

TWENTY-FIRST LOMONOSOV CONFERENCE August, 24-30, 2023 ON ELEMENTARY PARTICLE PHYSICS MOSCOW STATE UNIVERSITY

Statistical properties of fractal entropy of K_S^0 meson production in Au+Au collisions at RHIC

Mikhail Tokarev* & Imrich Zborovský**

*JINR, Dubna, Russia **NPI, Řež, Czech Republic





21st Lomonosov Conference on Elementary Particle Physics MSU, Moscow, Russia, August 24 - 30, 2023



- Introduction
- Motivation & Goals
- z-Scaling and symmetries
- Self-similar variable z & Fractal entropy
- > Maximal entropy and fractal cumulativity
- Quantization of fractal dimensions
- Statistical properties of fractal entropy
- > Summary





Search for new symmetries in Nature

Systematic analysis of inclusive cross sections of particle production in p+p, p+A and A+A collisions to search for general features of hadron and nucleus structure, constituent interaction and fragmentation process over a wide scale range

z-Scaling is a tool in high energy physics

Development of z-scaling approach for description of processes with multiparticle production in inclusive reactions and verification of fundamental physics principles of self-similarity, locality, fractality, maximal entropy, etc.

Concept of new entropy and statistical properties of nuclear system with fractal objects and processes probed by K_S^0 mesons produced in Au+Au collisions at RHIC





Entropy – basis notion of thermodynamics and stat. physics

Thermodynamics





Rudolf Julius Emanuel Clausius

Josiah Willard Gibbs

Entropy is a function of state $dS = dU/T + pdV/T - \mu dN/T$ S = S(U, V, N), T = T(U, V, N)

Thermodynamic quantities and potentials are expressed via entropy

 $U=TS-pV+\mu N$ H=U+pVF=U-TSG=U+pV-TS $\Omega=U-TS-\mu N$

$$\begin{split} I/T &= \partial S/\partial U|_{V,N} \\ p/T &= \partial S/\partial V|_{U,N} \\ c_V &= T\partial S/\partial T|_V \\ \partial p/\partial T|_{V,N} &= \partial S/\partial V|_{T,N} \\ \partial V/\partial T|_{p,N} &= -\partial S/\partial p/_{T,N} \end{split}$$

έντροπία

Statistical physics





Ludwig Eduard Boltzmann

Max Karl Ernst Ludwig Planck

$S = k \cdot lnW$

k - Boltzmann constant*W* - number of microstate

Various forms of entropy:

von Neumann (1932) Shannon (1948) S_s Kolmogorov (1954) Khinchin (1957) Rényi (1961) S_R





- Entropy is function of state of a (thermodynamic) system.
- Entropy is smooth function of thermodynamic parameters.
- > For reversible processes $\oint dS = 0$.
- Basic concept in 2nd and 3d laws of thermodynamics.
- Entropy of phase transition.
- Entropy of extensive and non-extensive systems.
- Fractal entropy entropy of systems with fractal objects.
- Entropy in quantum statistical mechanics.
- Entropy of Entanglement, Black Hole, Big Band, Universe, ...
- Entropy and information content of the human genome, ...





Singularity of specific heat near a Critical Point



- Near a critical point the singular part of thermodynamic potentials is a Generalized Homogeneous Function (GHF).
- > The Helmholtz potential $F(\lambda^{a_{\varepsilon}}\varepsilon,\lambda^{a_{V}}V) = \lambda F(\varepsilon,V)$ is GHF of (ε,V) .

$$c_{V} \sim \epsilon / \epsilon / \alpha \quad \epsilon \equiv (T - T_{c}) / T_{c} \quad c_{V} = -T (\partial^{2} F / \partial T^{2}) |_{V}$$

$$c_v = T(\partial S / \partial T)|_v$$

Critical exponents define the behavior of thermodynamic quantities nearby the Critical Point.



Singularity of heat capacity and thermal conductivity near a CP

Heat capacity of Ar



M.Tokarev



The isochoric heat capacity C_v of argon becomes infinite at the vapor-liquid critical point.

A.V. Voronel' et al. Zh. Exp. Teor. Fiz. 43, 728 (1962).

Owing to the discoveries made by A.Voronel and J.Sengers more 60 years ago, critical phenomena in fluids and fluids mixtures have become an integral part of condensed-mater physics.

Thermal conductivity of CO_2





Maximum of thermal conductivity increased upon a gradual approach to the critical isotherm suggesting that it could tend to infinity at the critical point.

J.V. Sengers,

Thermal Conductivity Measurements at Elevated Gas Densities Including the Critical Region, Thesis (Universiteit van Amsterdam, 1962).



Singularity of specific heat c_p of liquid ⁴He in cosmic space



Specific heat and thermal conductivity vs. reduced temperature near the lambda point

$$t = \frac{T - T_{\lambda}}{T_{\lambda}}$$

Density gradients cause substantial distortion of the singularity for reduced temperatures.

Transition broadening associated with gravity and relaxation phenomena.

J. A. Lipa et al.,



"Specific heat of liquid helium in zero gravity very near the lambda point" Phys. Rev. B **68**, 174518, (2003)

Lomonosov'21, MSU, Russia, 2023

The experiment was performed in Earth orbit to reduce the rounding of the transition caused by gravitationally induced pressure gradients on Earth.

Critical exponent describing the specific-heat singularity was found to be $\alpha = -0.01276 \pm 0.0003$.

$$c_p = \frac{A^{\pm}}{\alpha} |t|^{-\alpha} + B^{\pm}$$

Expt. in space $|t| < 10^{-10} (^{4}\text{He}, \text{Lipa})$. Expt. on Earth $|t| < 10^{-7} (^{4}\text{He}, \text{Fairbank})$. Expt. on Earth $|t| < 10^{-4}$ (Xe, Sengers,).

In space, the lambda transition is expected to be sharp to $|t| < 10^{-12}$ in ideal conditions.



z-Scaling: hypothesis, ideas, definitions, ...

Basic principles: locality, self-similarity, fractality,...

Phys.Rev. D 75 (2007) 094008 Int.J.Mod.Phys. A 24 (2009) 1417 J. Phys.G: Nucl.Part.Phys. 37 (2010) 085008 Int.J.Mod.Phys. A 27 (2012) 1250115 J.Mod.Phys. 3 (2012) 815 Int.J.Mod.Phys. A 32 (2017) 750029 Nucl.Phys. A 993 (2020) 121646 Nucl.Phys. A 1025 (2022) 122492





z-Scaling

Principles: locality, self-similarity, fractality



Hypothesis of z-scaling :

 $s^{1/2}$, p_T , θ_{cms}

Inclusive particle distributions can be described in terms of constituent sub-processes and parameters characterizing bulk properties of the system.

 $Ed^3\sigma/dp^3$

Scaled inclusive cross section of particles depends in a self-similar way on a single scaling variable z. x_1, x_2, y_a, y_b $\delta_1, \delta_2, \varepsilon_a, \varepsilon_b, c$

 $\Psi(z)$



M.Tokarev

Locality

Collisions of colliding objects are expressed via interactions of their constituents



Elementary sub-process: $(x_1M_1) + (x_2M_2) \rightarrow (m_1/y_a) + (x_1M_1 + x_2M_2 + m_2/y_b)$

Momentum conservation law for sub-process $(x_1P_1+x_2P_2-p/y_a)^2 = M_X^2$ Mass of recoil system $M_X=x_1M_1+x_2M_2+m_2/y_b$

M.Tokarev

 P_1, P_2, p – momenta of colliding and produced particles

 M_1, M_2, m_1 – masses of colliding and produced particles

 x_1, x_2 – momentum fractions of colliding particles carried by constituents

 y_a, y_b – momentum fractions of scattered constituents carried by inclusive particle and its recoil δ_1, δ_2 – fractal dimensions of colliding particles

 ϵ_a, ϵ_b – fractal dimensions of scattered constituents (fragmentation dimensions) m_2 – mass of recoil particle

> M.T., I.Zborovský Yu.Panebratsev, G.Skoro Phys.Rev.D54 5548 (1996) Int.J.Mod.Phys.A16 1281 (2001)



Interactions of constituents are mutually similar

The self-similarity parameter z is a dimensionless variable, expressed through the dimensional quantities P_1 , P_2 , p, M_1 , M_2 , m_1 , m_2 , characterizing the process of inclusive particle production m_1



- > Ω^{-1} the minimal resolution at which a constituent sub-process can be singled out of the inclusive reaction
- $\succ \sqrt{s_{\perp}}$ − the transverse kinetic energy of the sub-process consumed on production of $m_1 \& m_2$
- $\rightarrow dN_{ch}/d\eta|_0$ the multiplicity density of charged particles at $\eta = 0$
- c a parameter interpreted as a "specific heat" of created medium
- \rightarrow m_N an arbitrary constant (fixed at the value of nucleon mass)

M.Tokarev

Lomonosov'21, MSU, Russia, 2023



Fractality



 \mathbf{m}_{2}

a sub-process with fractions x_1 , x_2 , y_a , y_b of the corresponding 4-momenta

M.Tokarev

 $\delta_1, \delta_2, \epsilon_a, \epsilon_b$ – parameters characterizing structure of the colliding objects and fragmentation process, respectively

 $\Omega^{-1}(x_1, x_2, y_a, y_b)$ characterizes resolution at which a constituent subprocess can be singled out of the inclusive reaction

The fractal measure z diverges as the resolution Ω^{-1} increases.

$$z(\Omega)|_{\Omega^{-1}\to\infty}\to\infty$$



Principle of minimal resolution: The momentum fractions x_1, x_2 and y_a, y_b are determined in a way to minimize the resolution Ω^{-1} of the fractal measure z with respect to all constituent sub-processes taking into account 4-momentum conservation law.

Momentum conservation law

$$(x_1P_1+x_2P_2-p/y_a)^2 = M_X^2$$

$$\begin{cases} \partial \Omega / \partial x_1 |_{y_a = y_a(x_1, x_2, y_b)} = 0 \\ \partial \Omega / \partial x_2 |_{y_a = y_a(x_1, x_2, y_b)} = 0 \\ \partial \Omega / \partial y_b |_{y_a = y_a(x_1, x_2, y_b)} = 0 \end{cases}$$

Resolution of sub-process $Ω^{-1} = (1 - x_1)^{-\delta_1} (1 - x_2)^{-\delta_2} (1 - y_a)^{-\varepsilon_a} (1 - y_b)^{-\varepsilon_b}$

> Mass of the recoil system $M_X = x_1 M_1 + x_2 M_2 + m_2/y_b$

Fractions x_1, x_2, y_a, y_b are expressed via Lorentz invariants – scalar products of 4-D momenta and particle masses.





Lomonosov'21, MSU, Russia, 2023





Fractal entropy of nuclear system & & self-similarity variable z



Physics 5 (2023) 537 Phys. Part. Nucl. 54 (2023) 640 Nucl. Phys. A1025 (2022) 122492 Nucl. Phys. A993 (2020) 121646



Entropy of nuclear system produced in $A+A \rightarrow h+X^{-17}$

According to statistical physics, entropy of a system is given by a number Ws of its statistical states:

 $S = lnW_s$

The most likely configuration of the system is given by the maximal value of S.

For inclusive reactions, the quantity Ws is the number of all parton and hadron configurations in the initial and final states of the colliding system which can contribute to the production of inclusive particle with momentum p.

The configurations comprise all constituent configurations that are mutually connected by independent binary subprocesses:

$$(x_1M_1)+(x_2M_2) \rightarrow (m_a/y_a)+(x_1M_1+x_2M_2+m_b/y_b)$$

The subprocesses corresponding to the production of the inclusive particle with the 4-momentum **p** are subject to the momentum conservation law:

 $(x_1P_1+x_2P_2-p/y_a)^2 = (x_1M_1+x_2M_2+m_b/y_b)^2$

The underlying subprocess, which defines the variable z, is singled out from the corresponding subprocesses by the principle of maximal entropy S.



 P_2, M_2, δ

 P_1, M_1, δ_1 -

 $-P_2$

Х

Self-similarity variable $z \& Fractal entropy S_{\delta,\varepsilon}$

$$z = z_0 \Omega^{-1}$$

$$z_0 = \frac{\sqrt{s_\perp}}{(dN_{ch}/d\eta \mid_0)^c m_N}$$

$$\Omega = (1 - x_1)^{\delta_1} (1 - x_2)^{\delta_2} (1 - y_a)^{\epsilon_a} (1 - y_b)^{\epsilon_b}$$

The quantity Ws is the number of all parton and hadron configurations in the initial and final states of the colliding system which can contribute to the production of inclusive particle with momentum **p**

Statistical entropy

$$S = \ln W_S$$

Thermodynamical entr

 $S = c_v lnT + RlnV + S_0$

Fractal entropy for independent processes

$$z = \frac{\sqrt{s_{\perp}}}{W}$$

W

$$S_{\delta,\varepsilon} = \mathbf{W} \cdot \mathbf{W}_{0} = (d\mathbf{N}_{ch}/d\eta \mid_{0})^{c} \cdot \Omega \cdot \mathbf{W}_{0} \qquad S_{\delta,\varepsilon} = c \cdot \ln (d\mathbf{N}_{ch}/d\eta \mid_{0}) + \ln (\mathbf{V}_{\delta,\varepsilon}) + \ln \mathbf{W}_{0}$$

Entropy $S_{\delta,\varepsilon}$ for systems with structural constituents

$$S_{\delta,\varepsilon} = c \cdot \ln (dN_{ch}/d\eta|_{0}) + \ln[(1-x_{1})^{\delta_{1}}(1-x_{2})^{\delta_{2}}(1-y_{a})^{\varepsilon_{a}}(1-y_{b})^{\varepsilon_{b}}] + \ln W_{0}$$

- $> dN_{ch}/d\eta|_0$ characterizes "temperature" of the colliding system.
- c has meaning of a "specific heat" of the produced medium.
- Fractional exponents $\delta_1, \delta_2, \varepsilon_a, \varepsilon_b$ are fractal dimensions in the space of $\{x_1, x_2, y_a, y_b\}$.
- \triangleright V_{δ,ε} = Ω is fractal volume in the space of momentum fraction.



Principle of maximal entropy:

The momentum fractions x_1, x_2, y_a, y_b are determined in a way to maximize the entropy $S_{\delta,\epsilon}$ with a kinematic constraint (momentum conservation law).

 $\begin{array}{l} \text{Maximum of } \mathbf{S}_{\delta,\epsilon} \\ \begin{cases} \partial \Omega \ /\partial x_1 = 0 & \partial \Omega \ /\partial y_a = 0 \\ \partial \Omega \ /\partial x_2 = 0 & \partial \Omega \ /\partial y_b = 0 \end{array}$

Momentum conservation law $(x_1P_1+x_2P_2-p/y_a)^2 = M_X^2$ Mass of recoil system $M_X = x_1M_1+x_2M_2+m_2/y_b$

Resolution of sub-processes

M.Tokarev

$$\Omega^{-1} = (1 - x_1)^{-\delta_1} (1 - x_2)^{-\delta_2} (1 - y_a)^{-\varepsilon_a} (1 - y_b)^{-\varepsilon_b}$$

Equivalence of maximal entropy principle and minimal resolution principle

Conservation law

$$\delta_1 \frac{x_1}{1 - x_1} + \delta_2 \frac{x_2}{1 - x_2} = \epsilon_a \frac{y_a}{1 - y_a} + \epsilon_b \frac{y_b}{1 - y_b}$$

for arbitrary $P_1, P_2, p, \delta_1, \delta_2, \varepsilon_a, \varepsilon_b$!!!

The conservation law corresponds to maximum of fractal entropy $S_{\delta,\epsilon}$

I.Zborovsky, Int. J. Mod. Phys. A 33, 1850057 (2018)



Conservation law for fractal cumulativity

"Fractal cumulativity"

$$C(D,\zeta) = D \cdot \frac{\zeta}{1-\zeta}$$

The fractal cumulativity before a constituent interaction is equal to the fractal cumulativity after a constituent interaction for any binary constituent sub-process

$$\boxed{\sum_{i}^{in} C(D_i, \zeta_i) = \sum_{j}^{out} C(D_j, \zeta_j)}$$

$$\mathbf{D} = (\delta_1, \delta_2, \varepsilon_a, \varepsilon_b) \qquad m_a \text{ inclusive particle}$$

$$\boldsymbol{\zeta} = (\mathbf{x}_1, \mathbf{x}_2, \mathbf{y}_a, \mathbf{y}_b) \qquad \boldsymbol{y}_a \qquad$$

recoil $m_{\rm b}$ particle

We assume that

M.Tokarev

- every physical particle is a structural one particle's constituents possess a fractal-like structure
- fragmentation is a fractal-like process
- compactness of the fractal structures is governed by the Heisenberg uncertainty principle

Fractal cumulativity is a property of a fractal-like object (or fractal-like process) named a FRACTALON

> with fractal dimension **D** to form a "structural aggregate" with certain degree of local compactness which carries the momentum fraction ζ .





$$S_{\delta,\varepsilon} = \underbrace{S_{\Gamma}} - \underbrace{S_{\Gamma}} + \underbrace{S_{0}}$$

 S_{Υ} depends on momenta and masses of the colliding and inclusive particles

 S_0 is some constant guaranteeing positivity of $S_{\delta,\varepsilon}$

 S_{Γ} depends *solely* on fractal dimensions

$$S_{\Gamma} = (\delta_1 + \delta_2 + \varepsilon_a + \varepsilon_b) \ln (\delta_1 + \delta_2 + \varepsilon_a + \varepsilon_b) - \delta_1 \ln \delta_1 - \delta_2 \ln \delta_2 - \varepsilon_a \ln \varepsilon_a - \varepsilon_b \ln \varepsilon_b$$

 \mathbf{S}_{Γ} enters with minus sign in decomposion of $S_{\delta,\varepsilon}$ and diminishes the fractal entropy



Lomonosov'21, MSU, Russia, 2023



Entropy S_{Γ} of a statistical ensemble

Statistical ensemble of interacting fractal configurations Large collection of the interacting fractals - with random configurations $\{x_1, x_2, y_a, y_b, ...\}$ – with the same fractal dimensions $\{\delta_1, \delta_2, \epsilon_a, \epsilon_b\}$ P_1, M_1, δ Number of configurations n_{δ_1} – internal structure of M_1 n_{δ_2} – internal structure of M₂ n_{ε_a} – fragmentation process to m_a n_{ε_b} – fragmentation process to m_b



Entropy of the whole statistical ensemble



 $\mathbf{S}_{\Gamma} = \mathbf{d} \cdot \ln \left(\Gamma_{\delta_1, \delta_2, \varepsilon_a, \varepsilon_b} \right)$



fractal dimension $\varepsilon_{\rm h}$.

recoil particle

corresponding set of n_{ϵ_h} fractals with the

vith momentum n

Lomonosov'21, MSU, Russia, 2023



Ρ,,Μ,,δ,

the

Statistical interpretation of entropy S_{Γ}

The entropy S_{Γ} can be presented as logarithm of the number of different ways to share identical dimensional quanta d among fractal dimensions of the interacting fractal structures.

$$\begin{split} \boxed{\mathbf{S}_{\Gamma} = \mathbf{d} \cdot \ln\left(\Gamma_{\delta_{1},\delta_{2},\varepsilon_{a},\varepsilon_{b}}\right)} \\ \Gamma_{\delta_{1},\delta_{2},\varepsilon_{a},\varepsilon_{b}} \equiv \frac{\left(n_{\delta_{1}} + n_{\delta_{2}} + n_{\varepsilon_{a}} + n_{\varepsilon_{b}}\right)!}{n_{\delta_{1}}!n_{\delta_{2}}!n_{\varepsilon_{a}}!n_{\varepsilon_{b}}!} = \Gamma_{\delta_{1},\delta_{2};\varepsilon_{a},\varepsilon_{b}} \cdot \Gamma_{\delta_{1},\delta_{2}} \cdot \Gamma_{\varepsilon_{a},\varepsilon_{b}} \\ \Gamma_{\delta_{1},\delta_{2};\varepsilon_{a},\varepsilon_{b}} \equiv \frac{\left(n_{\delta_{1}} + n_{\delta_{2}} + n_{\varepsilon_{a}} + n_{\varepsilon_{b}}\right)!}{\left(n_{\delta_{1}} + n_{\delta_{2}}\right)!(n_{\varepsilon_{a}} + n_{\varepsilon_{b}})!} \\ \Gamma_{\delta_{1},\delta_{2};\varepsilon_{a},\varepsilon_{b}} \equiv \frac{\left(n_{\delta_{1}} + n_{\delta_{2}} + n_{\varepsilon_{a}} + n_{\varepsilon_{b}}\right)!}{n_{\delta_{1},\delta_{2}}!n_{\delta_{2}}!n_{\delta_{3}}!} \\ \Gamma_{\varepsilon_{a},\varepsilon_{b}} \equiv \frac{\left(n_{\varepsilon_{a}} + n_{\varepsilon_{b}}\right)!}{n_{\varepsilon_{a}}!n_{\varepsilon_{b}}!} \end{split}$$

Such interpretation of the entropy S_{Γ} within statistical ensemble of fractal configurations of the internal structures of the colliding hadrons (or nuclei) and fractal configurations corresponding to the fragmentation processes in the final state is only possible if quantization of fractal dimensions takes place:

$$\delta_1 = d \cdot n_{\delta_1}, \ \delta_2 = d \cdot n_{\delta_2}, \ \varepsilon_a = d \cdot n_{\varepsilon_a}, \ \varepsilon_b = d \cdot n_{\varepsilon_b}$$

d – quant of fractal dimension, n_{δ_1} , n_{δ_2} , n_{ε_a} , n_{ε_b} – quantum numbers of fractal dimension M.Tokarev Lomonosov'21, MSU, Russia, 2023

Crossing symmetry for entropy S_{Γ}



Features of K_{S}^{0} meson production in Au+Au at RHIC ²⁵

δ



Anomaly of fractal entropy in central Au+Au collsions



M.Tokarev



Results of analysis:

- Self-similarity and fractality over a wide range of energy, centrality, p_T were established.
- > Fractal entropy vs. energy, centrality, p_T was studied.
- Anomalous behavior of $S_{\delta,\varepsilon}$ in central Au+Au collisions at small p_T was found.
- > Constancy of fractal dimension δ_A at high energy was found.
- Abrupt decrease of specific heat c_{AuAu} in the range $\sqrt{s_{NN}} = 11.5-39$ GeV was observed.



Summary

- Fractal entropy introduced in z-scaling approach was discussed.
- > Principles of self-similarity, locality, and fractality were verified.
- Equivalence of principle of minimal resolution and principle of maximal fractal entropy was shown.
- Conservation law of fractal cumulativity was formulated.
- Quantization of structural and fragmentation fractal dimensions was suggested.
- Statistical properties of fractal entropy were described.
- Self-similarity of K⁰_S meson production in Au+Au collisions at RHIC was confirmed.
- Anomalous behavior of specific heat of produced medium as a parameter of fractal entropy was found.





Verification with high statistical accuracy:

- Scaling behavior and the shape of $\Psi(z)$ over a wider range of z.
- Energy dependence of the fractal dimension δ_A .
- Energy dependence of the specific heat c_{AuAu} at $\sqrt{s_{NN}} > 7.7 \text{ GeV}$
- Anomalous dependence of the fractal entropy $S_{\delta,\epsilon}$ at low $p_T < 300 \text{ MeV/c}$





21st Lomonosov Conference on Elementary Particle Physics MSU, Moscow, Russia, August 24 - 30, 2023





Thank You Very Much for Your Attention !

