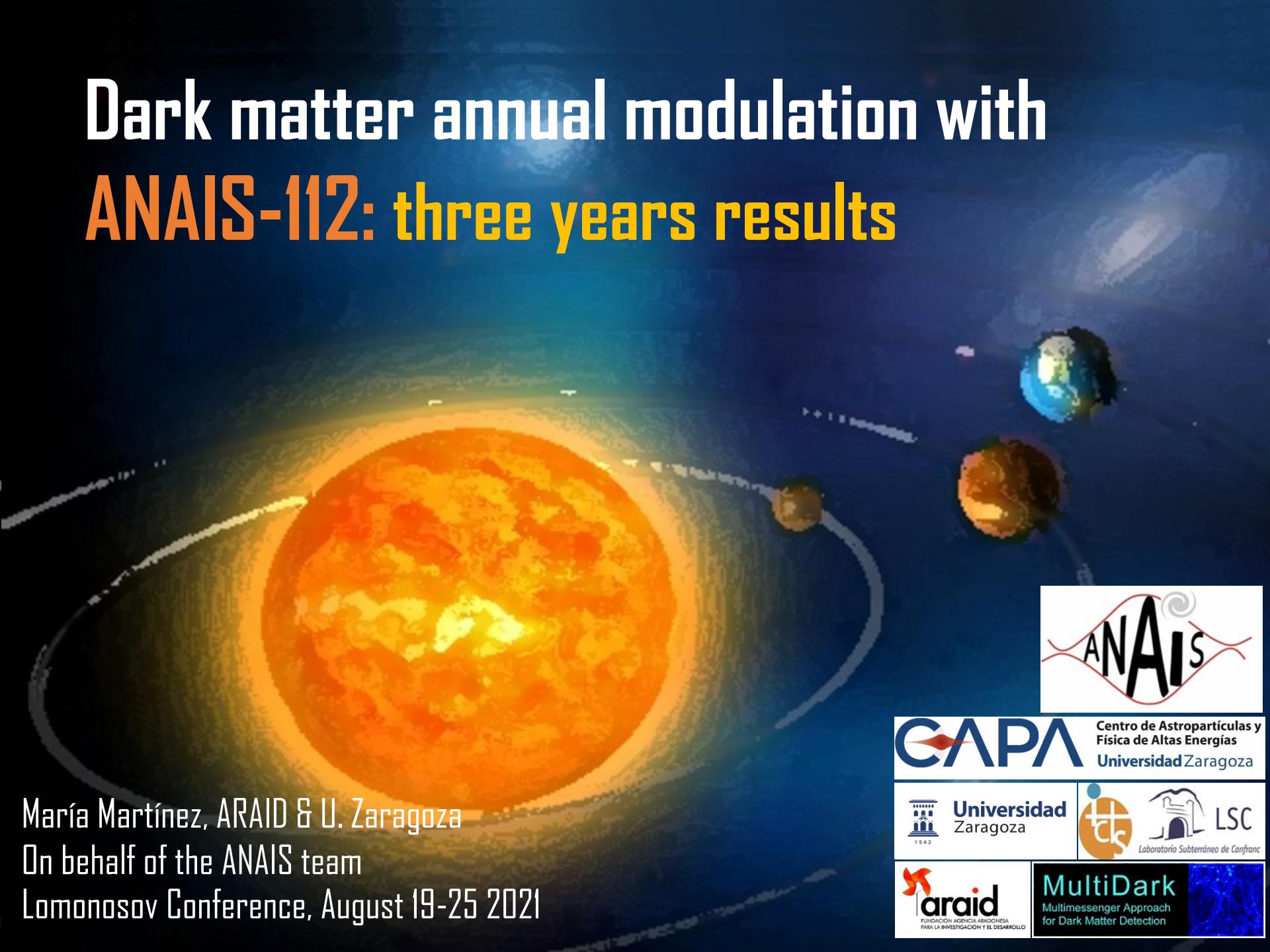


# Dark matter annual modulation with ANAlS-112: three years results



**CAP**A Centro de Astropartículas y  
Física de Altas Energías  
Universidad Zaragoza



María Martínez, ARAID & U. Zaragoza  
On behalf of the ANAlS team  
Lomonosov Conference, August 19-25 2021

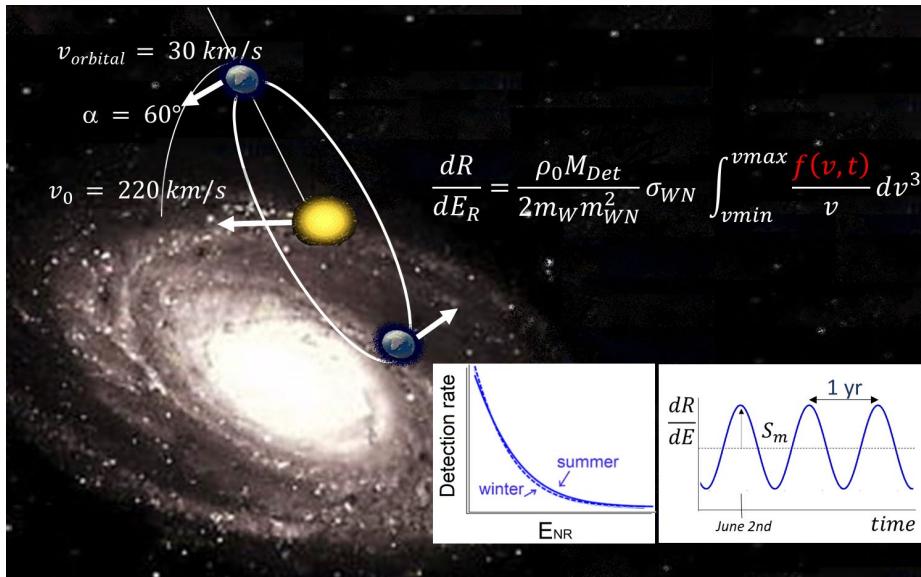


# OUTLINE

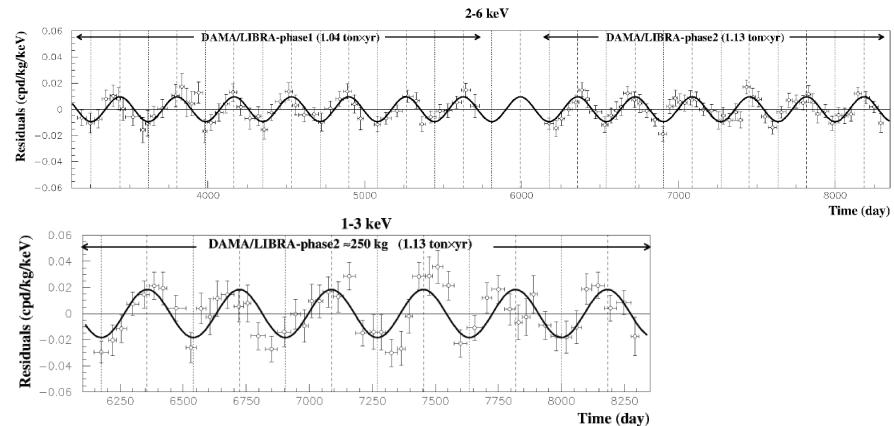
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- Intro
- ANAIS-112 set-up
- Detector performance
- 3 years results on annual modulation
- Projected sensitivity
- Conclusions

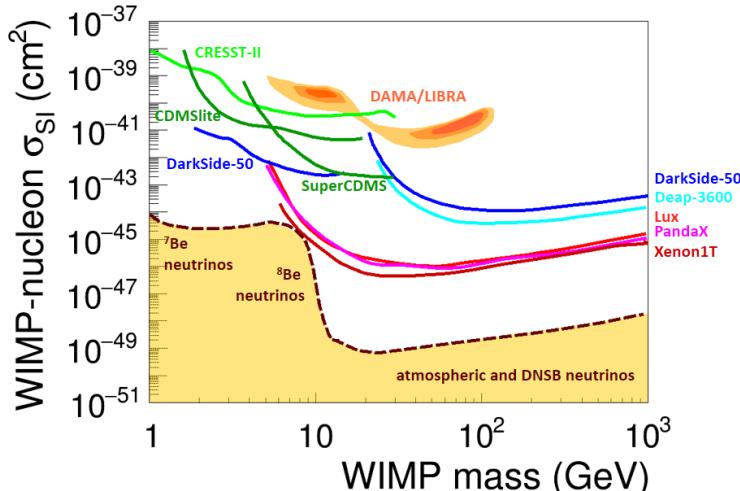
# DM annual modulation & DAMA/LIBRA positive signal



DAMA/NaI & DAMA/LIBRA (LNGS) [since 1995]



DAMA clearly sees an annual modulation compatible with DM at more than  $12\sigma$



other very sensitive experiments do not see the signal, **but the comparison is model dependent**

-> a model independent confirmation is needed using the same target

# Experimental situation

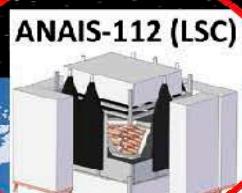
R. Bernabei  
talk

**IN DATA-TAKING**  
**-250 kg**

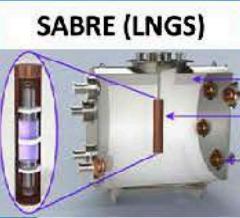
**Since Sept 2003 phase -1 / since Dec 2010 phase-2**



**IN DATA-TAKING**  
**112,5 kg**  
**Since Aug 17**



**IN DATA-TAKING**  
**61,3 kg (effective mass)**  
**Since Sept 16**



F. Wagner  
talk

DM-ICE 17

SABRE II (Stawell)



Experiment	Laboratory	Technology	Target	Size	Status
DAMA/LIBRA	LNGS	Scintillator	NaI(Tl)	~250 kg	Running
ANALIS-112	LSC	Scintillator	NaI(Tl)	112.5 kg	Running
COSINE-100	Yangyang	Scintillator	NaI(Tl)	106 kg	Running
SABRE	LNGS, Stawell	Scintillator	NaI(Tl)	~50 kg	In preparation
PICOLON	Kamioka	Scintillator	NaI(Tl)	23.4 kg	In preparation
COSINUS	LNGS	Bolometer	NaI, NaI(Tl)	~1 kg	In preparation

Direct Detection of Dark Matter – APPEC Committee Report  
arXiv:2104.07634



The image shows a complex assembly of large, cylindrical copper components, likely part of a particle detector. The cylinders are polished and reflective, with various ports and mechanical supports visible. The assembly is set against a background of blue and white equipment and cables.

ANAIS-112 experiment

## Annual Modulation with NaI Scintillators

J. Amaré, I. Coarasa, S. Cebrián, D. Cintas, E. García, M. Martínez, M.A. Oliván,  
Y. Ortigoza, A. Ortiz de Solórzano, J. Puimedón, A. Salinas, M.L. Sarsa

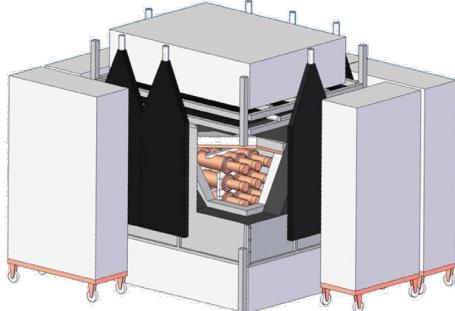


### GOAL:

**Independent confirmation of  
DAMA/LIBRA modulation signal with  
the same target and technique**  
(but different experimental approach  
and environmental conditions)

### THE DETECTOR:

3x3 matrix of 12.5 kg NaI(Tl) cylindrical  
modules = **112.5 kg** of active mass



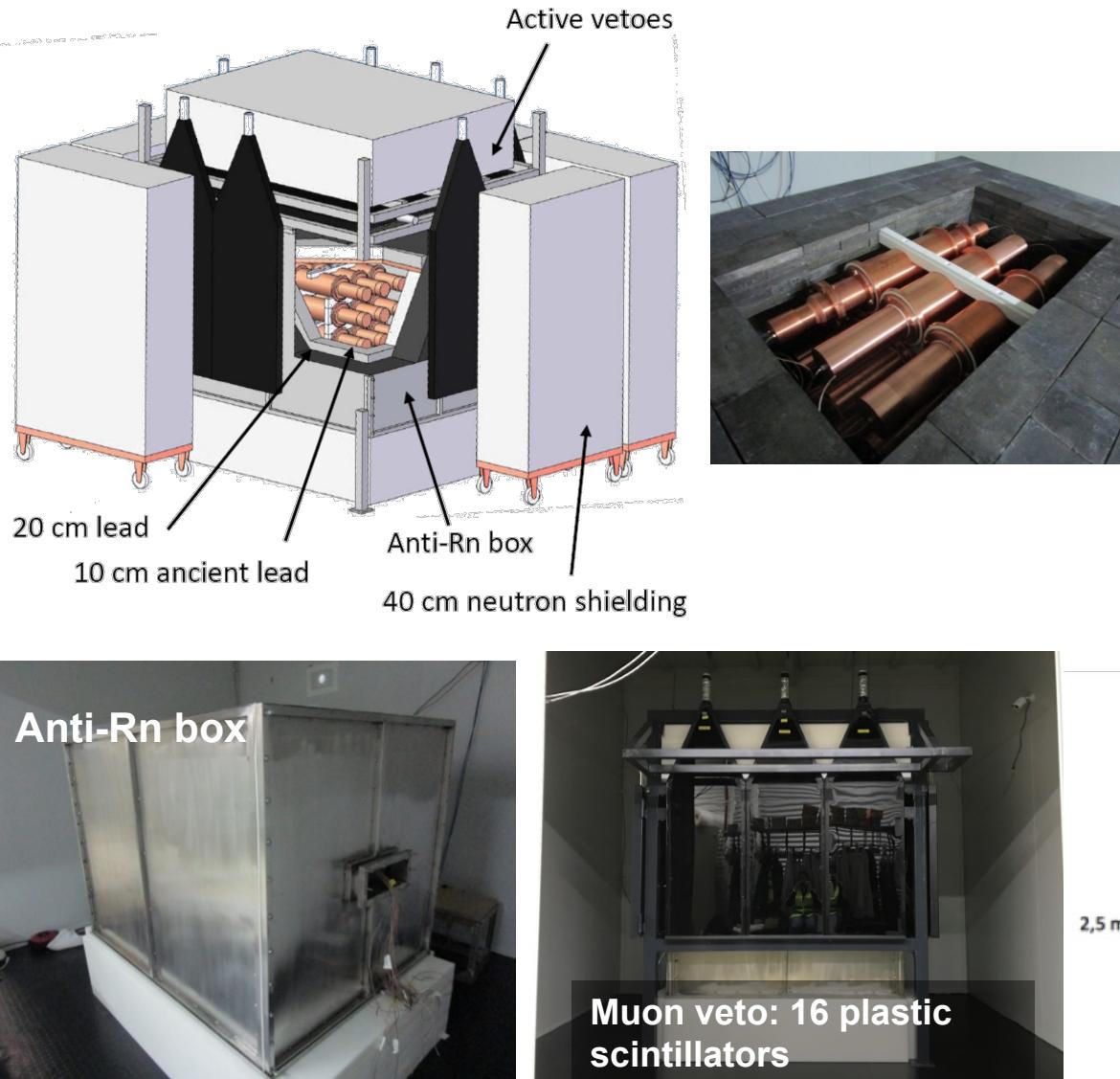
### WHERE:

At Canfranc Underground Laboratory,  
**@ SPAIN** (under **2450 m.w.e.**)



**taking data since August 2017**

# ANALIS-112: experimental setup



- 9 NaI(Tl) cylindrical crystals (12.5 kg each) in 3x3 matrix
- Ultrapure NaI powder (Alpha Spectra Inc)
- Each coupled to two Hamamatsu R12669SEL2 PMT (QE ~40%)

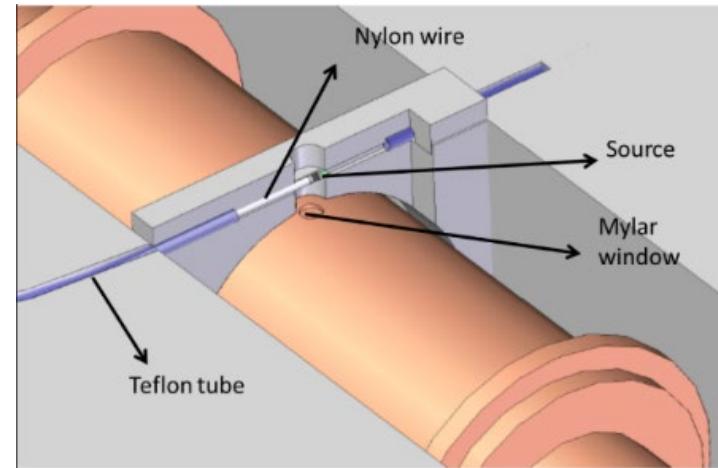


# ANALIS-112: Low energy calibration

Detectors equipped with a **Mylar window!**

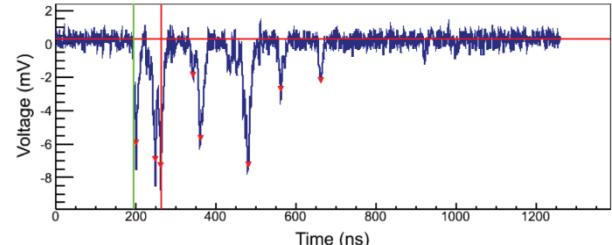
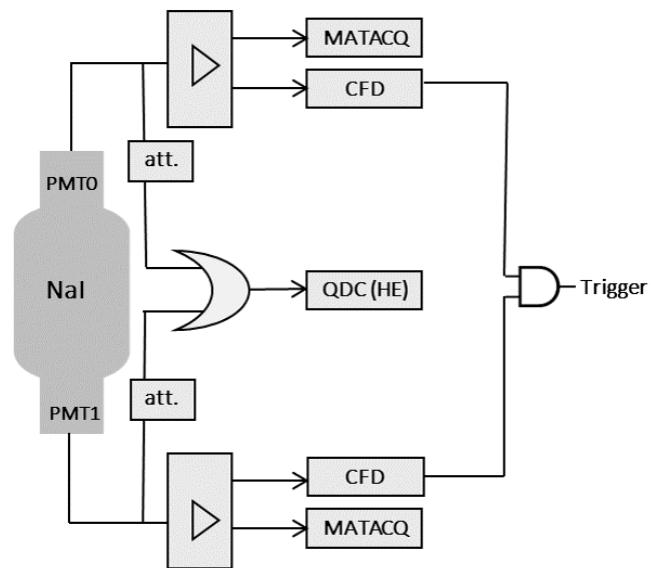
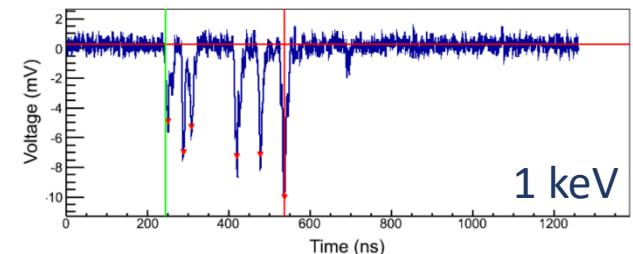
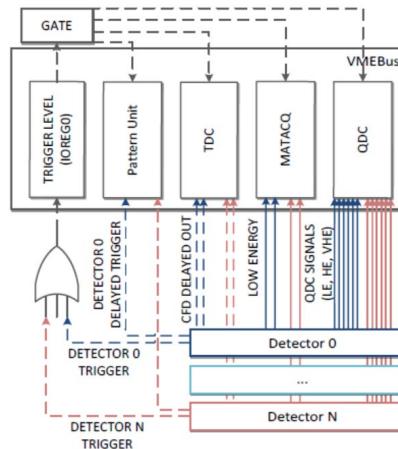
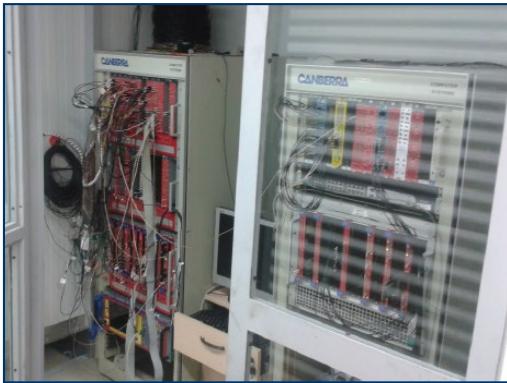
Radon-free system for low energy calibration:

- **$^{109}\text{Cd}$  sources** on flexible wires (radon-free)
- Energies: 11.9, 22.6 and 88.0 keV
- Simultaneous calibration of the nine modules
- Performed every two weeks



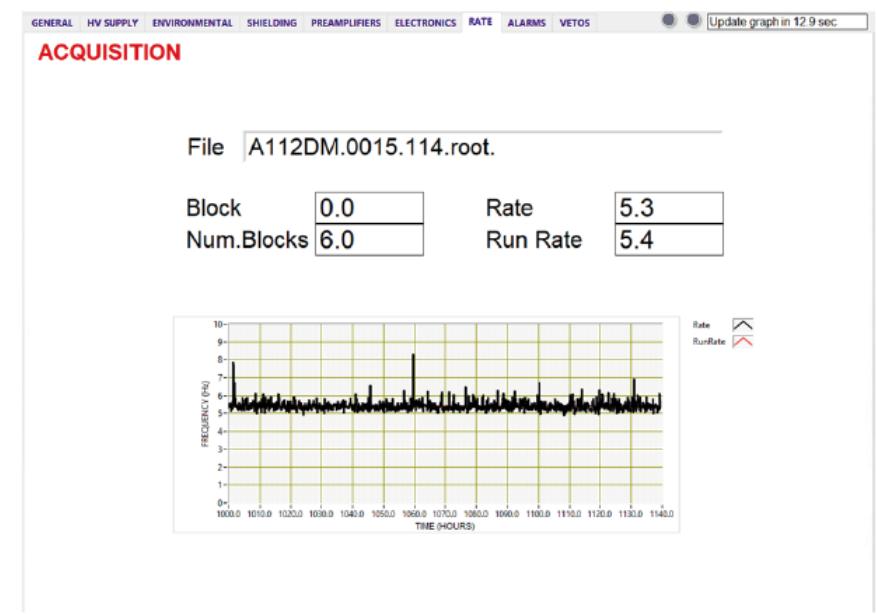
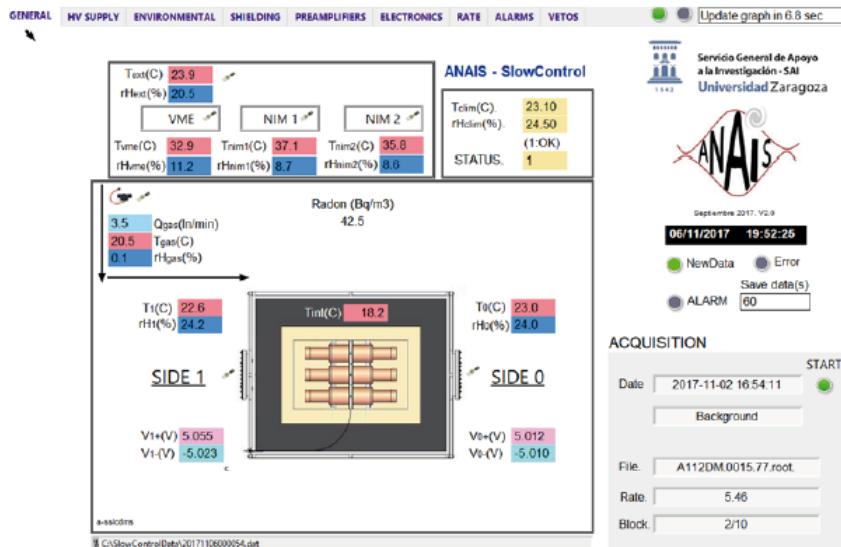
# ANALIS-112: Data acquisition system

- Individual PMT signals **digitized** and fully processed (**14 bits, 2 GS/s**)
- Trigger at phe level for each PMT signal
- AND coincidence in 200 ns window
- Redundant energy conversion by QDC
- Trigger in OR mode among modules
- Electronics at air-conditioned-room to decouple from temperature fluctuations
- Muon detection system: tag every muon event to offline processing

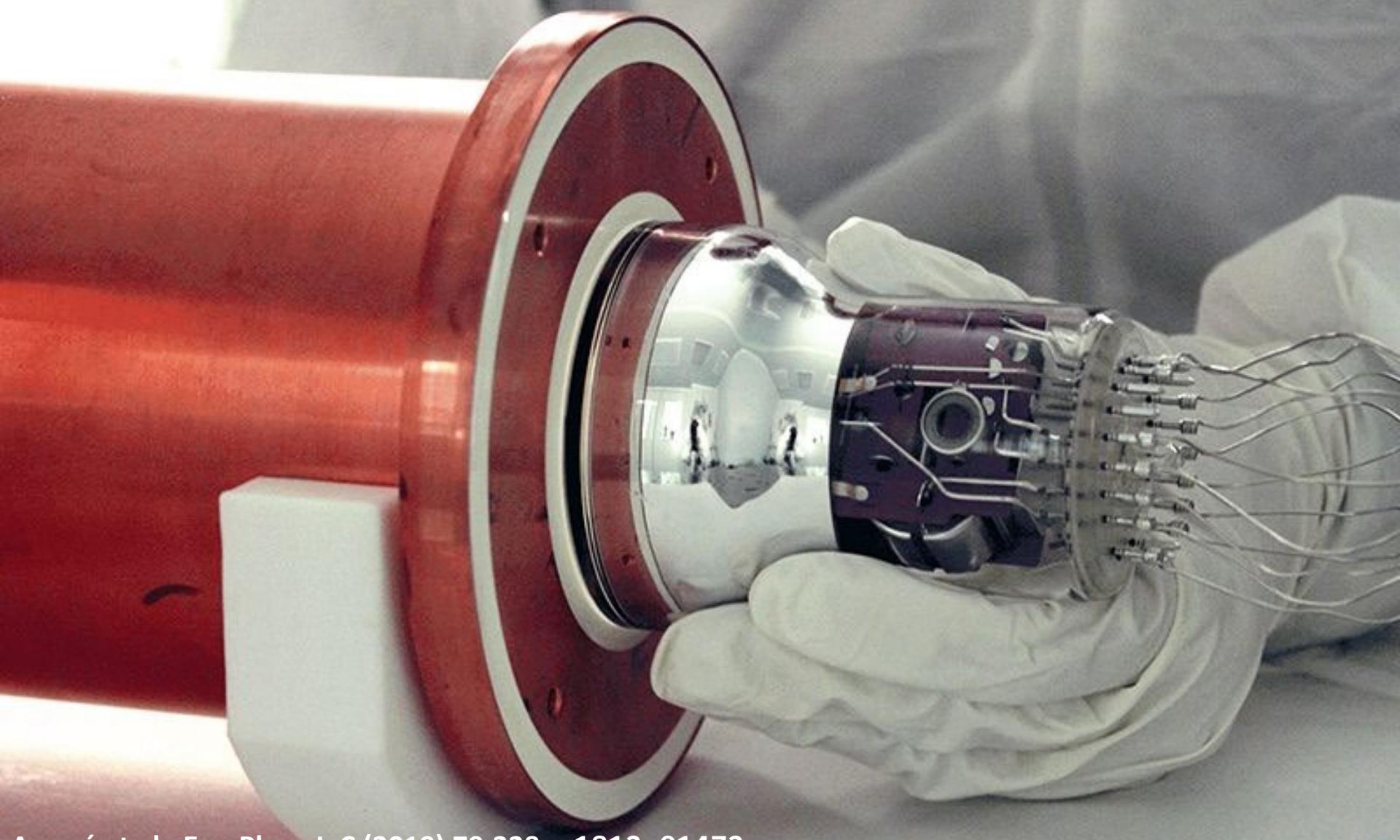


# ANALIS-112: Slow control

- Monitoring **environmental parameters** since the start of DM run
  - Monitoring:  
Rn content, humidity, pressure, different temperatures, N<sub>2</sub> flux, PMT HV, muon rate, ...  
Data saved every few minutes and alarm messages implemented
  - Stability checks:  
gain, trigger rate, ...

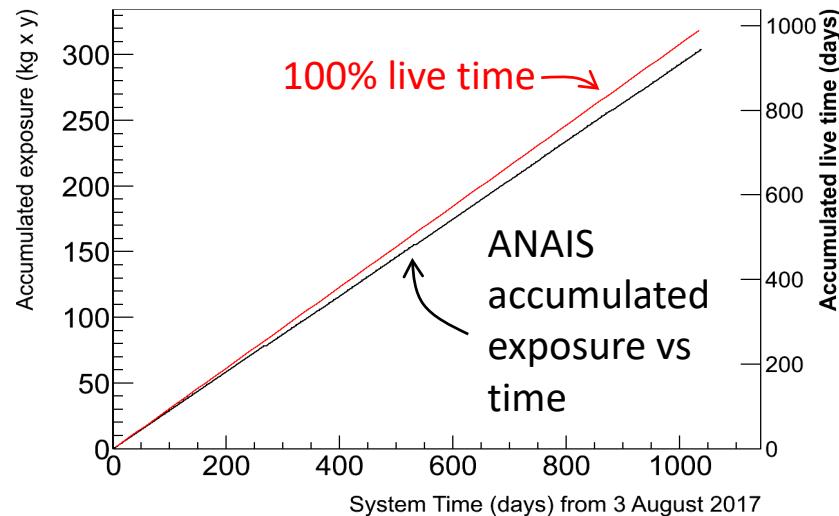
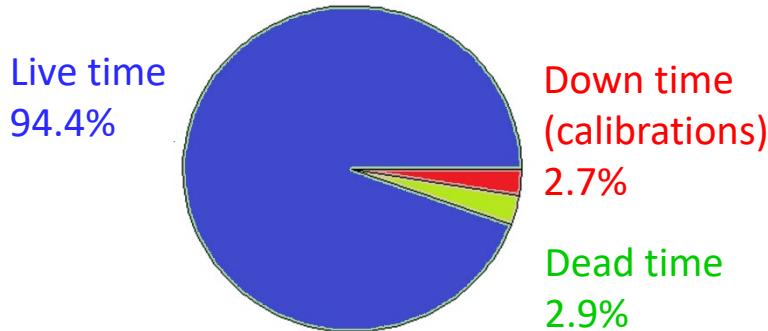


# DETECTOR PERFORMANCE

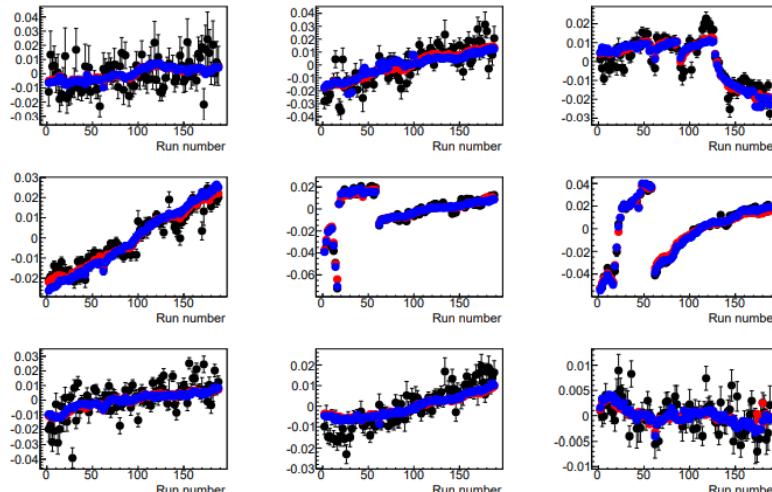


# Detector Response: duty cycle & stability

- Excellent **duty cycle**



- Good **total rate and gain stability**



Evolution of  $^{109}\text{Cd}$  lines from calibrations along the whole data-taking (~ 3 year) show good stability except for D4 & D5 (HV changed after first year)

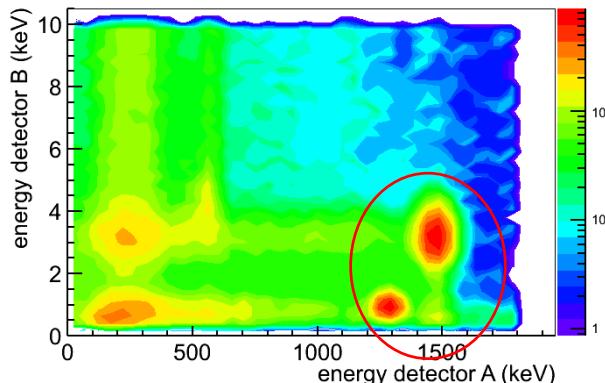
Thanks to the periodic calibration we can correct the small (few percent) gain variations

# Detector response: light yield & threshold

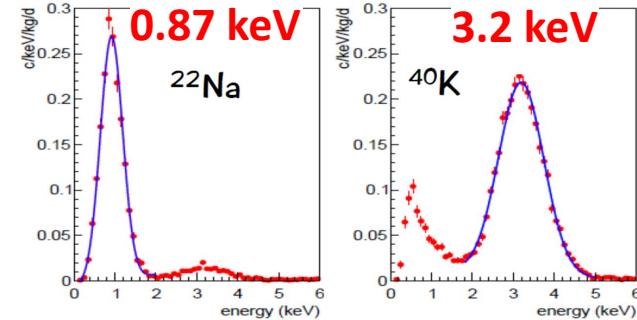
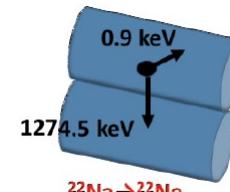
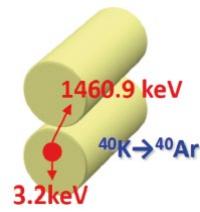
- Excellent light collection  $\sim 15$  photoelectrons / keV (2x DAMA ph1)

Module	Q.E. PMT0/PMT1 (%)	Total light collection (p.e./keV)		Energy resolution FWHM @ 3.2 keV (keV)
		2017 results [33]	3 years results average	
D0	38.2/37.2	$14.6 \pm 0.1$	14.49	$1.26 \pm 0.03$
D1	39.7/39.7	$14.8 \pm 0.1$	14.64	$1.30 \pm 0.04$
D2	39.2/42.6	$14.6 \pm 0.1$	14.21	$1.25 \pm 0.03$
D3	37.3/39.4	$14.5 \pm 0.1$	14.33	$1.14 \pm 0.05$
D4	40.1/41.8	$14.5 \pm 0.1$	14.33	$1.34 \pm 0.06$
D5	43.6/43.9	$14.5 \pm 0.1$	14.82	$1.22 \pm 0.02$
D6	40.4/38.9	$12.7 \pm 0.1$	12.74	$1.35 \pm 0.04$
D7	41.9/42.5	$14.8 \pm 0.1$	14.55	$1.38 \pm 0.04$
D8	41.6/43.4	$16.0 \pm 0.1$	15.81	$1.30 \pm 0.05$

- Effectively triggering below 1 keV<sub>ee</sub> checked with internal contaminants  $^{22}\text{Na}$ ,  $^{40}\text{K}$



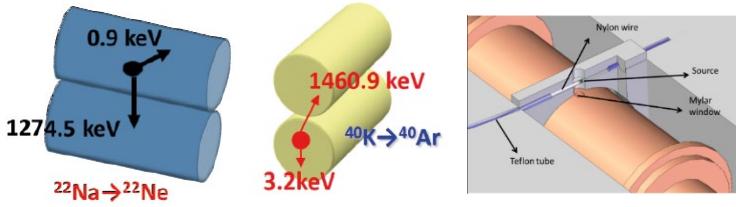
bulk  $^{22}\text{Na}$  and  $^{40}\text{K}$  events  
identified by coincidences  
with high energy gammas



# Blinded analysis

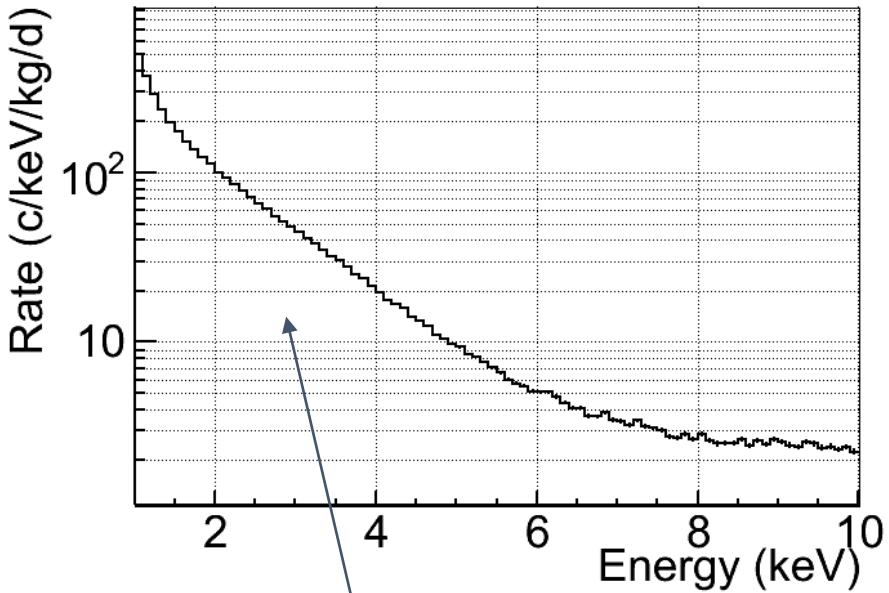
## ANALYSIS STRATEGY

- Multiplicity-1 events in the ROI (1-6 keV)  
**blinded**
- We use **multiplicity-2 events** in the ROI and **calibration events** to tune the **filtering** algorithms and calculate the **cut efficiencies**



- We unblind  $\sim$ 30 days randomly distributed along the first year for background assessment

unblinded data (30 days)



Bkg in the ROI dominated by non-bulk scintillation events!

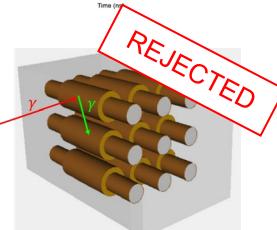
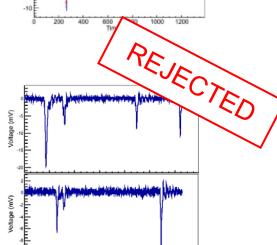
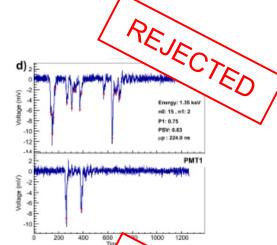
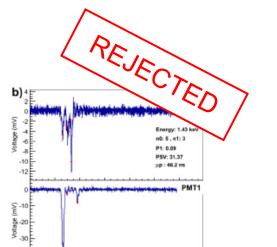
# Event selection & efficiency

Procedure fixed before unblinding

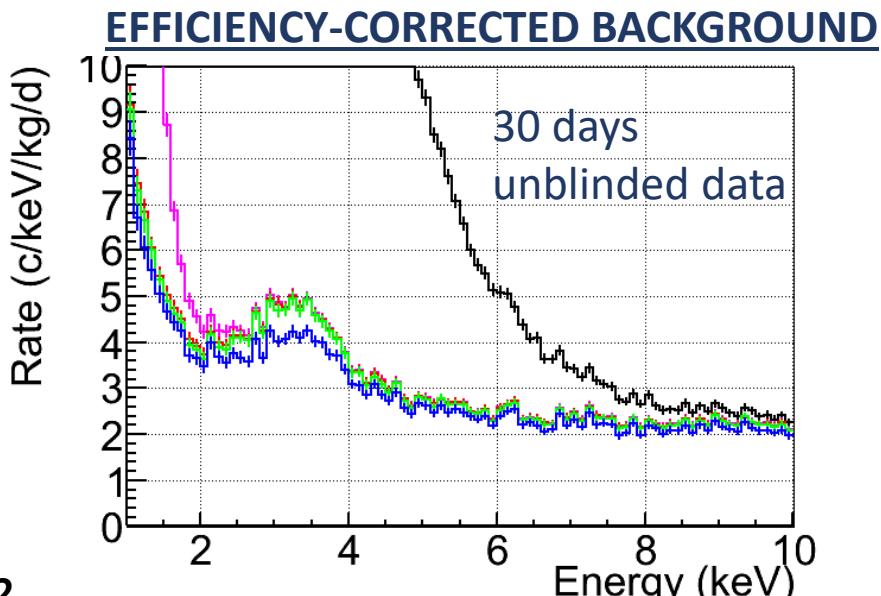
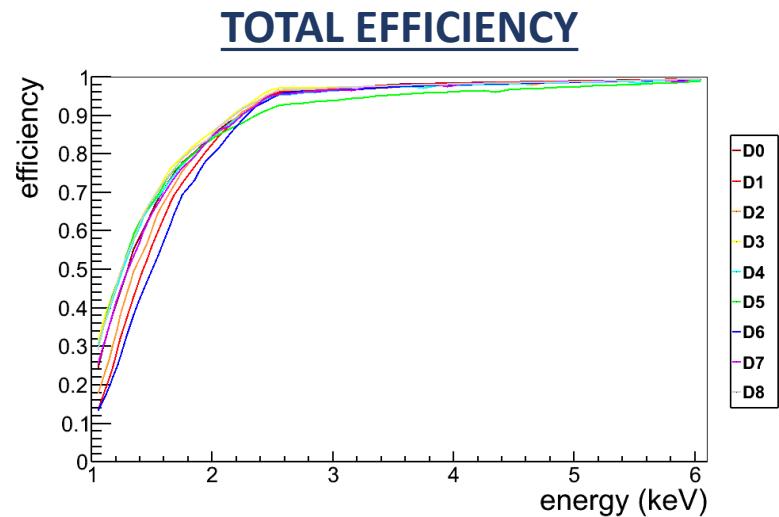
## CUTS

1. Pulse shape cut to remove pulses not compatible with NaI(Tl) scintillation constant

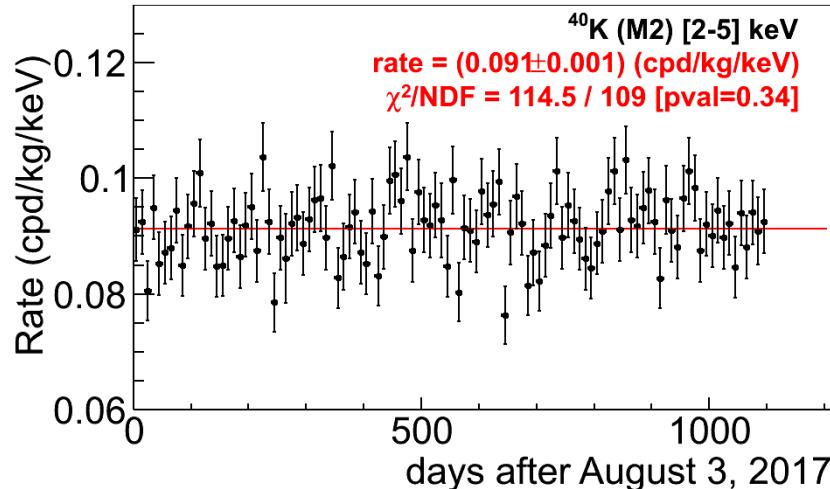
$$P_1 = \frac{\int_{100 \text{ ns}}^{600 \text{ ns}} A(t) dt}{\int_0^{600 \text{ ns}} A(t) dt} \quad \mu_p = \frac{\sum A_p t_p}{\sum A_p}$$



2. We remove asymmetric events (<2 keVee) with origin in the PMT
3. Remove 1 s after a muon passage
4. Multiplicity > 1 (Reject events that deposit energy simultaneously in more than one crystal)

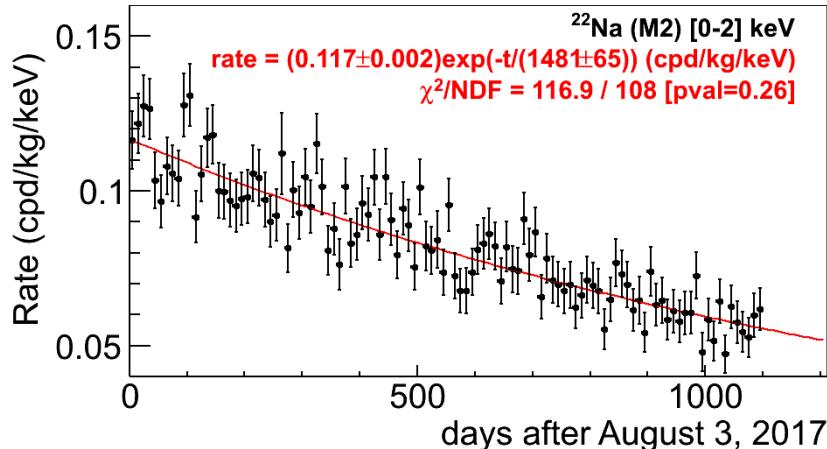
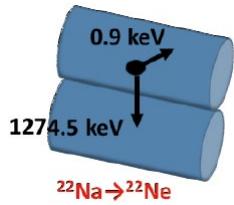


# Stability check with control populations



$^{40}\text{K}$  ( $T_{1/2} = 1.28 \times 10^9 \text{ y}$ )

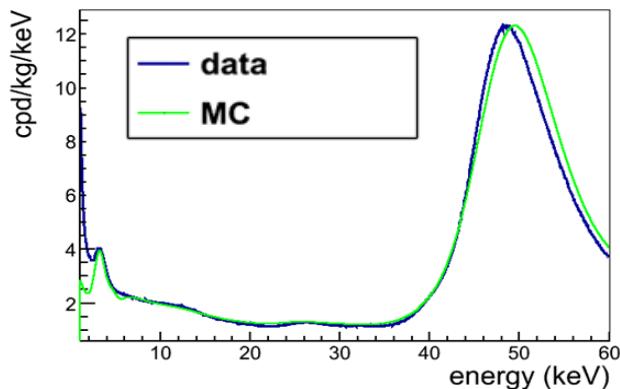
[2-5] keV rate in coincidence  
with HE gamma compatible with  
constant



$^{22}\text{Na}$  ( $T_{1/2} = 2.6 \text{ y}$ )

[0-2] keV rate in coincidence  
with HE gamma compatible with  
 $^{22}\text{Na}$  decay  
(exponential decay with  
 $\tau = 1481 \pm 65 \text{ d}$ )

# Background model



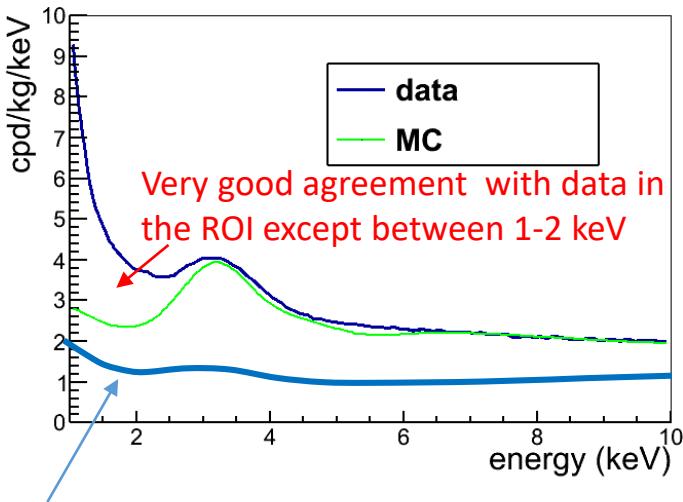
Amaré et al., Eur. Phys. J. C (2019) 79:228, 1812.01472

Geant4 MC simulation including:

- activity from external components measured with HPGe
- internal and cosmogenic activity directly assessed from data.

At very low energy (<20 keV), main contribution to background from internal contamination:

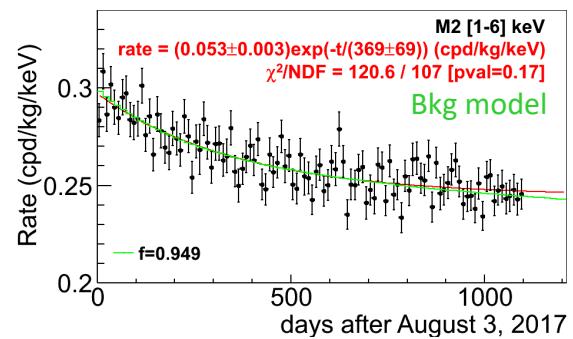
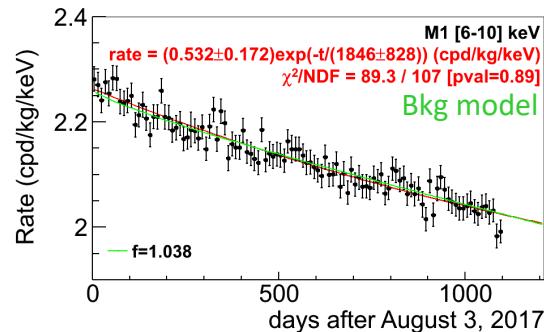
- $^{40}\text{K}$  and  $^{22}\text{Na}$  ( $T_{1/2} = 2.6$  y) peaks
- $^{210}\text{Pb}$  (bulk+surface) ( $T_{1/2} = 22.3$  y)
- $^3\text{H}$  ( $T_{1/2} = 12.3$  y)



DAMA/LIBRA

Universe 4, 116 (2018),  
1805.10486

Cosmogenic isotopes ( $^3\text{H}$ ,  $^{22}\text{Na}$ , ...) and  $^{210}\text{Pb}$  are decaying  
→ Our MC model reproduce satisfactorily the time evolution for non-blinded populations



# RESULTS ON ANNUAL MODULATION



# Analysis strategy

Focus on model independent analysis searching from modulation

- In order to better compare with DAMA/LIBRA results
  - use the same energy regions ([1-6] keV, [2-6] keV)
  - fix period 1 year and phase to June 2<sup>nd</sup>
- ChiSquare minimization:  $\chi^2 = \sum(n_i - \mu_i)^2/\sigma_i^2$   
where the expected number of events depends on the **bkg model** ( $\phi_{bkg}(t_i)$ ):

$$\mu_i = [R_0 \Phi_{bkg}(t_i) + S_m \cos(\omega(t_i - t_0))] M \Delta E \Delta t$$



We need a model for the decreasing bkg!

# Analysis strategy

Focus on model independent analysis searching from modulation

- In order to better compare with DAMA/LIBRA results
  - use the same energy regions ([1-6] keV, [2-6] keV)**
  - fix period 1 year and phase to June 2<sup>nd</sup>**
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$$\mu_i = [R_0 \Phi_{bkg}(t_i) + S_m \cos(\omega(t_i - t_0))] M \Delta E \Delta t$$

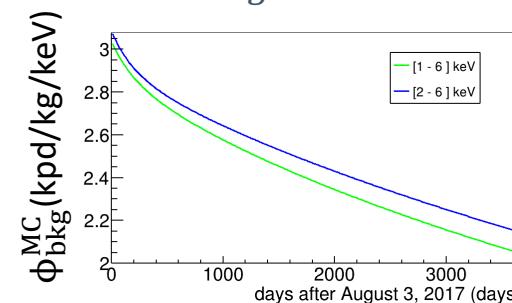
**MODEL 1:** assume exponential decay

$$\phi_{bkg}(t_i) = 1 + f \exp\left(-\frac{t_i}{\tau}\right)$$

Free parameters:  $R_o, f, \tau + S_m$

**MODEL 2:** Use MC simulation

$$\phi_{bkg}(t_i) = 1 + f \phi_{bkg}^{MC}(t_i)$$

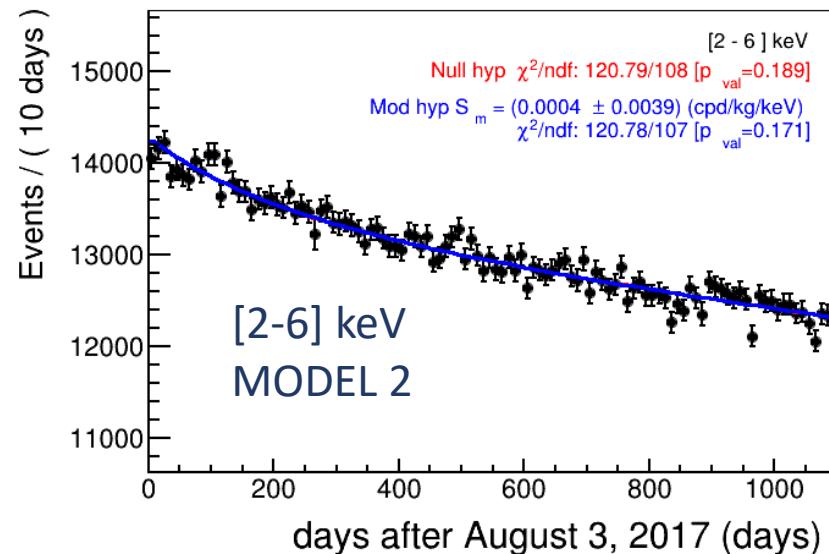
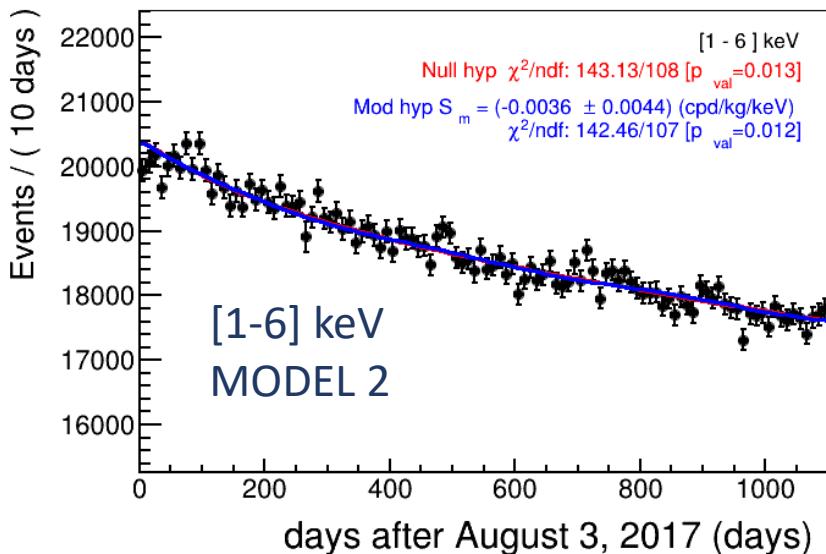
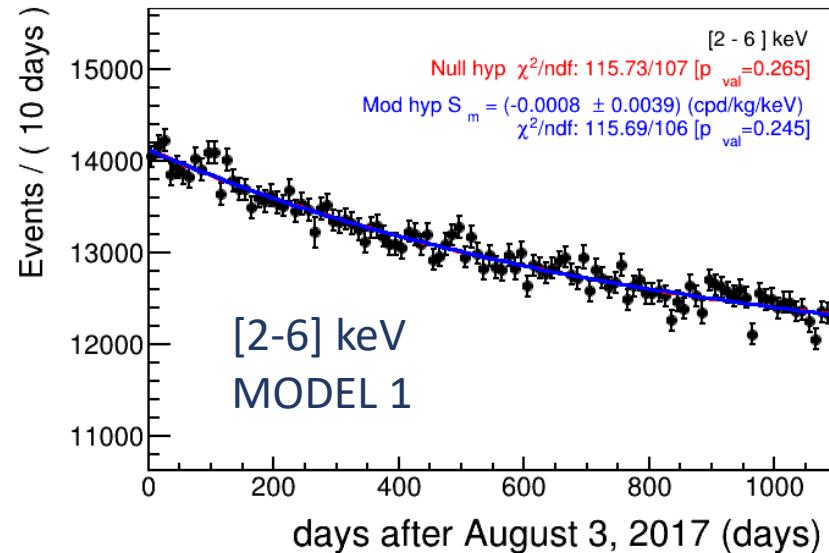
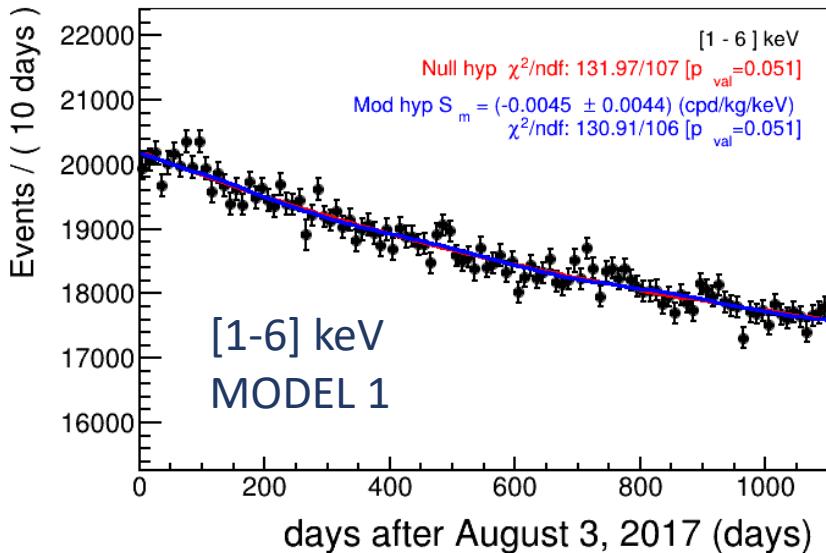


Free parameters:  
 $R_o, f + S_m$

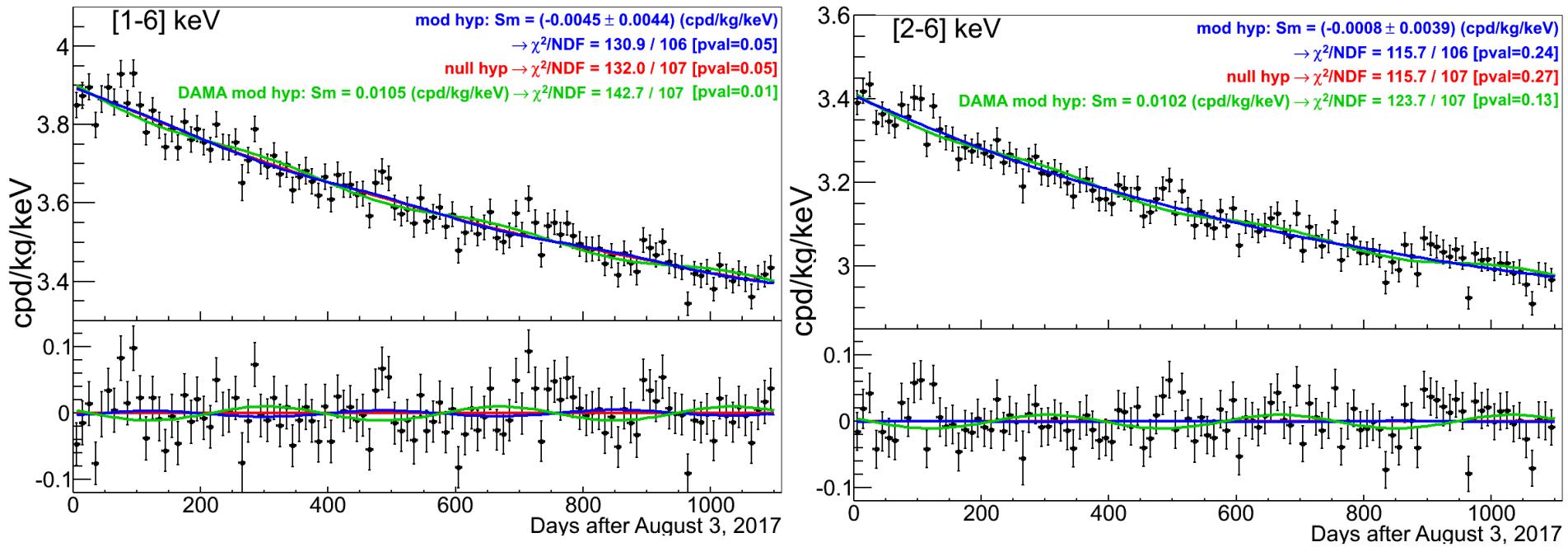
NOTE: the constant term in both equations represent any nonvarying rate, including the unmodulated term of an hypothetical WIMP component.

# 3 years results (313.95 kgxy)

Phys. Rev. D 103,  
102005 (2021)



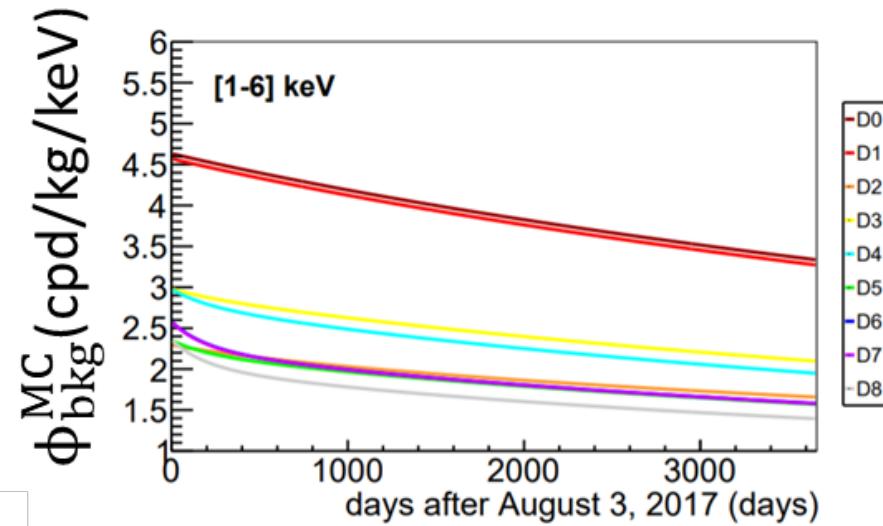
# ANALIS-112 vs DAMA/LIBRA



# Improving data description

**MODEL 3:** Simultaneous fit using data and bkg model separately for every detector

$$\mu_{i,d} = \left[ R_{0,d} (1 + f_d \phi_{bkg,d}^{MC}(t_i) + \textcolor{red}{S_m} \cos(\omega(t_i - t_0)) \right] M_d \Delta E \Delta t$$



19 Free parameters:  $R_{o,d}$  ,  $f_d + S_m$

# 3 years results (313.95 kgxy)

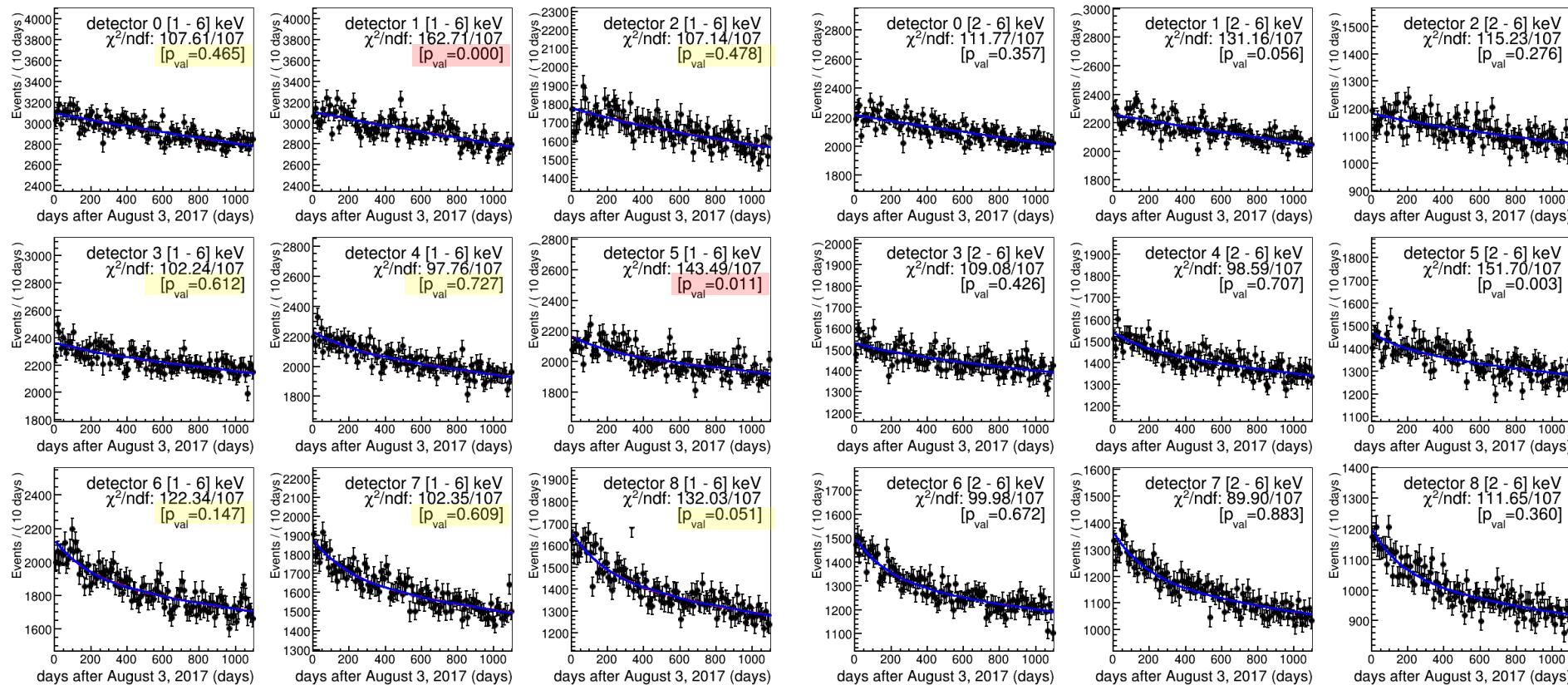
## MODEL 3: Simultaneous fit using data and bkg model separately for every detector

[1-6] keV

Null hyp  $\chi^2/\text{ndf}$ : 1075.81/972 [ $p_{\text{val}} = 0.011$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 1075.15/971 [ $p_{\text{val}} = 0.011$ ]

$$S_m = (-0.0034 \pm 0.0042) \text{ (cpd/kg/keV)}$$



# 3 years results (313.95 kgxy)

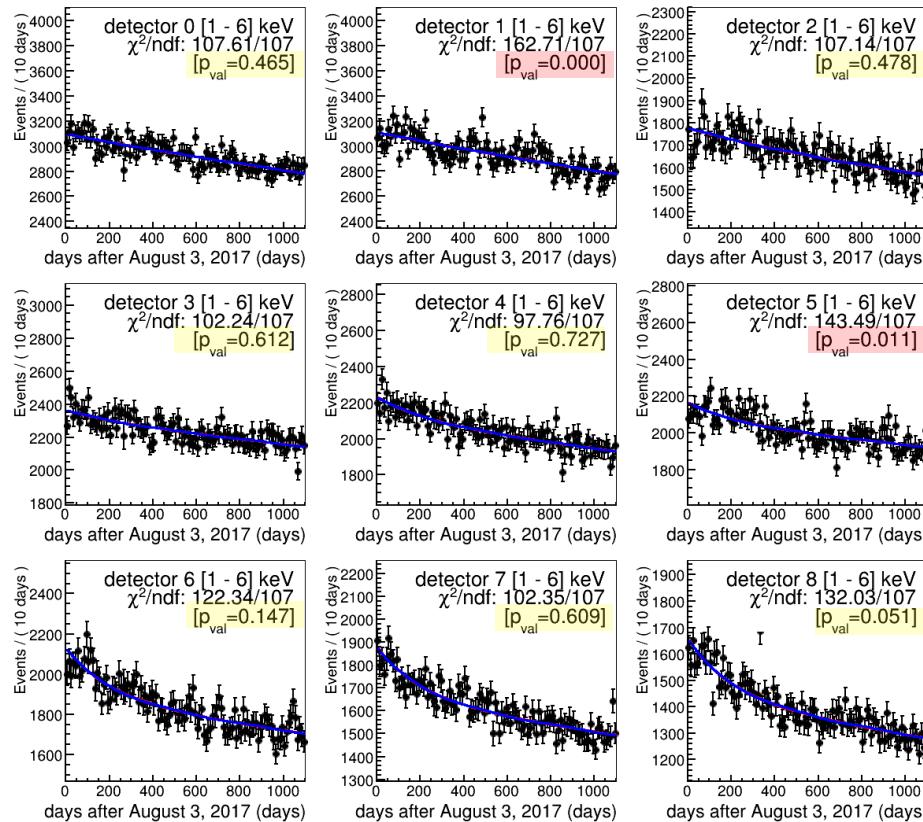
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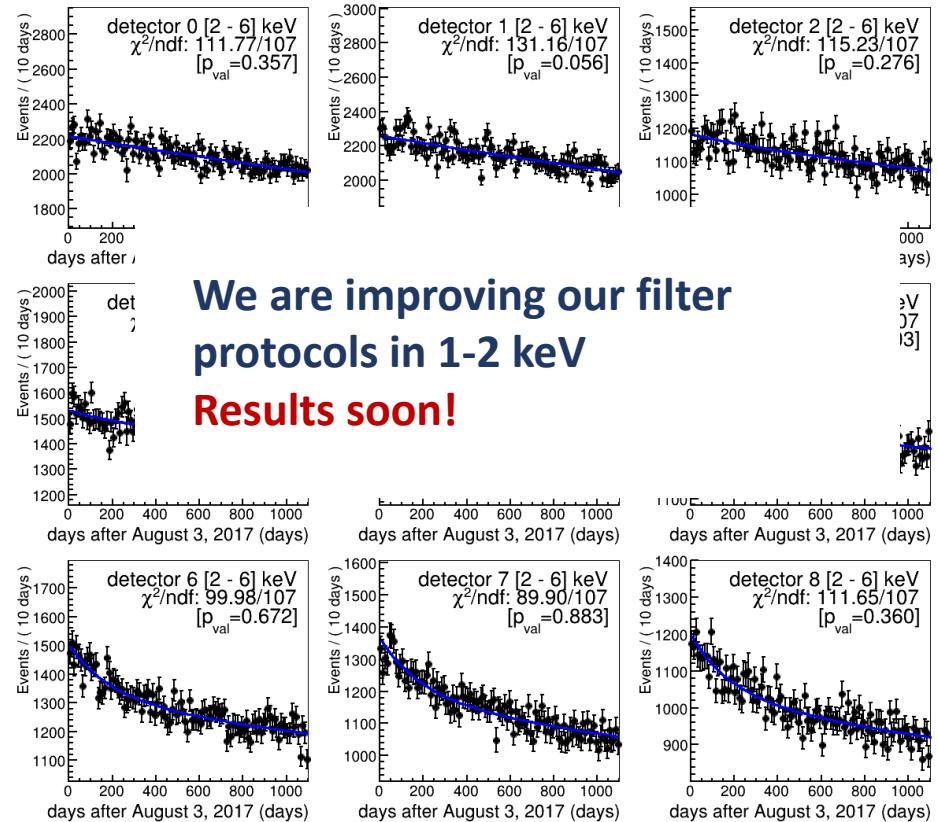


[2-6] keV

Null hyp  $\chi^2/\text{ndf}$ : 1018.19/972 [ $p_{\text{val}} = 0.148$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 1018.18/971 [ $p_{\text{val}} = 0.143$ ]

$$S_m = (0.0003 \pm 0.0037) \text{ (cpd/kg/keV)}$$



We are improving our filter  
protocols in 1-2 keV  
Results soon!

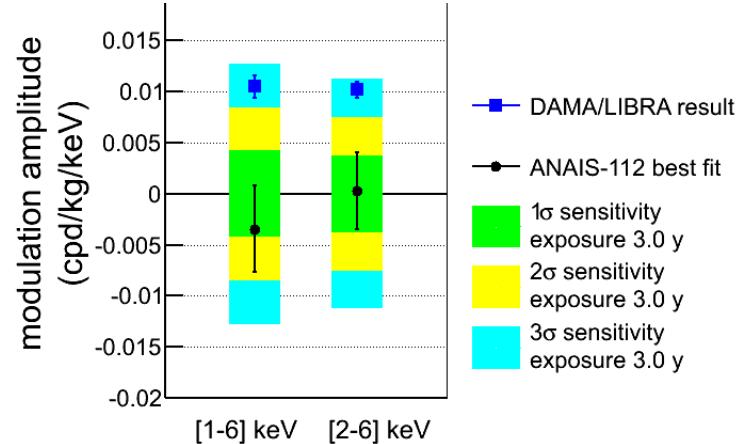
# 3 years results (313.95 kgxy)

ANALIS-112 results:

Energy region	Model	$\chi^2/\text{NDF}$ null hyp	nuisance params	$S_m$ cpd/kg/keV	p-value mod	p-value null
[1-6] keV	1	132 / 107	3	-0.0045±0.0044	0.051	0.051
	2	143.1 / 108	2	-0.0036±0.0044	0.012	0.013
	3	1076 / 972	18	-0.0034±0.0042	0.011	0.011
[2-6] keV	1	115.7 / 107	3	-0.0008±0.0039	0.25	0.27
	2	120.8 / 108	2	0.0004±0.0039	0.17	0.19
	3	1018 / 972	18	0.0003±0.0037	0.14	0.15

Prog. Part. Nucl. Phys.	114 (2020) 103810	$A$ (cpd/kg/keV)	$T = \frac{2\pi}{\omega}$ (yr)	$t_0$ (days)	C.L.
DAMA/LIBRA-phase2	1-6 keV	(0.0105 ± 0.0011)	1.0	152.5	9.5 $\sigma$
DAMA/NaI + DAMA/LIBRA-phase1 + DAMA/LIBRA-phase2	2-6 keV	(0.0102 ± 0.0008)	1.0	152.5	12.8 $\sigma$

- Compatible results for 3 different background descriptions / fit approaches
- **Data supports the null hypothesis** (lower p-value for [1-6] keV mainly due to detectors 1 and 5)
- For the modulation hypothesis, we obtain in all **cases best fit modulation amplitudes compatible with zero at  $1\sigma$ .** **Best fit incompatible with DAMA/LIBRA at  $3.3$  ( $2.6$ )  $\sigma$ .**
- As expected (Eur. Phys. J. C (2019) 79:233), Model 3 gives slightly slower  $\sigma(S_m)$  and is taken to quote final result

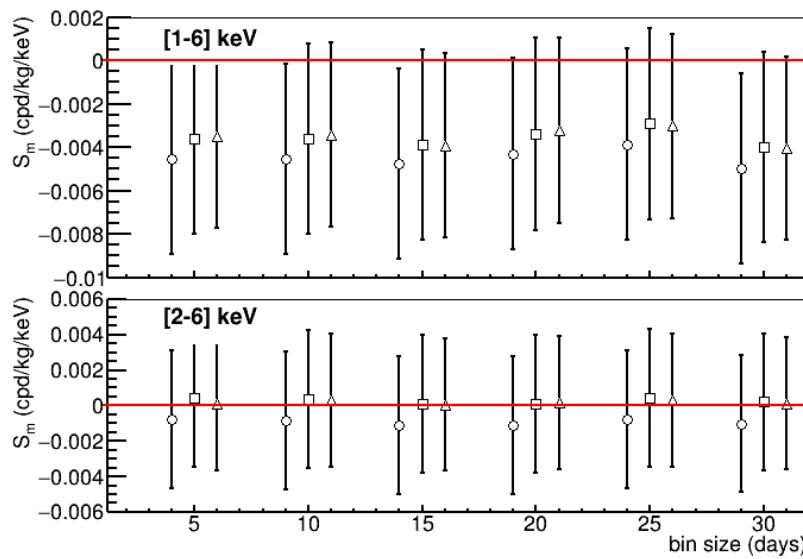


Current sensitivity:  $2.5\sigma$  [1-6] keV  
 $2.7\sigma$  [2-6] keV

# Consistency checks

- Time binning: negligible effect on results

○ MODEL 1  
□ MODEL 2  
△ MODEL 3



- Toy MC to check fit unbiasedness

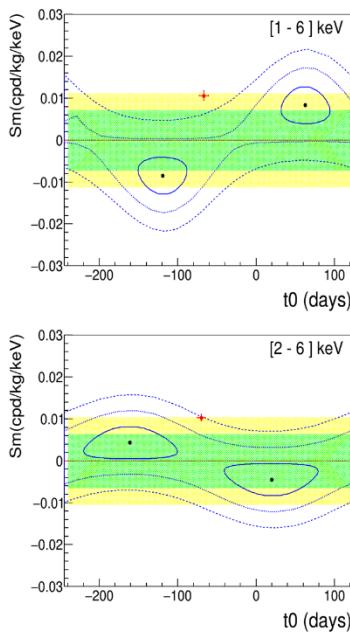
**Fit unbiased!**

Energy region	Model	bias[null hypothesis] cpd/kg/keV	bias[DAMA Sm] cpd/kg/keV
[1-6] keV	1	$(-3 \pm 6) \times 10^{-5}$	$(-1 \pm 6) \times 10^{-5}$
	2	$(-7 \pm 6) \times 10^{-5}$	$(3 \pm 6) \times 10^{-5}$
	3	$(-26 \pm 6) \times 10^{-5}$	$(31 \pm 6) \times 10^{-5}$
[2-6] keV	1	$(3 \pm 5) \times 10^{-5}$	$(-10 \pm 5) \times 10^{-5}$
	2	$(8 \pm 6) \times 10^{-5}$	$(-10 \pm 6) \times 10^{-5}$
	3	$(-28 \pm 5) \times 10^{-5}$	$(29 \pm 5) \times 10^{-5}$

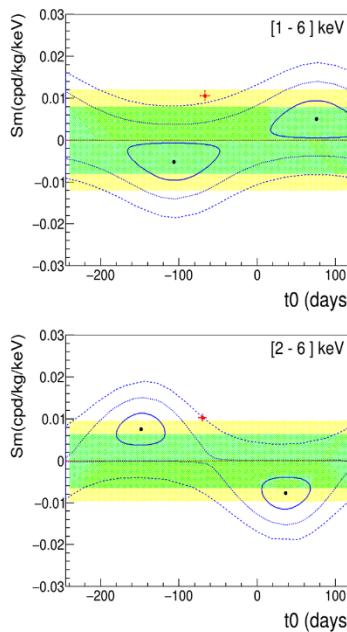
# Phase free analysis

+ DAMA  
• ANAIS

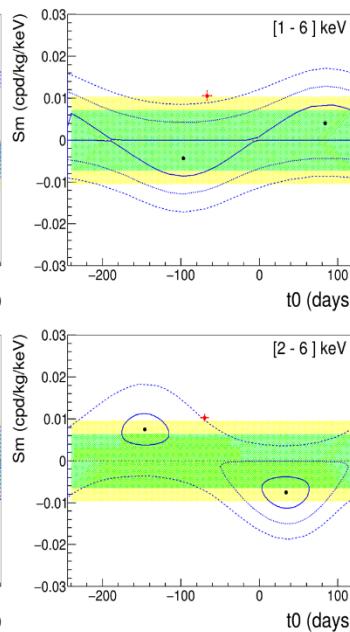
MODEL 1



MODEL 2



MODEL 3



- Best fit ANAIS  $3\sigma$  away from DAMA result
- Considering bias, in most cases compatible at  $1\sigma$  with absence of modulation

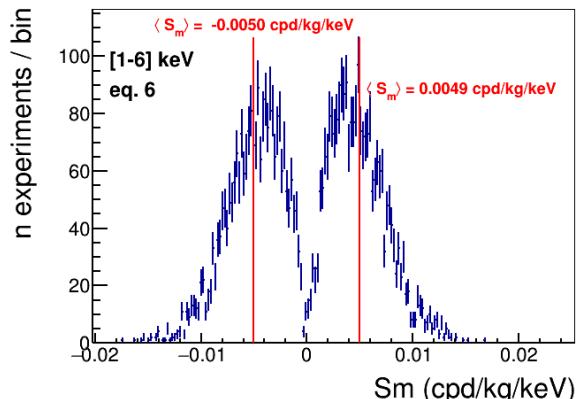
Fit is non linear in the parameters

$$\mu_i = A \left[ \mathbf{R}_0 (1 + \mathbf{f} \phi_{bkg}^{MC}(t_i) + \mathbf{S}_m \cos(\omega(t_i - \mathbf{t}_0)) \right]$$

The fit with phase free is biased!!

$$E(S_m) = \sqrt{\frac{\pi}{2}} \sigma$$

Toy MC:

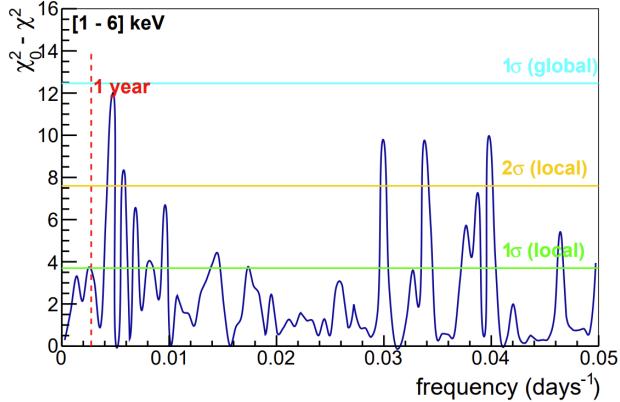


# Frequency analysis

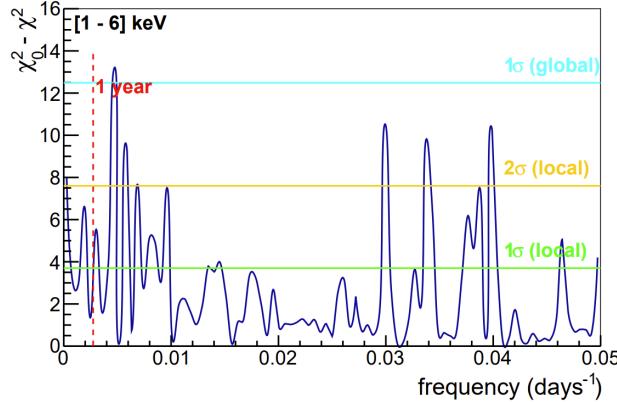
Least-squared periodogram:

for every frequency, fit to null and modulation hypothesis and compute  $\chi_0^2 - \chi^2$

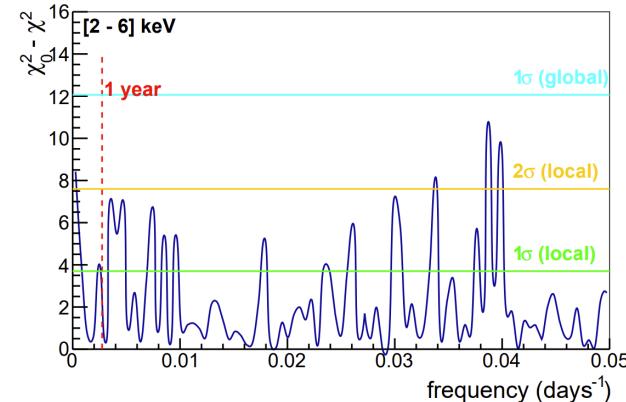
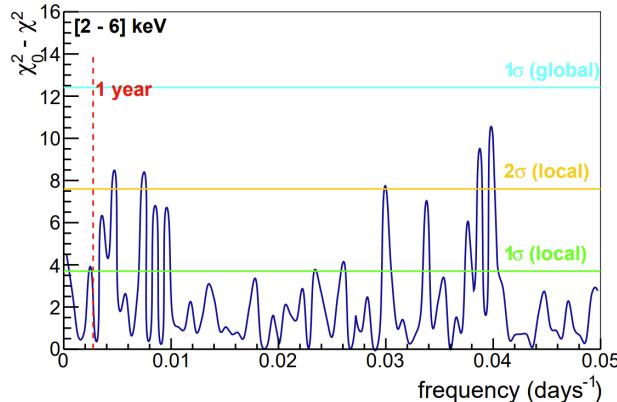
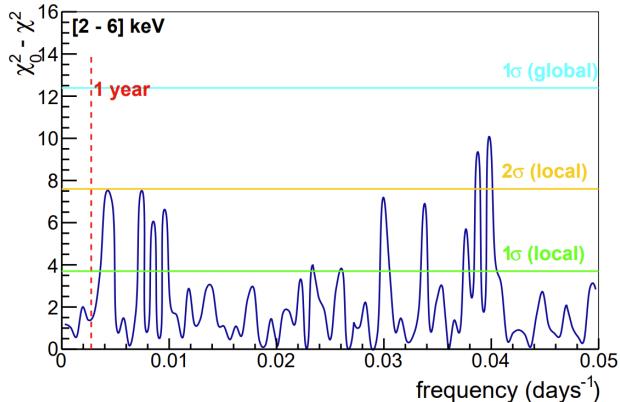
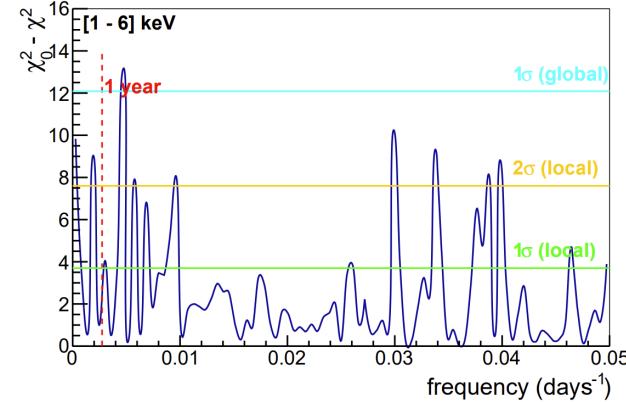
MODEL 1



MODEL 2



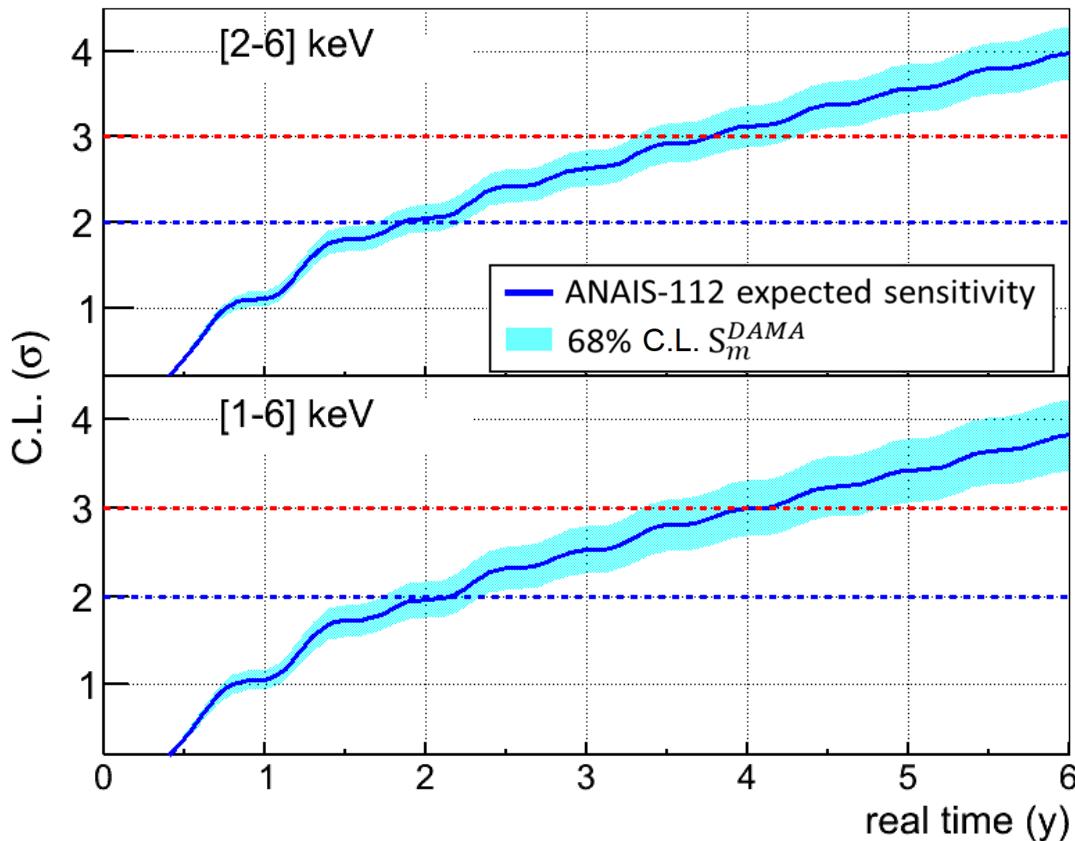
MODEL 3



→ No statistically significant modulation at any frequency

# Expected sensitivity

See details in Coarasa et al., Eur. Phys. J. C (2019) 79:233, 1812.02000



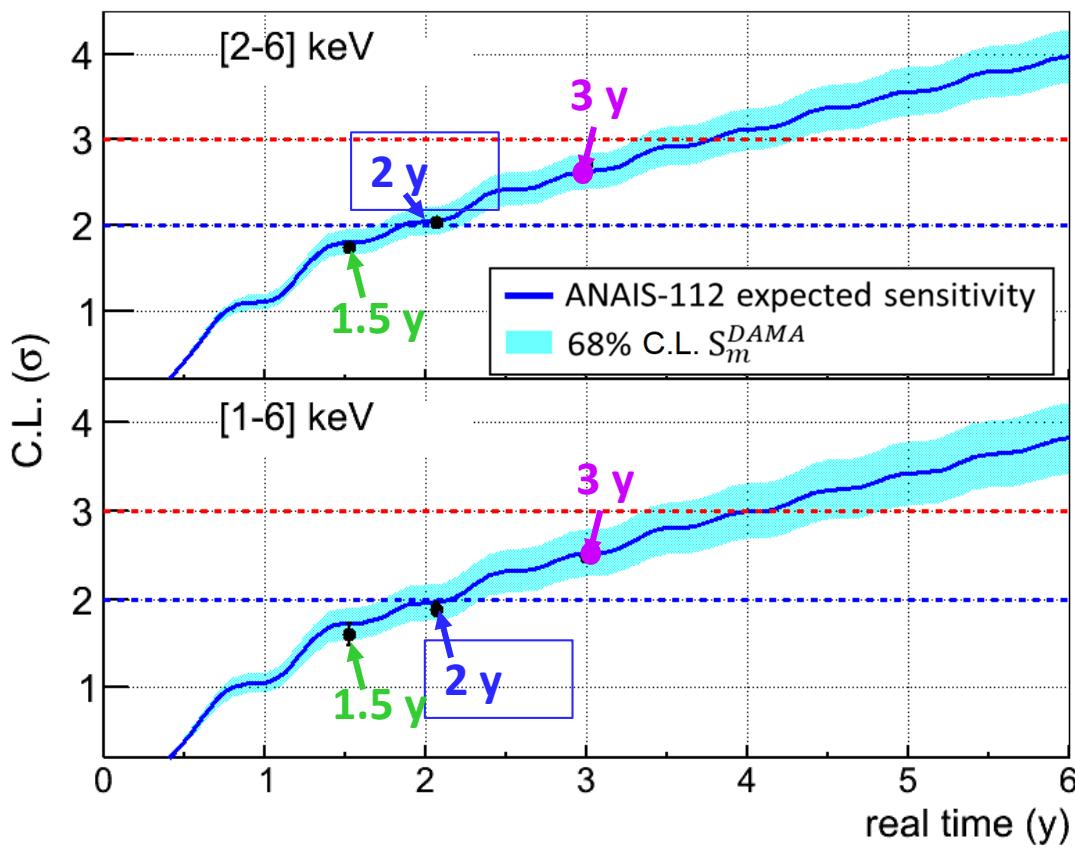
The experimental sensitivity is given by the standard deviation of the modulation amplitude  $\sigma(S_m)$ , that can be calculated analytically from :

- Updated background
- Efficiency estimate and its error
- Live time distribution

We quote our sensitivity to DAMA/LIBRA result as the ratio  $S_m^{DAMA} / \sigma(S_m)$

# Experimental sensitivity

See details in Coarasa et al., Eur. Phys. J. C (2019) 79:233, 1812.02000



3 data releases ANAIS-112:

- 1.5y: Phys. Rev. Lett. 123, 031301 (2019)
- 2y: J. Phys. Conf. Ser. 1468, 012014 (2020)
- 3y: arXiv: Phys. Rev. D 103, 102005 (2021)

data confirm our sensitivity projection

sensitivity @ 3 years:  $2.5\sigma$  ( $2.7\sigma$ ) in [1-6] ([2-6]) keV

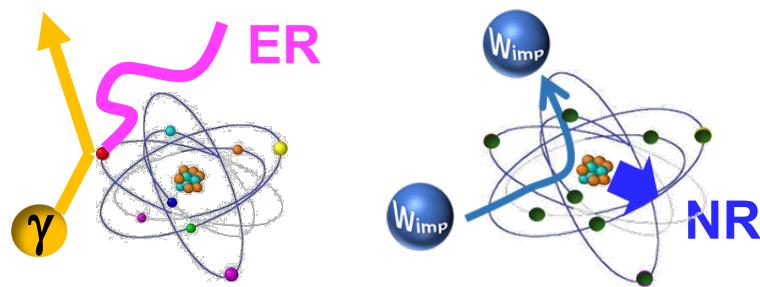
$3\sigma$  sensitivity at reach in about 1 year from now

# Corollary

Is this a **model independent** test of the DAMA/LIBRA result?

Using same target material -> **direct comparison in electron recoil energy**

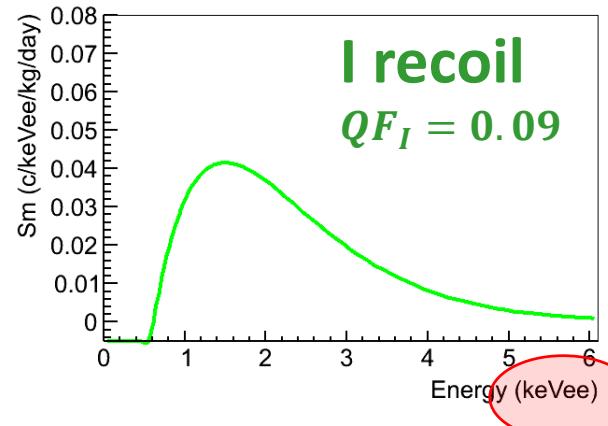
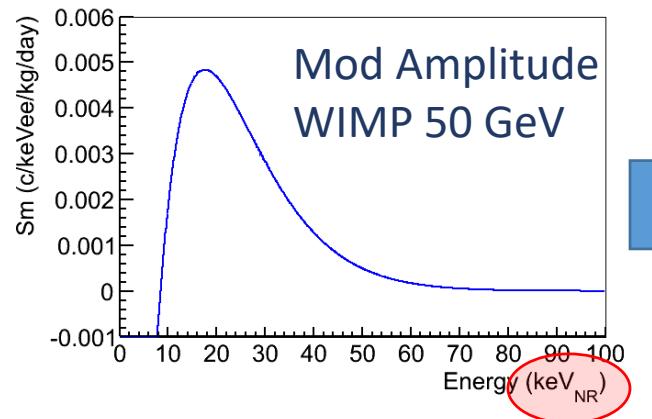
In a scintillator, an **ER** produces much more light than a **NR** of the same energy!



The spectra are calibrated with X/ $\gamma$  sources, so are given in keVee(\*). In order to be interpreted as NR,  **$QF$**  has to be measured to correct the energy scale:

$$QF = \frac{\text{signal}_{\text{NR}}/\text{keV}}{\text{signal}_{\text{ER}}/\text{keV}}$$

(\*) keVee: electron-equivalent keV

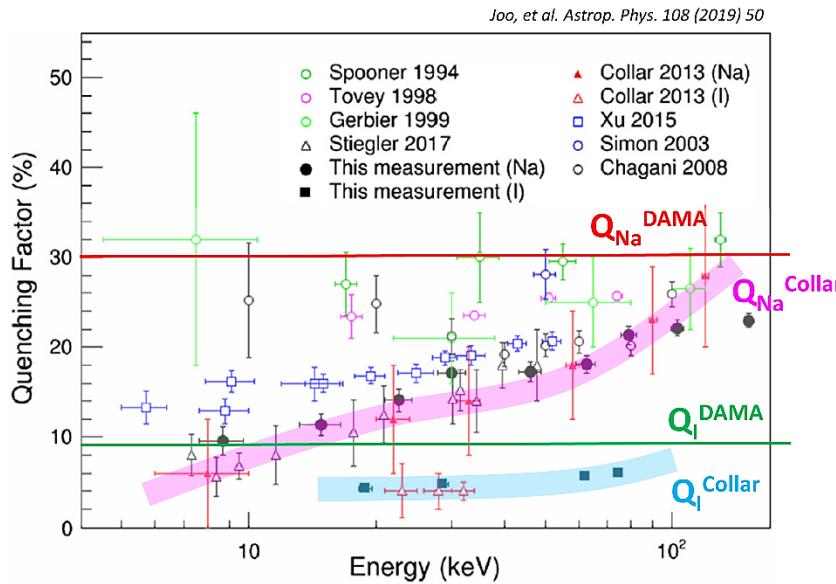


**the response of different detectors to DM particles could differ if  $QF$  is different**

# Corollary

Is this a **model independent** test of the DAMA/LIBRA result?

-> Need to improve our knowledge on NR response function



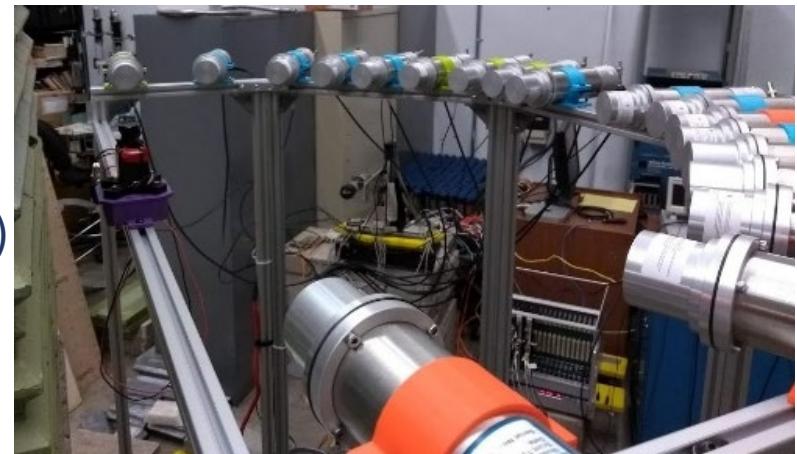
To answer this question,  
**Anais + Yale QF measurements @ TUNL (Duke Univ.)**  
different NaI(Tl) crystals (ANALIS & COSINE) in the  
same setup

**Results soon!**

**Does the QF depend on the crystal?**

- Impurities
- Tl level
- Crystal quality
- ...

**Or the spread in QF measurements is due to systematics?**



# Summary

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- Currently, many efforts trying to provide an independent confirmation of DAMA/LIBRA signal with the same target. ANAIS-112 and COSINE-100 in data-taking.
- ANAIS-112: is taking data in stable condition @ LSC since 3<sup>rd</sup> August 2017 with excellent performances. Up to now it has accumulated more than 300 kg×y exposure.
- **ANAIS-112 results up to now are compatible with absence of modulation and incompatible with DAMA/LIBRA at  $3.3(2.6)\sigma$ . Present sensitivity :  $2.7\sigma$  ( $2.5\sigma$ ) in [1-6] ([2-6]) keV after 3 years of data-taking.  $3\sigma$  sensitivity at reach in about 1 year from now.**
- Work ongoing on improving filter protocols with machine learning techniques
- We are analyzing quenching factor on NaI crystals to discard systematic uncertainties in the comparison.
- Plan to make ANAIS data public after use to allow independent analysis .