

# NEUTRINO OSCILLATIONS IN GRAVITATIONAL FIELDS AND ASTROPHYSICAL APPLICATIONS

---

Maxim Dvornikov

IZMIRAN, Russia

# Plan of the talk

- Neutrino spin oscillations in a scattering off a black hole (BH)
- Neutrino flavor oscillations in stochastic gravitational waves (GWs)
- Summary

# Publications

- **M. Dvornikov**, *Interaction of supernova neutrinos with stochastic gravitational waves*, Phys. Rev. D **104**, 043018 (2021), [arXiv:2103.15464](#).
- **M. Dvornikov**, *Neutrino scattering off a black hole surrounded by a magnetized accretion disk*, JCAP **04** (2021) 005, [arXiv:2102.00806](#).
- **M. Dvornikov**, *Flavor ratios of astrophysical neutrinos interacting with stochastic gravitational waves having arbitrary spectra*, JCAP **12** (2020) 022, [arXiv:2009.02195](#).
- **M. Dvornikov**, *Spin oscillations of neutrinos scattered off a rotating black hole*, Eur. Phys. J. C **80**, 474 (2020), [arXiv:2006.01636](#).
- **M. Dvornikov**, *Spin effects in neutrino gravitational scattering*, Phys. Rev. D **101**, 056018 (2020), [arXiv:1911.08317](#).
- **M. Dvornikov**, *Neutrino flavor oscillations in stochastic gravitational waves*, Phys. Rev. D **100**, 096014 (2019), [arXiv:1906.06167](#).

# SPIN OSCILLATIONS IN GRAVITATIONAL SCATTERING

---

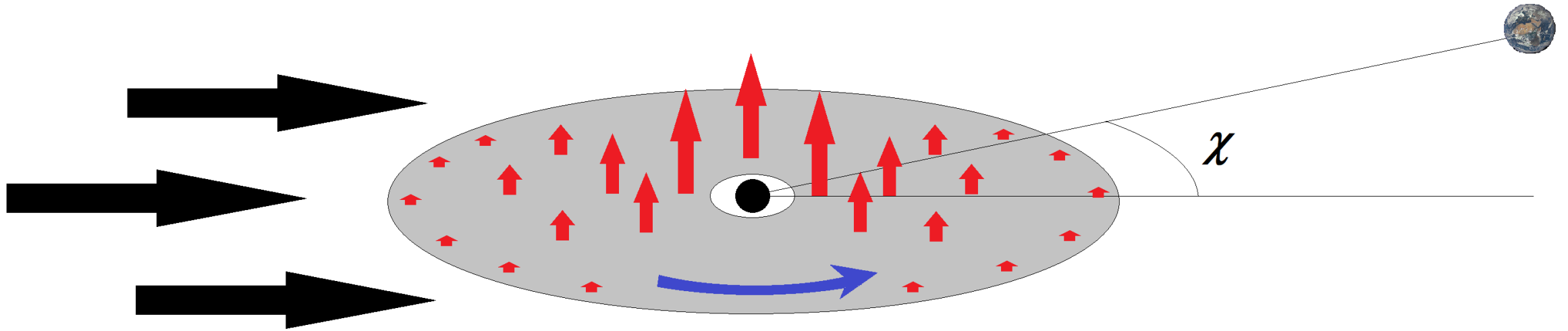
# Introduction and motivation

- Neutrinos are left-handed in the standard model, i.e. their spin is opposite to the neutrino momentum
- If the neutrino spin precesses in an external field, i.e. changes its direction with respect to the neutrino momentum, particles become right-handed
- Right-handed neutrinos are sterile in the standard model
- We will observe the effective reduction of the initial neutrino flux
- This process is called neutrino spin oscillations
- External fields, including gravity, can change polarization of fermions
- Recently, the supermassive BH shadow in M87 was observed. What happens if we look at this SMBH in a neutrino telescope?

# Formulation of the problem

- Uniform flux of left-polarized neutrinos
- Gravitational scattering off BH rotating BH: Kerr metric
- Electroweak interaction of neutrinos with dense matter of an accretion disk, which, as a rule surrounds BH
- Accretion disk with realistic radial density distribution
- Neutrino has nonzero magnetic moment
- Accretion disk is permeated by the magnetic field
- Neutrino motion in the equatorial plane only

# Schematic illustration of neutrino scattering

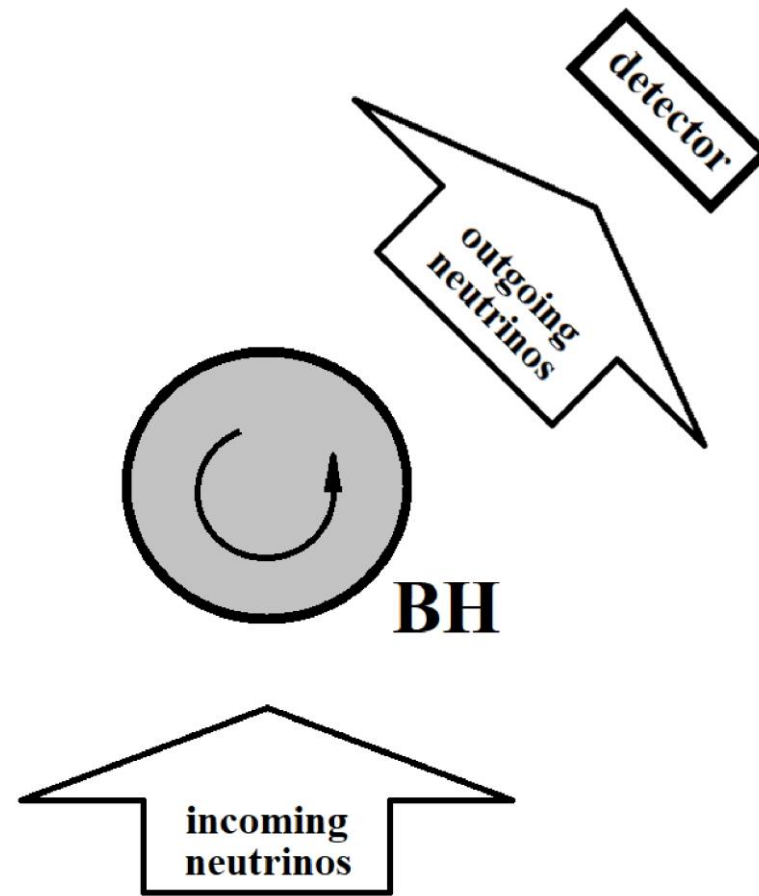
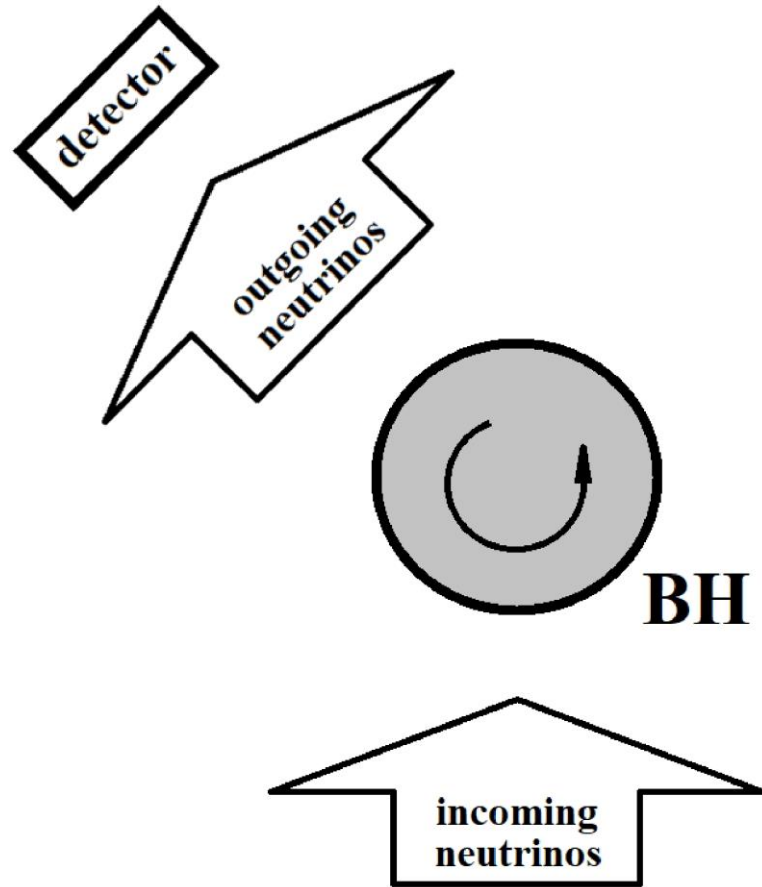


# Parameters of an accretion disk and a neutrino

- Wald (1974) found an electromagnetic field in the vicinity of a Kerr BH which asymptotically approaches to a constant and uniform magnetic field. It acquires an electric component. However, such magnetic field is unphysical since  $B$  should disappear at the edge of a disk
- Beskin (2010) reviewed numerous models of magnetic fields in a disk. Both poloidal and toroidal components are present. We take that only poloidal exists. Toroidal component does not contribute significantly to neutrino spin-flip
- Blandford & Payne (1982) assumed the equipartition of the energy between the magnetic field and accreting plasma. If  $B \propto B_0 r^{-\kappa}$  and  $n \propto n_0 r^{-\beta}$ ,  $\kappa = (\beta + 1)/2$ .
- Narayan & Yi (1994) found that  $\beta = 3/2$  in an advection dominated accretion disk. Thus  $\kappa = 5/4$ .
- Jiang et al. (2019) obtained that  $n_0 = 10^{18} \text{cm}^{-3}$  for  $M = 10^8 M_\odot$
- Magnetic field near BH is constrained by the Eddington limit  $B_{Edd}$ . We take that  $B_0 = 10^{-2} B_{Edd}$ . One gets that  $B_0 = 3.2 \times 10^2 \text{G}$ . Daly (2019) reports that such magnetic fields are not excluded by observations
- Bell et al. (2005) suggests a model independent constraint on the Dirac neutrino magnetic moment:  $\mu = 10^{-14} \mu_B$



# Direct and retrograde scatterings



# Neutrino spin evolution in the locally Minkowskian frame

- 3D neutrino spin in curved spacetime (Pomeranskii & Khriplovich, 1998; Dvornikov 2006, 2013):

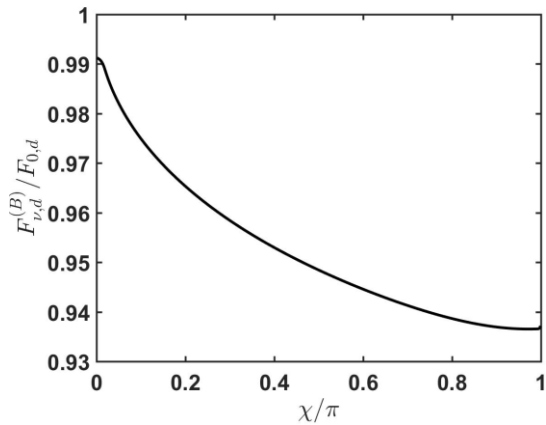
$$\frac{d\vec{\zeta}}{dt} = 2(\vec{\Omega} \times \vec{\zeta})$$

- Neutrino velocity in the locally Minkowskian frame changes its direction in gravitational scattering
- Transition and survival probabilities are

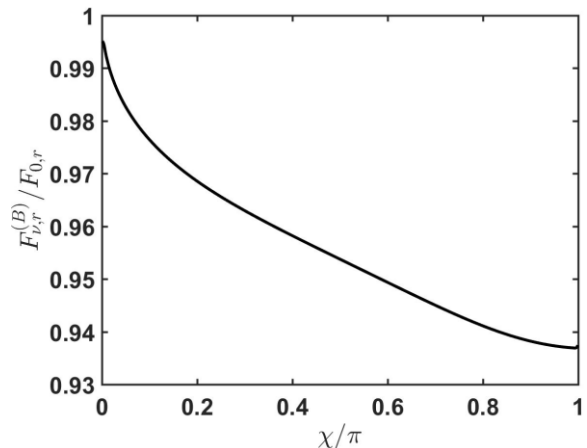
$$P_{LR,LL} = \frac{1}{2} (1 \pm \cos \alpha_{+\infty})$$

- If a neutrino interacts only with gravity and a magnetic field,  $\alpha_{+\infty}$  can be expressed in quadratures

# Transition probability for ultrarelativistic neutrinos in Kerr metric



- If ultrarelativistic neutrinos interact only with gravity,  $\alpha_{+\infty} = -\pi$ . Thus  $P_{LR} = 0$ . Thus result is in agreement with Lambiase et al. (2005), who studies the neutrino scattering off a Kerr BH in the weak field limit
- If a neutrino has nonzero magnetic moment,  $P_{LR} \neq 0$  even for ultrarelativistic particles
- Transition/survival probabilities versus the impact parameter are not measurable because of multiple revolutions of a neutrino around BH
- The flux of particles versus the scattering angle is measured
- Only left-polarized neutrinos interact with a detector. The measured flux  $\propto P_{LL} F_0$
- The interaction with magnetic field and/or accretion disk changes the flux compared to “scalar” neutrinos and when only magnetic scattering is accounted for



# Electroweak interaction with accretion disk

- Neutrino forward scattering in plasma

$$L_{matt} = -\frac{G_F}{\sqrt{2}} \bar{\nu} \gamma^\mu (1 - \gamma^5) \nu \cdot G_\mu$$

- Modification of the precession equation

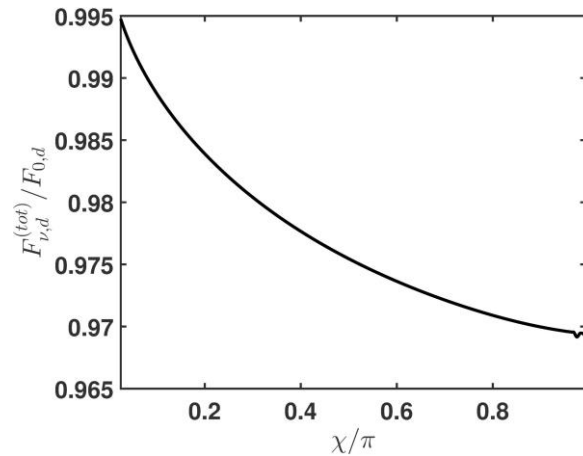
$$\vec{\Omega} = \vec{\Omega}_g + \vec{\Omega}_B + \vec{\Omega}_{matt} \quad \vec{\Omega}_{matt} \propto G_F n_{eff}$$

- Rotating accretion disk (Bardeen et al., 1972)

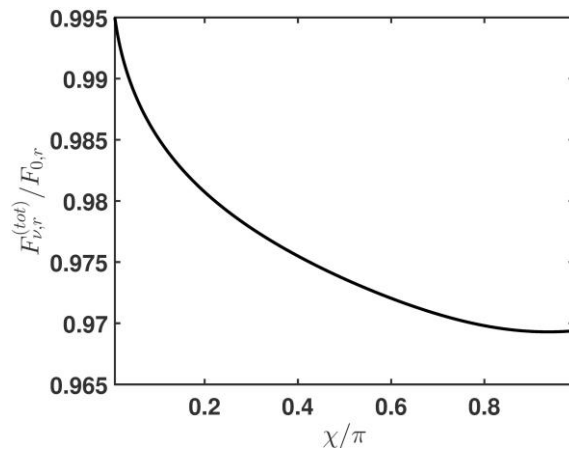
$$U_f^t = \frac{\sqrt{2}x^{3/2} + \lambda z}{\sqrt{2x^3 - 3x^2 + 2\lambda\sqrt{2}zx^{3/2}}}, \quad U_f^\phi = \frac{1}{r_g} \frac{\lambda}{\sqrt{2x^3 - 3x^2 + 2\lambda\sqrt{2}zx^{3/2}}}$$

- Corotating disk:  $\lambda = +1$ ; counter-rotating disk:  $\lambda = -1$
- The problem cannot be solved in quadratures. The effective Schrodinger equation should be integrated numerically

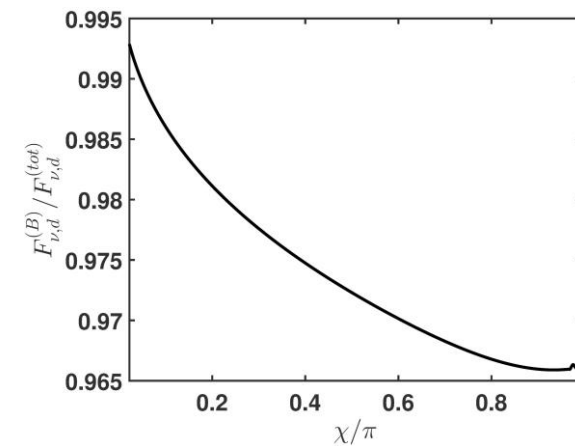
# Fluxes of scattered neutrinos accounting for spin oscillations: corotating disk



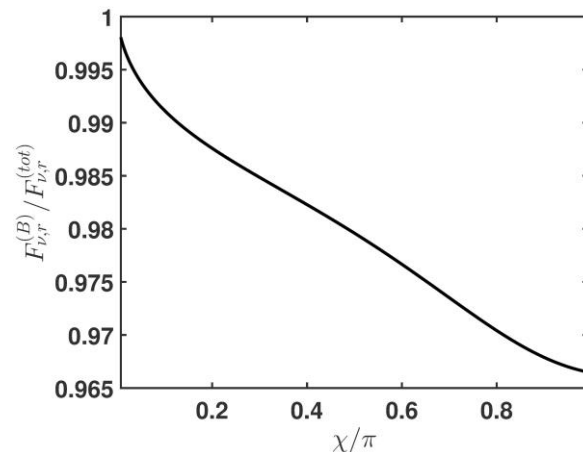
(a) Direct scattering



(b) Retrograde scattering



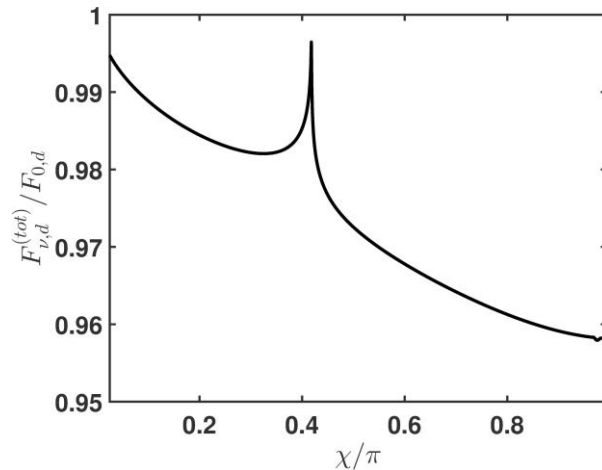
(c) Direct scattering



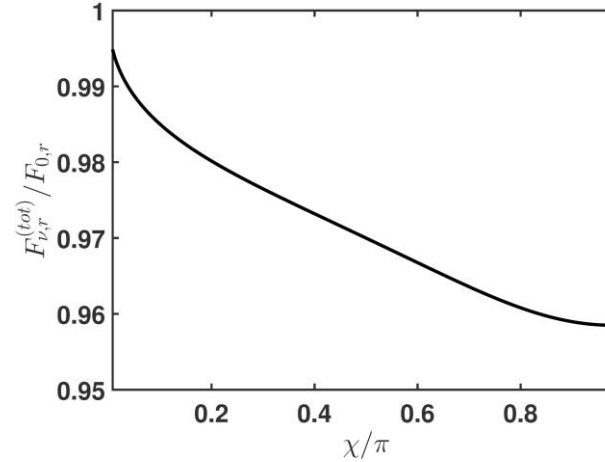
(d) Retrograde scattering

- Maximally rotating SMBH with  $a = M$
- $\lambda = +1$
- The maximal effect is for the backward scattering  $\chi = \pi$ . The glory flux of neutrinos experience maximal (several %) reduction

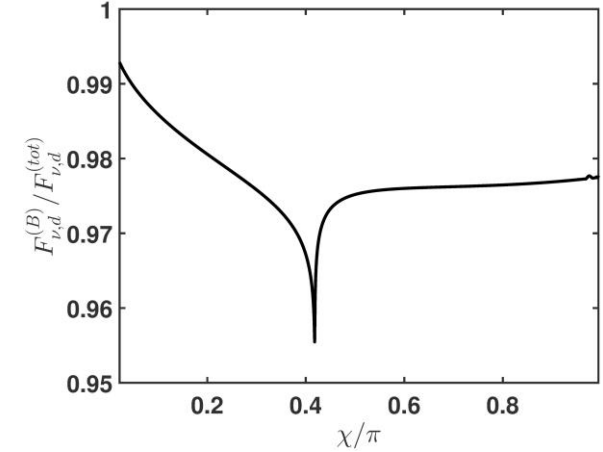
# Fluxes of scattered neutrinos accounting for spin oscillations: counter-rotating disk



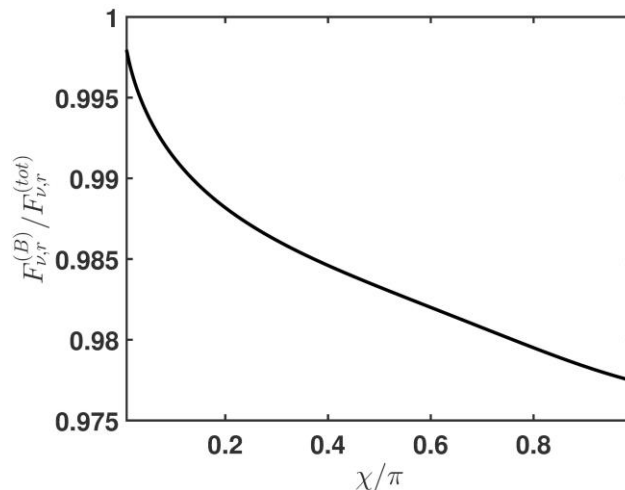
(a) Direct scattering



(b) Retrograde scattering



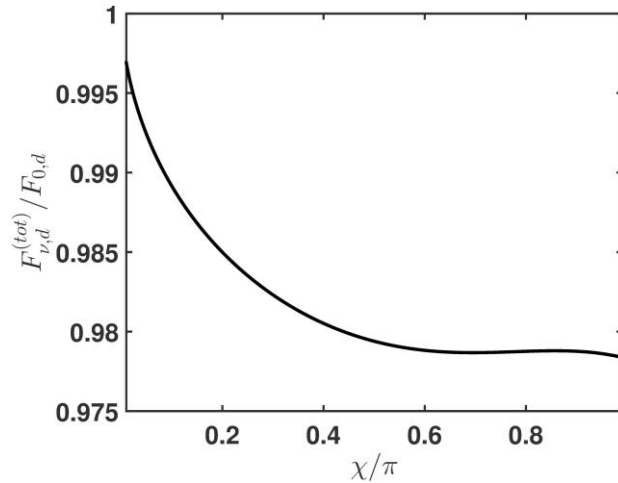
(c) Direct scattering



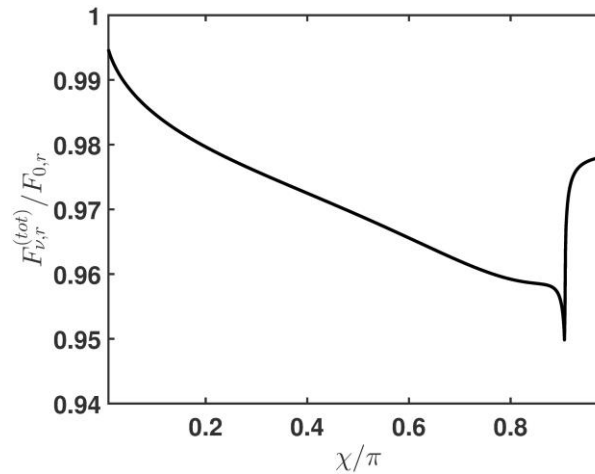
(d) Retrograde scattering

- Maximally rotating SMBH with  $a = M$
- $\lambda = -1$
- There is an upward spike in the total flux in the direct scattering
- $P_{LL}$  is great

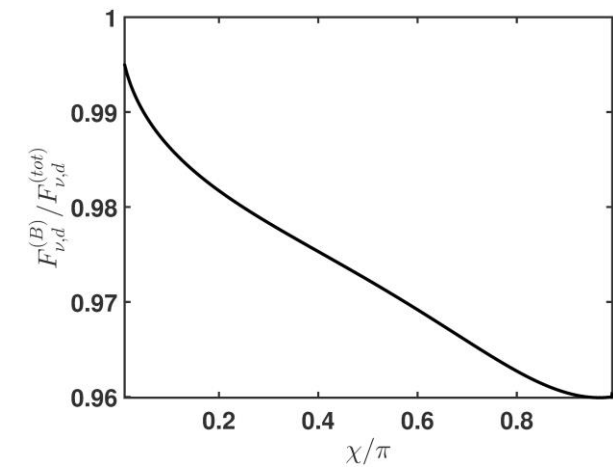
# Fluxes of scattered neutrinos accounting for spin oscillations: counter-rotating disk



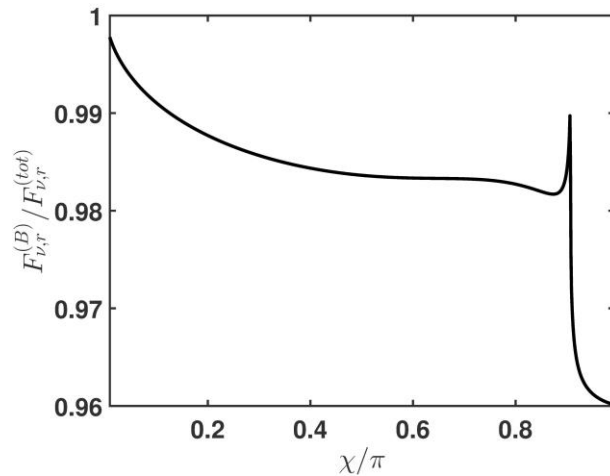
(a) Direct scattering



(b) Retrograde scattering



(c) Direct scattering



(d) Retrograde scattering

- SMBH with  $a = 0.2M$
- $\lambda = -1$
- There is a downward spike in the total flux in the retrograde scattering
- $P_{LR}$  grows

# Discussion

- There is spin-flip of ultrarelativistic neutrinos in their scattering off a rotating BH only if they have a magnetic moment and there is a magnetic field in the disk
- Solely gravitational scattering does not make a spin-flip of ultrarelativistic neutrinos
- The form and the size of a BH shadow is unchanged since spin oscillations do not contribute to the flux at  $\chi = 0$
- The major modification of the flux is for backwardly scattered neutrinos  $\chi = \pi$
- The electroweak interaction with counter-rotating disks result in the up- or downward spikes in the total fluxes in direct or retrograde scatterings
- Possible interpretation: neutrinos interact with matter of a disk which moves with relativistic velocities. Moving matter is known to affect spin oscillations including causing the spin-flip



# FLAVOR OSCILLATIONS IN STOCHASTIC GWS

---

# Introduction and motivation

- Neutrinos interact with other leptons (e,  $\mu$ ,  $\tau$ ) as flavor eigenstates:

$$\nu = (\nu_e, \nu_\mu, \nu_\tau)$$

- Flavor eigenstates do not have definite masses
- We introduce mass eigenstates  $\psi = (\psi_1, \psi_2, \psi_3)$
- These bases are related by the unitary matrix transformation

$$\nu = U\psi$$

- These neutrino properties result in neutrino flavor oscillation, i.e. the change of a flavor content of the neutrino beam, which can happen even in vacuum
- External fields, including gravity, can influence neutrino flavor oscillations
- It is interesting to check if nonstationary gravitational field, like GWs, which were directly detected by LIGO-Virgo, can contribute to neutrino flavor oscillations
- NANOGrav (2020) reported about a strong evidence of stochastic GWs

# Evolution of a mass eigenstate in GW

Ahluwalia & Burgard (1996); Fornengo et al (1997) established the evolution of neutrino mass eigenstates in a gravitational field

$$\psi_a(x, t) \sim \exp[-iS_a(x, t)]$$

$$g_{\mu\nu} \frac{\partial S_a}{\partial x_\mu} \frac{\partial S_a}{\partial x_\nu} = m_a^2$$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = dt^2 - (1 - h_+ \cos \phi) dx^2 - (1 + h_+ \cos \phi) dy^2 + 2 dx dy h_\times \sin \phi - dz^2$$

Dvornikov (2021) found the perturbative solution of the Hamilton-Jacobi equation in a plane GW

Schrodinger equation and the effective Hamiltonian for neutrino flavor eigenstates

$$i\dot{\nu} = H_f \nu \quad H_f = U H_m U^\dagger$$

$$\left(H_m^{(g)}\right)_{aa} = -\frac{p^2 h}{2\sqrt{p^2 + m_a^2}} \sin^2 \vartheta \cos(2\varphi - \phi_a)$$

GW does not contribute to neutrino oscillations if neutrino beam propagates along GW ( $\vartheta = 0$ )

# Stochastic GWs

- Neutrino interacts with randomly emitted GWs
- Density matrix (Loreti & Balantekin, 1994)
- Averaging over angles
- Gaussian distribution of strain with arbitrary correlator:  $\langle h_{+,\times}(t_1)h_{+,\times}(t_2) \rangle = f_{+,\times}(|t_1 - t_2|)$
- We can find the correction to the probabilities of vacuum oscillations caused by GWs

$$\Delta P_\lambda(x) = 2 \sum_\sigma P_\sigma(0) \sum_{a>b} \left\{ \text{Re}[U_{\lambda a} U_{\lambda b}^* U_{\sigma a}^* U_{\sigma b}] \cos\left(2\pi \frac{x}{L_{ab}}\right) + \text{Im}[U_{\lambda a} U_{\lambda b}^* U_{\sigma a}^* U_{\sigma b}] \sin\left(2\pi \frac{x}{L_{ab}}\right) \right\} \left\{ 1 - \exp\left[-\frac{4\pi^2}{L_{ab}^2} \int_0^x \tilde{g}(t) dt\right] \right\}$$

# Initial condition

We study neutrinos emitted in  $\nu_e$ -burst in core-collapsing SN  
SN is almost point-like source

The size of neutrinosphere is  $\sim 100$  km

The contribution of solar oscillations channel is not smeared

Fluxes at a source are  $(F_e, F_\mu, F_\tau)_S = (1: 0: 0)$

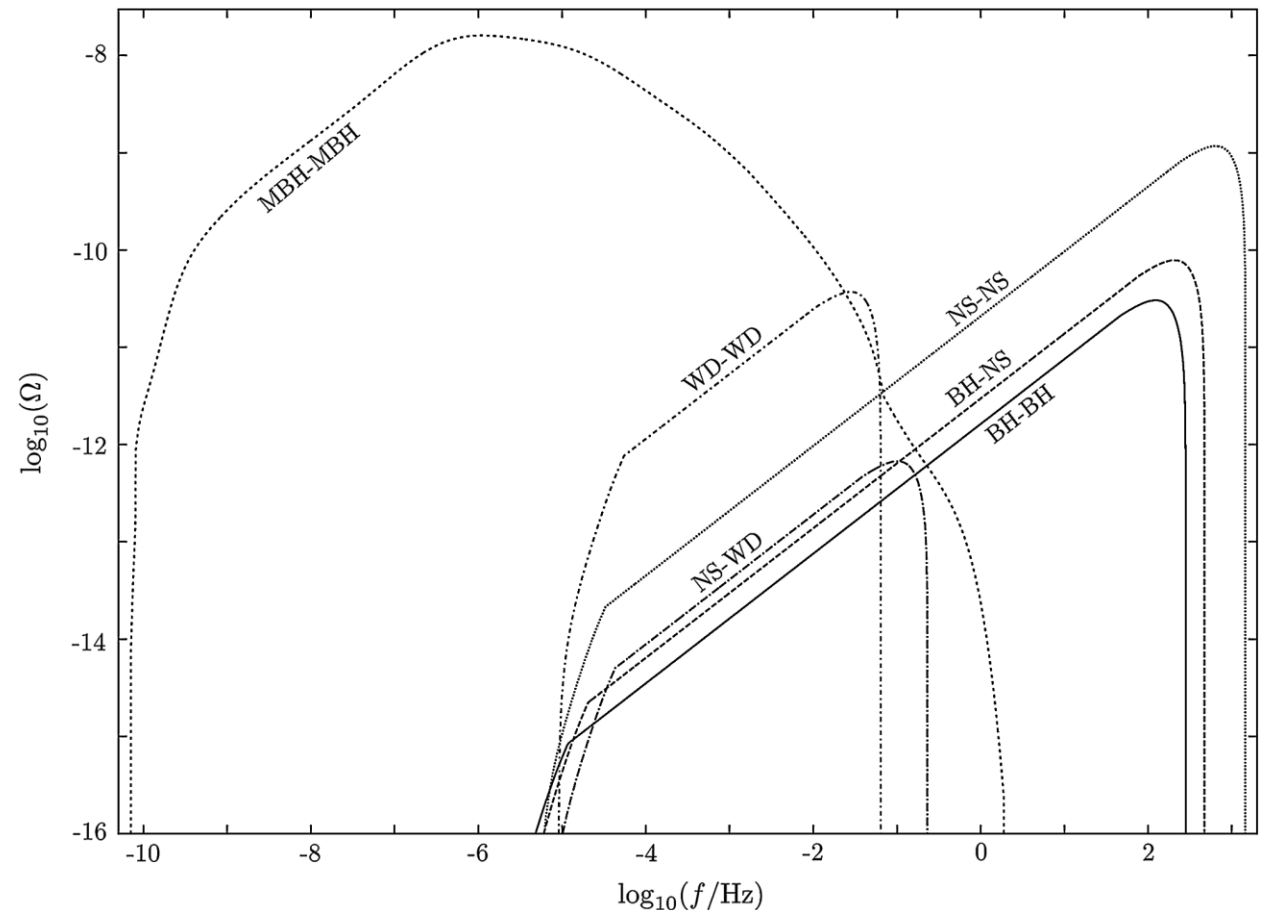
Initial condition  $\rho_{11}(0) = 1, \rho_{22}(0) = 0, \rho_{33}(0) = 0$

# GW emitted by randomly coalescing supermassive BHs (SMBH)

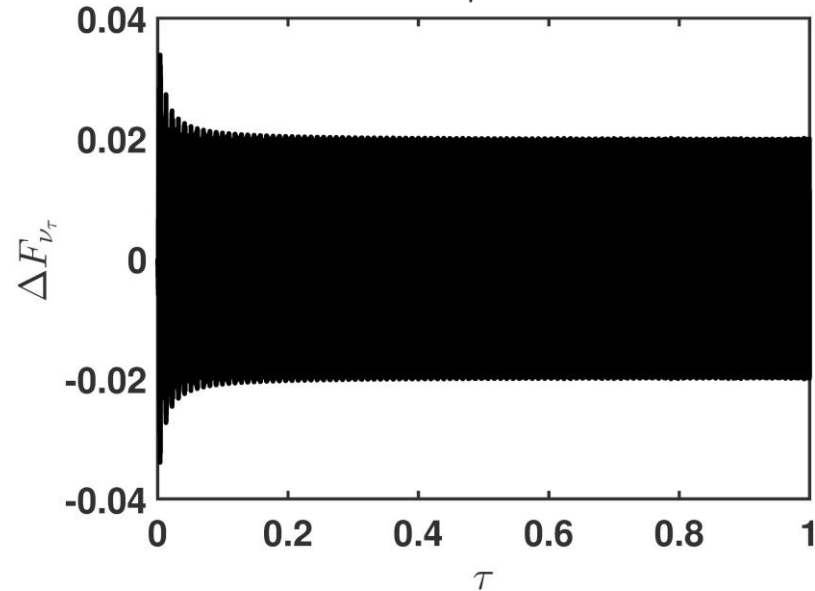
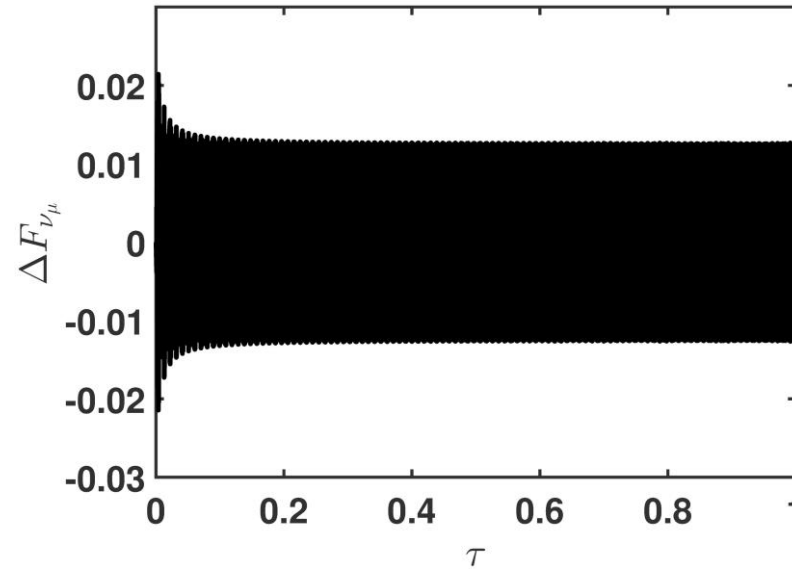
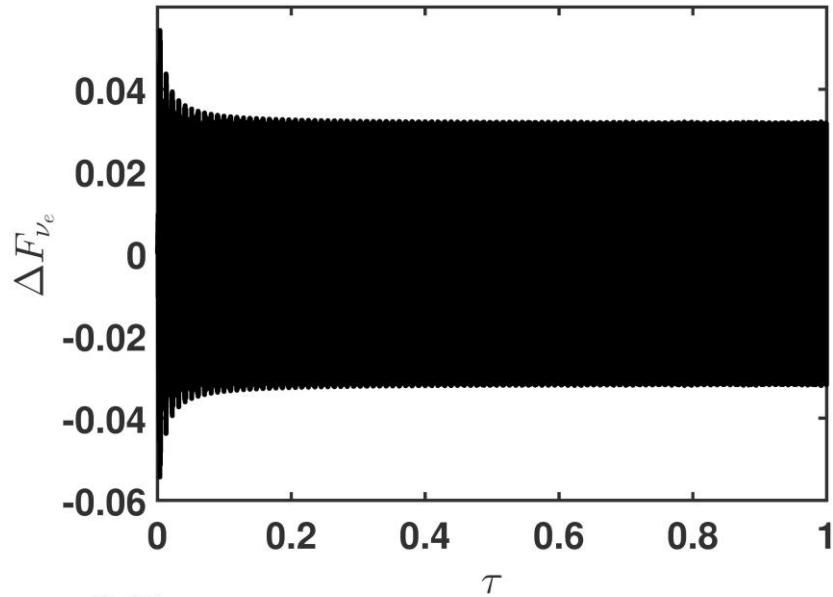
- Spectral function for GW from different types of merging BHs is calculated by Rosado (2011)
- $\Omega$  is the energy density of stochastic GWs per logarithmic frequency interval with respect to the closure density of the universe

$$\Omega \propto f^\alpha$$

- We will study the case of SMBH since they produce stochastic GWs with the major effect on neutrino oscillations



# Fluxes of flavor neutrinos

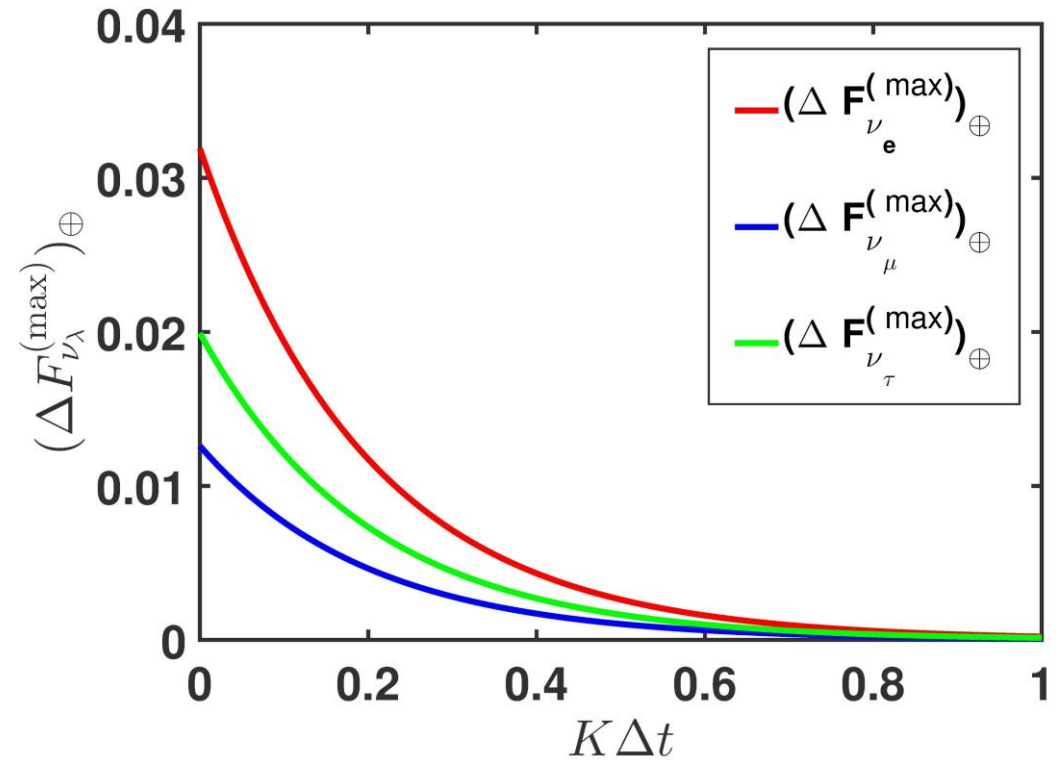
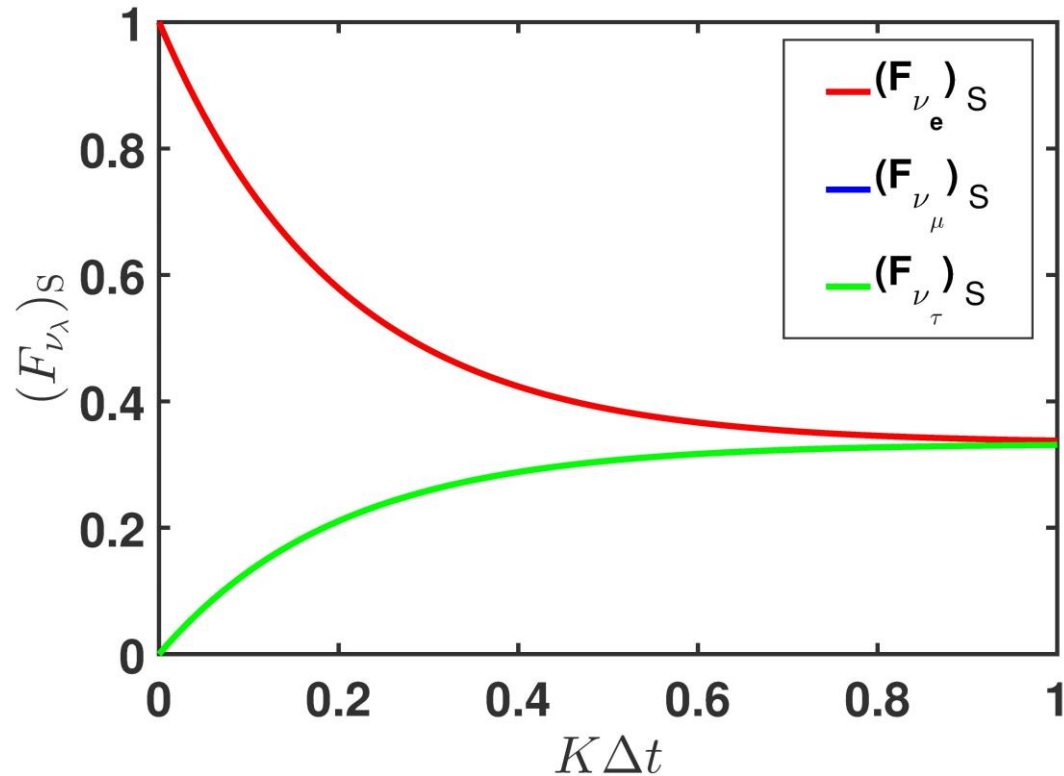


$$E = 10 \text{ MeV}$$

$$\tau = x/L$$

$$L = 10 \text{ kpc}$$

# Neutrino fluxes after $\nu_e$ -burst



- Significant number of neutrinos of different flavors is emitted after  $\nu_e$ -burst
- We study oscillations of such neutrinos in stochastic GWs
- $0 < \Delta t < 0.1$  s is the time after  $\nu_e$ -burst. We approximate the fluxes at a source (SN) by exponents
- The contributions to the fluxes from stochastic GWs in a detector are vanishing at  $\Delta t \sim 0.1$  s



# Discussion

- We have the analytic expression for the probabilities for all neutrino flavors interacting with stochastic GWs
- Two independent polarizations of GWs are accounted for
- The correlators of amplitudes are arbitrary
- The results are applied for oscillations of SN neutrinos
- The major effect is for neutrinos emitted in  $\nu_e$ -burst. At subsequent moments of time, the contribution of stochastic GWs is vanishing
- The interaction with stochastic GWs can results in the change of the SN neutrinos fuxes by  $\pm 350$  events, in case of the Super-Kamiokande, and by  $\pm 3750$  events, for the Hyper-Kamiokande

# Summary

- We have studied the influence of spin oscillations on the observed fluxes of neutrinos scattered off a rotating BH
- There is a spin-flip of ultrarelativistic neutrinos in scattering in the Kerr metric caused by the interaction of the neutrino magnetic moment with the magnetic field in an accretion disk
- There is a reduction of fluxes mainly for the backward scattering
- The interaction of neutrinos with an accretion disk also modifies the fluxes. There are spikes in the total fluxes, which can result from the neutrino interaction with moving matter of a disk
- We have examined the relaxation of the fluxes of flavor neutrinos owing to their interaction with stochastic GWs
- The major contribution is from GWs emitted by merging SMBHs
- This effect can be potentially observed for SN neutrinos with  $E = 10 \text{ MeV}$  in our Galaxy with the propagation length  $L = 10 \text{ kpc}$