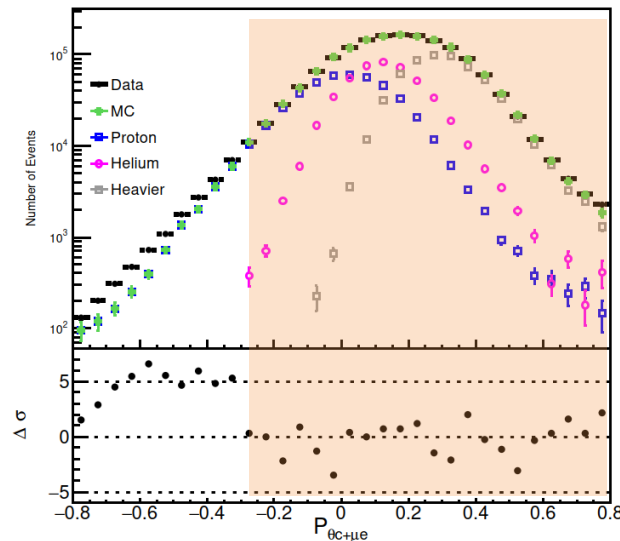
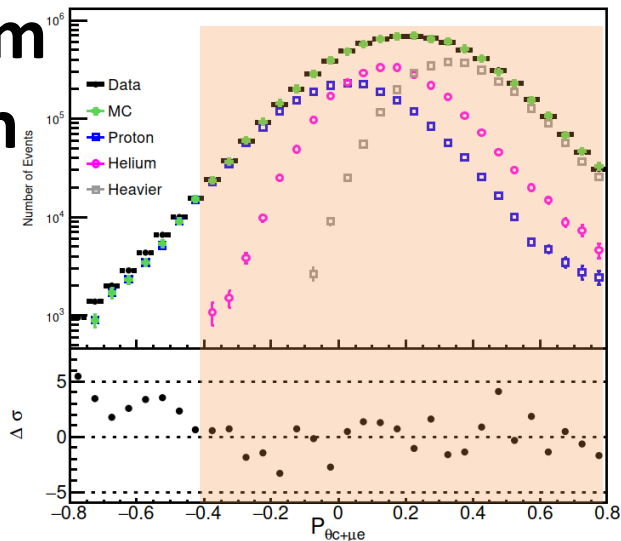




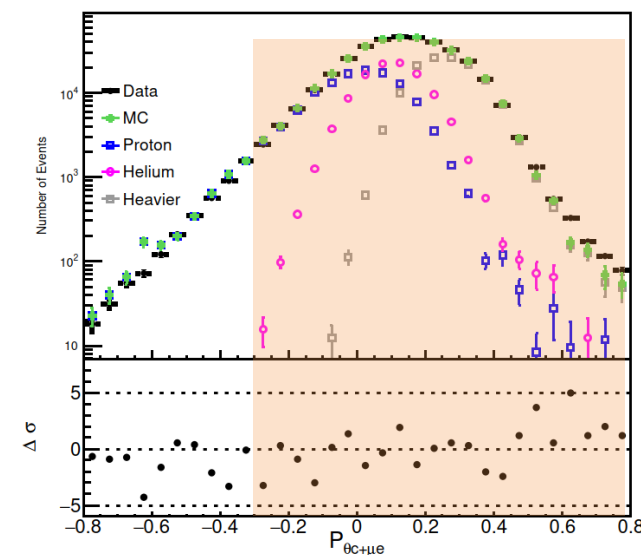
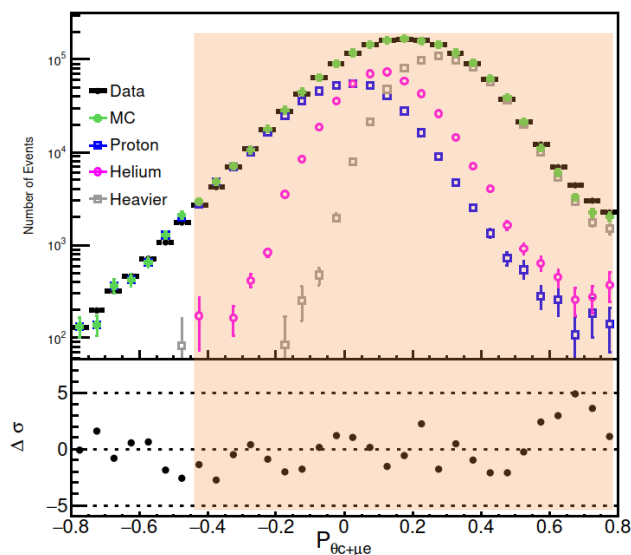
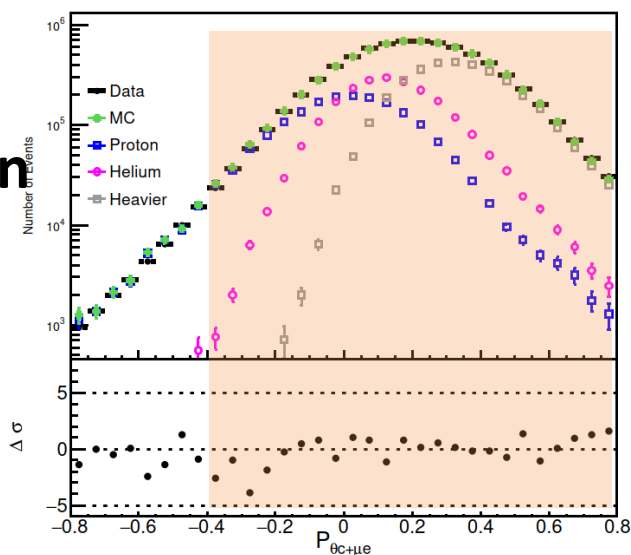
Testing on Hadronic Interaction Models

Disentangle from the composition assumption

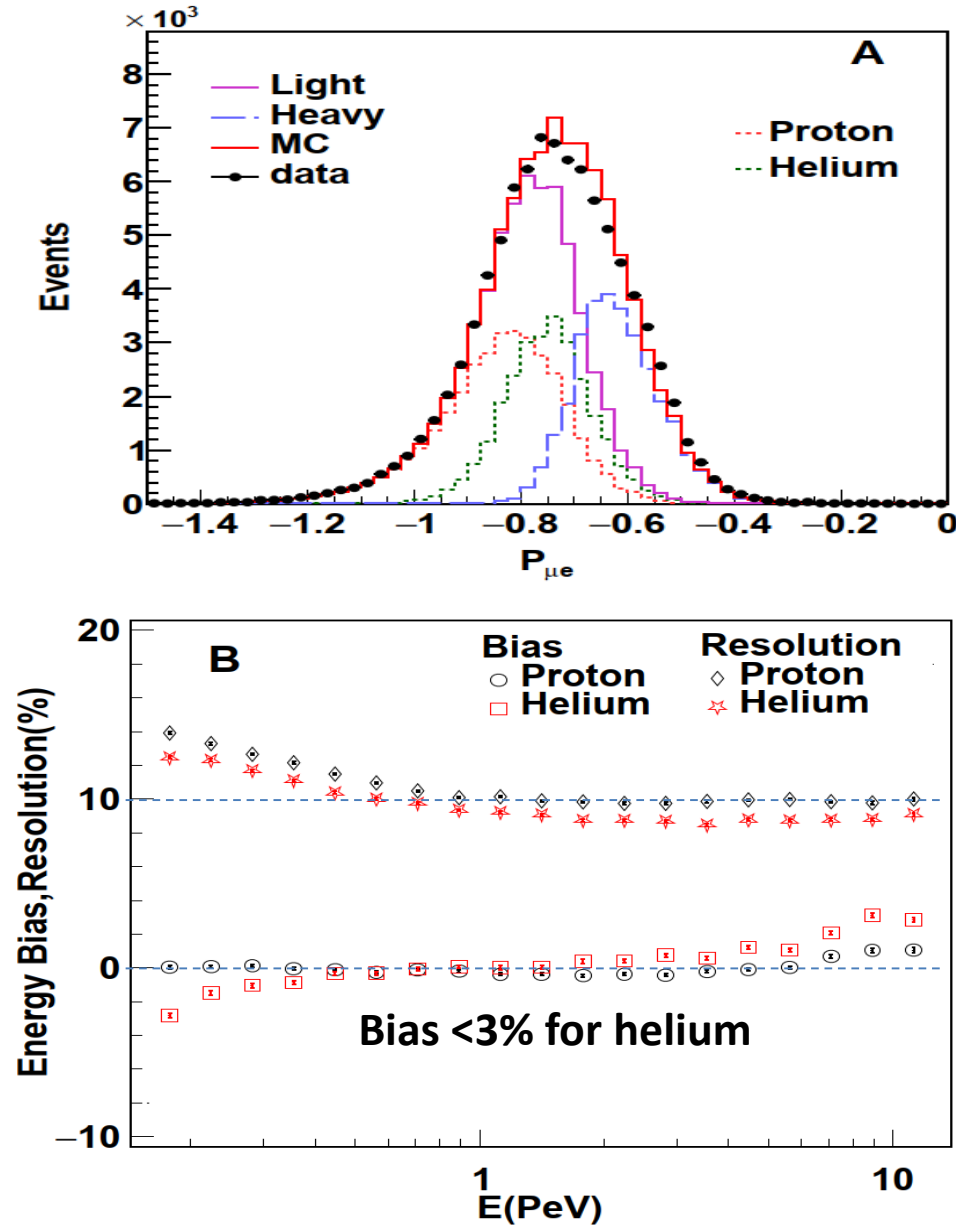
➤ QGSJet seems systematically shifted over 5σ



➤ SIBYLL is good in $\pm 2\sigma$

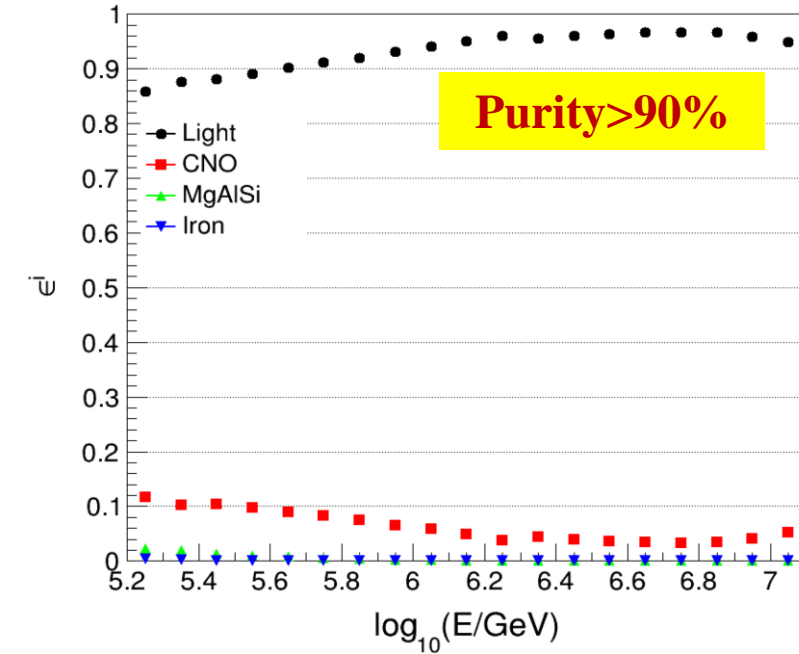
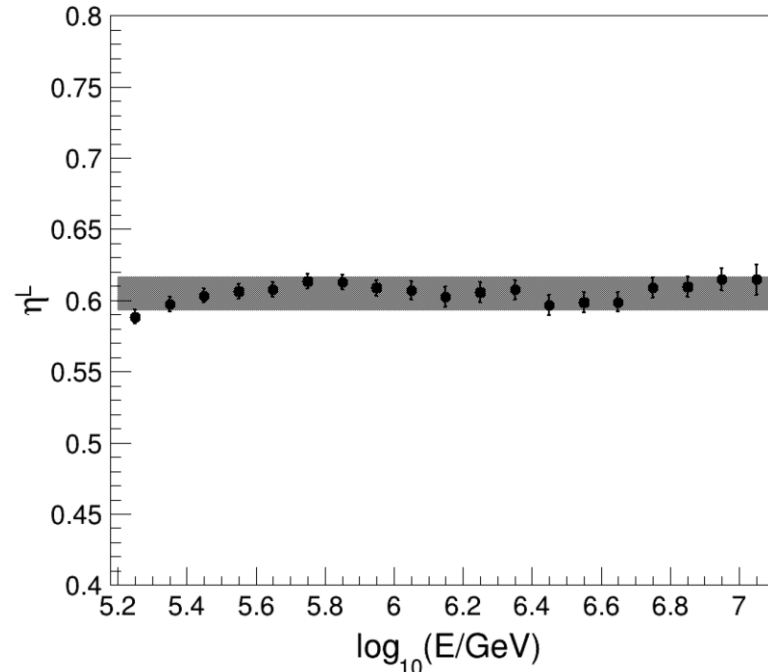
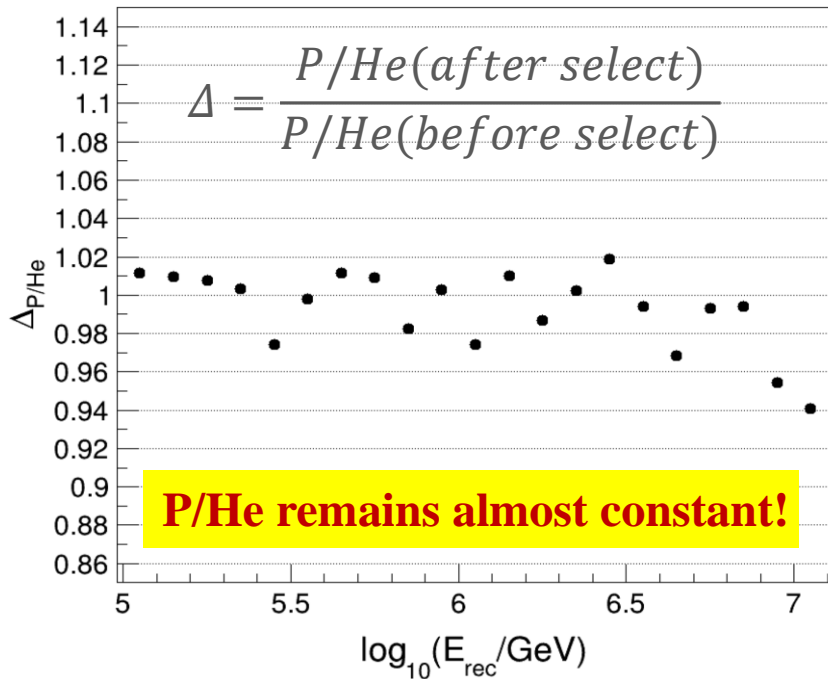


Light component (H+He) Selection



- Helium showers are very similar with proton showers
- it is impossible to separate helium from all other particles event by event
- Methodology:
 - Helium spectrum = $F_{P+He} - F_{proton}$
 - The same dataset and the same energy reconstruction as used in the proton energy spectrum
- High efficiency in selection for light showers

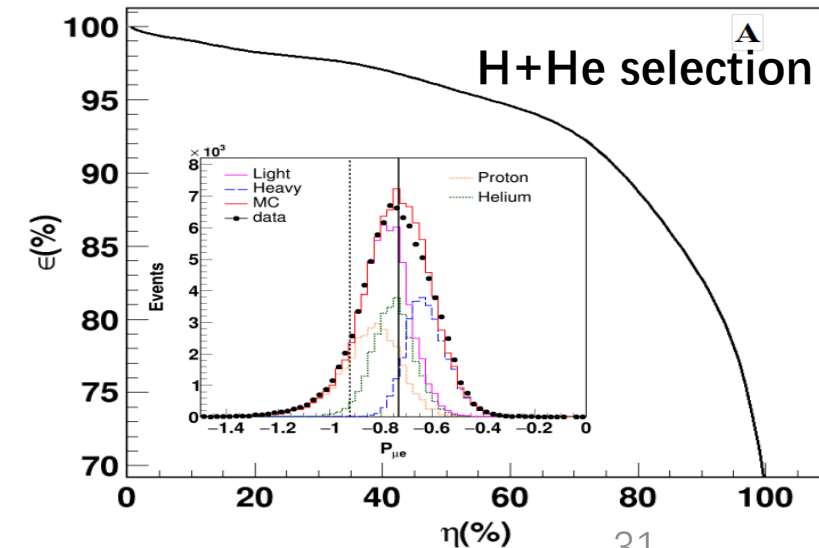
Light component (H+He) Selection



➤ Dual cutting is applied to keep the same ratio of Proton and Helium before and after the composition selection;

➤ Purity ($\epsilon^l = \frac{N_{select}^L}{N_{select}^L + N_{select}^H}$) : ~90% @ 1PeV,
Most of the contaminations come from CNO

➤ Selection efficiency ($\eta^l = \frac{N_{select}^L}{N_{all}^L}$) : 60%.





Conclusion

- **LHAASO measures showers at 4410m above sea level**
- **Multi shower parameters are well measured with a full containing both longitudinally and laterally**
- **Enable separation of proton showers from other species event by event, with a purity of ~90%**
- **Hardening and Knee features is revealed with sufficiently small uncertainties**
- **The knee is dominated by protons**
- **Three components in the wideband proton spectrum indicate different source population groups**
- **Stay tuned, the Helium spectrum coming soon**